Radiation Stopping Power, Damage Cascades, Displacement and the DPA

Learning Objectives

- Predict stopping power of radiation as functions of material, type, energy of radiation
- Conceptualize radiation damage cascades, stages, and evolution in time
- Estimate the quantitative displacement rates from radiation, and define the DPA
- Track the buildup of radiation point defects as functions of temperature, defect concentration

Building Up to Radiation Effects



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Stopping Power

http://www.srim.org

- More energetic particles do more damage ... to a point
- ... but how much?
- Charge vs. no charge?
- What about damage vs. mean free path?

Coulombic/Nuclear Stopping Power

• *Stopping Power* is defined as differential energy loss as a function of energy:

$$N * S(E) = -\frac{\partial E}{\partial x}$$

• Separable components due to nuclear (screened nucleus Coulombic), electronic, and radiative terms:

$$N * S(E) = -\left(\frac{\partial E}{\partial x}\right)_{nucl.} - \left(\frac{\partial E}{\partial x}\right)_{elec.} - \left(\frac{\partial E}{\partial x}\right)_{rad.}$$

Source: Wikimedia Commons

- Integrate inverse of stopping power over the energy range of the particle: $Range = \int_0^{E_{max}} \frac{1}{S(E)} dE$
- Not all particles have identical range, *straggling* describes this variation

H. Paul. AIP Conf. Proc. 1525:309 (2013)

• Nuclear stopping power: First assume Coulombic nucleus interactions, describe interatomic potential:

$$V(r) = \frac{Z_1 Z_2 \varepsilon^2}{r}$$
 (1/r dependence)

• Positive nucleus screened by negative electron cloud:

$$V(r) = \frac{Z_1 Z_2 \varepsilon^2}{4\pi\varepsilon_0 r} e^{\left(\frac{-r}{a}\right)}$$
Effective screening radius

Pretty graphs by Desmos Grapher (www.desmos.org)

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• Assume
$$\left(\frac{dE}{dx}\right)_{rad.} \approx 0$$
 $\gamma = \frac{4mM}{(m+M)^2}$
• Nuclear stopping power formula (Was p. 47):
 $\left(\frac{dE}{dx}\right)_{nucl.} = \frac{N\pi Z_1 Z_2 \varepsilon^4}{E_i} \frac{M_1}{M_2} \ln \left(\frac{\gamma E_i}{\frac{\varepsilon^2 \gamma E_a^2}{4E_i}}\right)$
 $\left(\frac{dE}{dx}\right)_{nucl.} = \frac{N\pi Z_1 Z_2 \varepsilon^4}{E_i} \frac{M_1}{M_2} \ln \left(\frac{4E_i^2}{\varepsilon^2 E_a^2}\right)$

• Now turn to electronic stopping. The Bethe-Bloch formula describes this well:

$$-\left(\frac{dE}{dx}\right) = \frac{4\pi k_0^2 Z^2 \varepsilon^4 n_e}{m_e c^2 \beta^2} \left[\ln\left(\frac{2m_e c^2 \beta^2}{I(1-\beta^2)}\right) - \beta^2 \right]$$
$$\beta = \frac{v_{ion}}{c}; \quad n_e = electron \ density$$

• *I* is the mean excitation energy of the medium

• *I* is the mean excitation energy of the medium

• Plot/compare S_e/S_n

$$\frac{S_e}{S_n} = \frac{2M_2}{m_e Z_2} \frac{\ln\left(\frac{\gamma_e E_i}{I}\right)}{\ln\left(\frac{\gamma E_i}{E_d}\right)}$$

- Electronic stopping power takes over by factors of 10²-10⁴ for high energy ions...
- ... what about neutrons?

H. Paul. AIP Conf. Proc. 1525:309 (2013)

Was, p. 84

- What does this say about:
 - Energy deposition vs. energy at high-E?
 - Same at low-E?
- When is the most damage done to a material?
- Explain damage rates vs. ranges of heavy ions & fast neutrons?
- What about thermal neutrons?

It All Starts with Frenkel Pairs

- Frenkel pair perfect vacancy/interstitial combination
- Produced very well by electron radiation

The Damage Cascade

- Frenkel pairs don't stay that way!
- Many ideas about how "damage cascade" evolves
 - Called "cascade" due to subsequent, continuing damage effects
- What's wrong with this picture?

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Original conception of damage cascade, showing path of Frenkel pair production

Damage Cascades Revisited

Was, p. 128

• Many more forms of dynamic replacement crowdion damage are possible collisions • Single vacancies & interstitials not always energetically favorable energy transport • Frenkel pairs don't by focusing <110>O explain observed depleted damage zone

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Revised damage cascade accounting for crystallinity

Slide 19

Damage Cascades Revisited

Was, p. 128

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Cascade Stages – Ballistics

- Put simply, atoms get knocked around
- No time to relax!
- ~10MeV neutrons move how fast?
 - How long to move one lattice parameter?

t=0.008 ps

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K. O. Trachenko, M. T. Dove. E. K. H. Salje. J. Phys. Condens. Matter, 13:1947 (2001)

Cascade Stages – Thermal Spike

• Temperature rises very locally for a very short time

t=0.08 ps

t=0.008 ps

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K. O. Trachenko, M. T. Dove. E. K. H. Salje. J. Phys. Condens. Matter, 13:1947 (2001)

Cascade Stages – Quench

• Heat is conducted away EXTREMELY quickly

t=0.08 ps

t=8 ps

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K. O. Trachenko, M. T. Dove. E. K. H. Salje. J. Phys. Cond. Matter, 13:1947 (2001)

Cascade Stages – Anneal

D. S. Aidhy et al. Scripta Mater., 60(8):691 (2009)

- Most damage "anneals" out, or recombines/gets sunk away
 - For neutrons & ions, almost all damage anneals!

Courtesy of Elsevier, Inc., http://www.sciencedirect.com. Used with permission. Source: Aidhy, D. S. "Kinetically Driven Point-Defect Clustering in Irradiated MgO by Molecular-Dynamics Simulation." *Scripta Materialia* 60, no. 8 (2009): 691-4.

Simulated annealing of Frenkel pairs in MgO at 1000K

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Types of Radiation

• Different radiation produces different cascades

Simulation Methods – BCA

Was, p. 134

- Binary Collision Approximation
 - Uses interatomic potentials (like MD) to allow atoms to move
 - Does not restrict crystallinity
 - Creates collision cascades pretty well!

- Solve F = ma for every pair of atoms
- *Interatomic potentials* are the key to interactions
 - *Right:* MD simulation of 1keV cascade in iron at 100K

http://www.cmbi.ru.nl/redock/images/LennardJones.png

• Interatomic potentials are 100 the key to interactions E/cm-1 Repulsive $+A/r^{12}$ 50 • Attractive & repulsive terms 0 • Lennard-Jones (LJ) $= 4\epsilon \left[\left(\frac{\sigma}{r} \right)^{12} - \left(\frac{\sigma}{r} \right)^6 \right]$ -50 potential widely used Attractive $-B/r^6$ -100 4.0 5.0 6.0 7.0 3.0 8.0 r/Å Courtesy of Bo Hanssen & Sander Jans. Used with permission.

Lennard-Jones Potential

J. Yu et al. J. Mater. Chem., 19:3923-3930 (2009)

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Attractive terms of selected potentials (dots), and LJ-modified version (lines)

A video is played in class to demonstrate the concept.

http://www-personal.umich.edu/~gsw/movies.html

http://www-personal.umich.edu/~gsw/movies.html A video is played in class to demonstrate the concept.

- With pre-determined distributions for some features
 - "Roll the dice" to sample from distributions
 - Let random numbers determine where things move and change

- Example: TRIM
 - Randomly choose scattering angles, new mean free paths

http://www-personal.umich.edu/~gsw/movies.html

3.2 Kinetic Monte Carlo (KMC) simulation of a 20 keV cascade in Fe-0.2Cu-0.6Si-0.7Ni-12.4Mn steel (similar to a reactor pressure vessel steel) at 327°C. The simulation shows the enrichment of nickel (green atoms), Mn (black atoms), Si (blue atoms) and Cu (red atoms) at vacancy clusters (in yellow) covering a time period out to ~0.007 s or about 6-7 orders of magnitude longer than the cascade quench time. Note also the pairing of Ni and Si and the accumulation of solute atoms at the vacancy clusters. (courtesy, C. Becquart, University of Lille)

Simulation Methods – Rate Theory C. J. Ortiz, M. J. Caturla. J. Computer-Aided Materials Design 14:171-181 (2007)

- Assume rate-controlled equations for defect migration, clustering
- Often employs "mean field theory"
 - Glosses over details to accelerate time

 $I + V \rightleftharpoons 0$ $V_n + V \rightleftharpoons V_{n+1}$ $I_n + I \rightleftharpoons I_{n+1}$ $I_n + I_2 \rightleftharpoons I_{n+2}$ $I_n + V \rightarrow I_{n-1}$ $V_n + I \rightarrow V_{n-1}$

$$G_X^B(n) = G_X^f + \left(G_X^B(2) - G_X^f\right) \cdot \frac{n^{2/3} - (n-1)^{2/3}}{2^{2/3} - 1},\tag{7}$$

Table 1Binding energies ofsmall interstitial and vacancyclusters in Fe according to Fuet al. [9]

	I_n (eV)	V_n (eV)
n = 2	0.80	0.30
n = 3	0.92	0.37
n = 4	1.64	0.62

Simulation Methods – Rate Theory C. J. Ortiz, M. J. Caturla. J. Computer-Aided Materials Design 14:171-181 (2007)

• How good is the approximation? Is it worth it?

Damage Cascades – Summary

Was, p. 140

- Spans multiple time scales
 - Sets the stage for defect migration to higher length scales

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• Simulation also requires multiscale methods with much creativity to get right!
• Define a rate of atomic displacements using flux:



• Define a rate of atomic displacements using flux:



• Define a rate of atomic displacements using flux:



• Develop expression for displacement cross section

• Define a rate of atomic displacements using flux:

 $\frac{DPA}{sec} = \int_{0}^{E_{max}} \Phi(E_i) * \sigma_D(E_i) dE_i$ Probability that an atom displaced by a particle with energy E_i leaves with recoil $\sigma_D(E_i) = \int_{T_{min}}^{T_{max}} \sigma(E_i, T) v(T) dT$ Number of atomic displacements displacements • T is the PKA (displaced atom) recoil energy from a PKA with energy T

$$\sigma_D(E_i) = \int_{T_{min}}^{T_{max}} \sigma(E_i, T) \boldsymbol{v(T)} dT$$

• Assume there is some threshold energy (E_d) below which a displacement does not occur:

$$v(T) = 0; \quad T < E_d$$

• Otherwise a displacement will occur:

$$v(T) = 1; \quad T \ge E_d$$





- What is this threshold energy?
 - Let's estimate?
- 1. Energy to break metal surface bonds: ~5eV
- 2. Shift removed atom to the interior: x2
- 3. Stuff atom in an interstitial site, assume no time to relax the lattice: x2
- 4. Displacement isn't in the easiest direction: x2

Was, p. 83

- What is this threshold energy?
- Notice any patterns in the data?
 - Crystal structure?
 - Melting point?
 - Something else?

Metal	Lattice (c/a)	$E_{d,min}$ (eV)	$E_{\rm d}~({\rm eV})$
Al	fcc	16	25
Ti	hcp (1.59)	19	30
V	bcc	_	40
Cr	bcc	28	40
Mn	bcc	_	40
Fe	bcc	20	40
Co	fcc	22	40
Ni	fcc	23	40
Cu	fcc	19	30
Zr	hcp	21	40
Nb	bcc	36	60
Mo	bcc	33	60
Та	bcc	34	90
W	bcc	40	90
Pb	fcc	14	25
Stainless steel	fcc		40

$$\sigma_D(E_i) = \int_{T_{min}}^{T_{max}} \sigma(E_i, T) \boldsymbol{v(T)} dT$$

- Returning to *v*(*T*), assume sufficiently high energy PKAs can do more damage!
- Enter the Kinchin-Pease (K-P) model

Kinchin-Pease Model

$$v(T) = \frac{2}{T} \left[\int_0^T v(\varepsilon) d\varepsilon \right]$$

- Now split into three relevant ranges:
 - $E < E_d$, $E_d \le E < 2E_d$, $E > 2E_d$

$$v(T) = \frac{2}{T} \left[\int_0^{E_d} v(\varepsilon) d\varepsilon + \int_{E_d}^{2E_d} v(\varepsilon) d\varepsilon + \int_{2E_d}^T v(\varepsilon) d\varepsilon \right]$$

Kinchin-Pease Model

$$v(T) = \frac{2}{T} \left[\int_0^{E_d} v(\varepsilon) d\varepsilon + \int_{E_d}^{2E_d} v(\varepsilon) d\varepsilon + \int_{2E_d}^T v(\varepsilon) d\varepsilon \right]$$

- First term is **0** (energy too low to displace)
- Second term is **1** (only one displacement possible)
- Third term is steadily increasing

$$v(T) = \frac{2}{T} \left[\int_0^{E_d} 0d\varepsilon + \int_{E_d}^{2E_d} 1d\varepsilon + \int_{2E_d}^T v(\varepsilon)d\varepsilon \right]$$

Kinchin-Pease Model

• Final tormutation. $v(T) = \begin{cases} 0 & \text{for } T < E_d \\ 1 & \text{for } E_d < T < 2E_d \\ \frac{T}{2E_d} & \text{for } 2E_d < T < E_c \\ \frac{E_c}{2E_d} & \text{for } T \ge E_c \end{cases}$ • Final formulation: E_d $2E_d$ E_{c} PKA energy (T)

Was, p. 84

H. Paul. AIP Conf. Proc. 1525:309 (2013)

• Is the cutoff energy really true?





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- Allow nuclear stopping power to diminish, but not disappear, after E_c
- Also allow electronic stopping to start taking over before E_c



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- Account for crystallinity: Channeling
- Displaced atom can travel through empty space between lattice planes
 - Nuclear stopping ~ 0
 - Only electronic stopping



- Account for crystallinity: Channeling
- Displaced atom can travel through empty space between lattice planes
 - Nuclear stopping ~ 0
 - Only electronic stopping
- Lots of paths to channel!



- Close-packed energy transfer: Focusing
 - Think packed billiard balls on a pool table
- Assumes hard sphere collisions
- Where would this happen?
 - Close-packed directions
 - Crowdions
 - Dumbbells



The Real σ_D is Ugly!

Was, p. 108

[Was, Gary S. Fundamentals of Radiation Materials Science, pp. 92] removed due to copyright restrictions.

Damage After the Cascade

- What happens to damage after the cascade?
 - Production
 - Recombination
 - Absorption at sinks
 - Migration

Point Defect Balance

Change = Gain - Loss

- What are the possible gain terms?
 - Displacement production
 - Reaction production
- What are the possible loss terms?
 - Recombination
 - Loss to sinks
 - Diffusion

Point Defect Balance

Change = Gain - Loss

- What are the possible sinks?
 - Grain boundaries
 - Dislocations
 - Impurities
 - Free surfaces
 - Incoherent precipitates

Gain Terms

• Defect Production Rate: $K_0 = \left(\frac{DPA}{sec}\right) * \varepsilon$

Damage cascade efficiency

• Reaction Production Rate:



Loss Terms: Recombination

- Introduce some recombination rate constant: K_{iv}
- Relate to the relevant defect concentrations:

 $C_i = Interstitial \ Concentration$ $C_v = Vacancy \ Concentration$

$$\frac{\partial C_{(i,v)}}{\partial t}_{Recombination} = K_{iv}C_iC_v$$

Loss Terms: Sinks

- For each sink, define a sink strength: K_s
- Relate sink rate to concentrations of defects $C_{(i,v)}$ and sinks:

$$\frac{\partial C_{(i,v)}}{\partial t}_{Sinks} = -\sum_{s=1}^{All Sinks} K_s C_{(i,v)} C_s$$

Loss Terms: Diffusion

• We already know this equation from Fick's Law:

$$\frac{\partial C_{(i,v)}}{\partial t}_{Diffusion} = \nabla D_{(i,v)} \nabla C_{(i,v)}$$

Combining Terms:

$$\frac{\partial C_{(i,v)}}{\partial t} = \left(\frac{DPA}{sec}\right) * \varepsilon - K_{iv}C_iC_v - \sum_{s=1}^{All \ Sinks} K_sC_{(i,v)}C_s + \nabla D_{(i,v)}\nabla C_{(i,v)}$$

Neglect Spatial Variance:

$$\frac{\partial C_i}{\partial t} = K_0 - K_{iv}C_iC_v - \sum_{\substack{s=1\\All\ Sinks}}^{All\ Sinks} K_sC_iC_s$$
$$\frac{\partial C_i}{\partial t} = K_0 - K_{iv}C_iC_v - \sum_{\substack{s=1\\s=1}}^{All\ Sinks} K_sC_vC_s$$

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Note on Vacancy Conc.

• C_v must be adjusted to account for thermal vacancies:



Total vacancy concentration

- Why do we ignore this for interstitials?
 - Equilibrium interstitial concentration is so low!

Equilibrium Defect Conc.

Was, p. 200

Limiting Cases of Point Defect Kinetic Equations

• (1) Assume low temperature, low sink densities

$$\frac{\partial C_{v}}{\partial t} = K_{0} - K_{iv}C_{i}C_{v} - \sum_{s=1}^{All Sinks} K_{s}C_{v}C_{s} + \nabla D_{v}\nabla C_{v}$$
$$\frac{\partial C_{i}}{\partial t} = K_{0} - K_{iv}C_{i}C_{v} - \sum_{s=1}^{All Sinks} K_{s}C_{i}C_{s} + \nabla D_{i}\nabla C_{i}$$

Was, p. 194

What's In a Sink Term?

 $K_{iv} = 4\pi r_{iv} (D_i + D_v)$

Sink Strength

Interaction radius

Diffusivities

$$K_{is} = 4\pi r_{is}(D_i)$$
$$K_{vs} = 4\pi r_{vs}(D_v)$$
Was, p. 197

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Was, p. 197

Was, p. 197

Compare Cases 1 & 2

Was, pp. 194, 197

Was, p. 198

Compare Cases 2 & 3

Was, pp. 197, 198

Was, p. 200

Was, p. 200

Was, p. 200

Was, p. 200

Compare Cases 3 & 4

Was, pp. 198, 200

Where Does This Model Break Down?

- Near sinks
- Sinks with biases for defects
 - Interaction radii
 - Defect-dependent sinks
- Time-variant sinks
- Time-variant anything else
- Spatial variance

Return Spatial Variance

$$\frac{\partial C_{v}}{\partial t} = K_{0} - K_{iv}C_{i}C_{v} - \sum_{s=1}^{Sinks} K_{s}C_{v}C_{s} + \nabla D_{v}\nabla C_{v}$$
$$\frac{\partial C_{i}}{\partial t} = K_{0} - K_{iv}C_{i}C_{v} - \sum_{s=1}^{Sinks} K_{s}C_{i}C_{s} + \nabla D_{i}\nabla C_{i}$$

• What's in a *D* anyway?

Radiation Enhanced Diffusion

- D_a (diffusivity of a type of atom) is a sum of all relevant effects
 - Some are turned on by radiation (interstitialcy)
 - Some are enhanced by radiation (vacancy)

$$D_a = \sum_{d=1}^{Defects} f_d D_a^d C_d$$

$$\begin{split} D_{a} &= f_{V} D_{a}^{\nu} C_{\nu} + f_{i} D_{a}^{i} C_{i} + f_{2\nu} D_{a}^{2\nu} C_{2\nu} \\ + f_{crowdion} D_{a}^{crowdion} C_{crowdion} + f_{dumbbell} D_{a}^{dumbbell} C_{dumbbell} \dots \end{split}$$

Components of Radiation Enhanced Diffusion

Was, p. 207

Returning Spatial Dependence: Case Study

- 1D ion irradiation, includes:
 - A free surface
 - Dislocations
 - Thermal vacancies (not interstitials)
 - Differing interaction radii
 - Spatially dependent defect production
 - Injected interstitials

- 99.995% Fe
 - 3.5MeV Fe⁺² self-ions, 450C, ~1mA beam current
 - 1.8 · 10⁻³ dpa/s
 - Peak doses:
 - 35, 75, 105dpa
 - Characterization:
 - TEM
 - Image analysis







200 keV	140 keV	10 keV
1.7 MeV		1 MeV





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- Void swelling is observed below material surface
 - No swelling observed beyond 1µm depth
 - Range of Fe⁺² ions is ~1.5μm



L. Shao et al. (2014)

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Correlate damage

 (point defect creation)
 with injected
 interstitials



Courtesy of Lin Shao. Used with permission.

L. Shao et al. (2014)

- Image analysis used to estimate void fraction vs. distance
 - Squares identify voids



L. Shao et al. (2014)

Courtesy of Lin Shao. Used with permission.

L. Shao et al. (2014)

- Correlate damage

 (point defect creation)
 with injected
 interstitials
 - Voids not observed near injected interstitials



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More Experimental Evidence

• Occurs in complex alloys as well

• This is a highly general phenomenon!





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F. A. Garner, M. B. Toloczko, A. Certain, L. Shao, J. Gigax, C. Wei, "Impact of the Injected Interstitial Effect on Ion-Induced Void Swelling in Austenitic and Ferritic-ODS Alloys," TMS Poster (2014)

• Used <u>SRIM*</u> computer code to calculate damage rate (dpa/s) and implantation rate (Fe/cm³-s)



*Stopping Range of Ions in Matter

• Simultaneously plot damage (V + I) and injected interstitials (I only)





- Use SRIM data as forcing function for point defect balance equations
- Assumptions:
 - $E_M^{I} = 0.18 eV$ (<110> split dumbbell is dominant interstitial defect)
 - $E_M^V = 0.66eV$ (atomically pure iron) or 1.1eV (realistic purity)
 - Neglect formation of larger vacancy or interstitial defects
 - Defects can annihilate by diffusion, network dislocations, incoherent precipitates, nucleated voids, recombination, free surface annihilation

Simulation Framework

- MOOSE Multiphysics Object Oriented Simulation Environment
 - Greatly simplifies creating simulations quickly
 - Seamless ability to fully couple ODEs & PDEs on a finite element framework
 - Recently open sourced: www.mooseframework.com

MOOSE

ODE – Ordinary differential equation PDE – Partial differential equation

• Superimpose both point defect plots



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• Plot excess interstitial fraction



Quantifying the Injected Interstitial Effect

- Artificially "turn off" injected interstitials
- Run side-by-side simulations, all other parameters equal

```
[hereiam][~/projects/trunk/grime]> diff grime.i grime-noII.i
193d192
< SecondarySource = InjectedInterstitials
495c494
< file_base = grime
---
> file_base = grime-noII
[hereiam][~/projects/trunk/grime]> []
```

Screenshot showing difference in input files

Results – Point Defects

3.5MeV Fe⁺², 1mA, 1mm² beam, 450C, $E_M^{V} = 0.66eV$



Results – Vacancy Supersaturation 3.5MeV Fe⁺², 1mA, 1mm² beam, 450C, E_M^V = 0.66eV


Results – Void Nucleation Rate 3.5MeV Fe⁺², 1mA, 1mm² beam, 450C, E_M^V = 0.66eV



Compare with Experiments

3.5MeV Fe⁺², 1mA, 1mm² beam, 450C, $E_M^V = 0.66eV$



Explanation

• Small spatial defect imbalance has large change in vacancy supersaturation at peak injected interstitial locations

• This in turn affects void nucleation rate

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