# 1. Scattering Theory

We want to describe the interaction of radiation with matter as a scattering process. Specifically, we are interested in calculating the rate of scattering (and then the cross section), which is nothing else than the transition rate from an initial state (initial state of the matter + incoming particle) and a final state (final state of the target + outgoing radiation).

This is a problem that can be solved by using Fermi's Golden rule. We describe a scattering event as a particle coming close to a target or a medium, interacting with it and then being deflected away, thus we can define initial and final states and transition between them.

### **1.1 Cross Section**

The scattering cross section is defined as the rate of scattering divided by the incoming flux of "particles":

$d^2\sigma$	$W_S(\Omega, E)$
$\frac{1}{d \Omega dE} \propto$	$\Phi_{inc}$

We consider a particle + medium system, where the particle is some radiation represented by a plane wave of momentum  $\vec{k}$ . In general, we will have to define also other degrees of freedom denoted by the index  $\lambda$ , e.g for photons we will have to define the polarization while for particles (e.g. e neutrons) the spin.

The unperturbed Hamiltonian is  $\mathcal{H}_0 = \mathcal{H}_R + \mathcal{H}_M$  (radiation and medium). We assume that for  $t \to \pm \infty$  the radiation and matter systems are independent, with (eigen)states:

 $|i\rangle = |k_i, m_i\rangle, \qquad |f\rangle = |k_f, m_f\rangle$ 

with energies:

$$\mathcal{H}_{R}\left|k_{i}\right\rangle = \hbar\omega_{i}\left|k_{i}\right\rangle, \qquad \mathcal{H}_{R}\left|k_{f}\right\rangle = \hbar\omega_{f}\left|k_{f}\right\rangle, \qquad \mathcal{H}_{M}\left|m_{i}\right\rangle = \epsilon_{i}\left|k_{i}\right\rangle, \qquad \mathcal{H}_{M}\left|m_{f}\right\rangle = \epsilon_{f}\left|m_{f}\right\rangle$$

and total energies:  $E_i = \hbar \omega_i + \epsilon_i$  and  $E_f = \hbar \omega_f + \epsilon_f$ .



Scattering Medium

#### **Scattering Rate**

The rate of scattering is given by the FGR:

$$W_{fi} = \frac{2\pi}{\hbar} |\langle f | T | i \rangle |^2 \delta(E_f - E_i)$$

where T is the scattering potential. As usual, we want to replace, if possible, the delta-function with the final density of states. However, only the radiation will be left in a continuum of states, while the target will be left in one (of possibly many) definite state. To describe this distinction, we separate the final state into the two subsystems.

We first define the partial projection on radiation states only,  $T_{k_f,k_i} = \langle k_f | T | k_i \rangle$ . By writing the delta function as an integral we have:

$$W_{fi} = \frac{2\pi}{\hbar} \langle m_f | T_{k_f, k_i} | m_i \rangle \langle m_i | T_{k_f, k_i}^{\dagger} | m_f \rangle \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} e^{i(\omega_f - \omega_i)t} e^{i(\epsilon_f - \epsilon_i)t/\hbar}$$

Now, since  $e^{-i\mathcal{H}_R t/\hbar} |m_i\rangle = e^{-i\epsilon_i t/\hbar} |m_i\rangle$  (and similarly for  $|m_f\rangle$  we can rewrite

$$\langle m_f | T_{k_f,k_i} | m_i \rangle e^{i(\epsilon_f - \epsilon_i)t/\hbar} = \langle m_f | e^{i\mathcal{H}_R t/\hbar} T_{k_f,k_i} e^{-i\mathcal{H}_R t/\hbar} | m_i \rangle = \langle m_f | T_{k_f,k_i}(t) | m_i \rangle$$

and obtain a new expression for the rate as a correlation of "transition" events:

$$W_{fi} = \frac{1}{\hbar^2} \int_{-\infty}^{\infty} e^{i(\omega_f - \omega_i)t} \langle m_i | T_{k_f, k_i}^{\dagger}(0) | m_f \rangle \langle m_f | T_{k_f, k_i}(t) | m_i \rangle$$

#### Final density of states

The final density of states describe the available states for the radiation. As we assumed that the radiation is represented by plane waves (and assuming for convenience they are contained in a cavity of edge L), the final density of states is

$$\rho(k_f)d^3k_f = \left(\frac{L}{2\pi}\right)^3 k_f^2 dk_f d\Omega$$

We can express this in terms of the energy,  $\rho(k)d^3k = \rho(E)dEd\Omega$ . For example, for photons, which have  $k = E/\hbar c$  we have

$$\rho(E) = 2\left(\frac{L}{2\pi}\right)^3 \frac{E^2}{\hbar^3 c^3} = 2\left(\frac{L}{2\pi}\right)^3 \frac{\omega_k^2}{\hbar c^3}$$

where the factor 2 takes into account the possible polarizations. For neutrons (or other particles such that  $E = \frac{\hbar^2 k^2}{2m}$ ):

$$\rho(E) = \left(\frac{L}{2\pi}\right)^3 \frac{k}{\hbar^2} = \left(\frac{L}{2\pi}\right)^3 \frac{\sqrt{2mE}}{\hbar^3}$$

If the material target can be left in more than one final state, we sum over these final states f. Then the average rate is given by  $\overline{W}_S = \sum_f W_{fi}\rho(E)dEd\Omega$  (assuming that  $W_{fi}$  does not change very much in  $d\Omega$  and dE).

#### **Incoming Flux**

The incoming flux is given by the number of scatterer per unit area and unit time,  $\Phi = \frac{\#}{At}$ . In the cavity considered, we can express the time as t = L/v, thus the flux is  $\Phi = \frac{v}{L^3}$ . For photons, this is simply  $\Phi = c/L^3$ , while for massive particles (neutrons)  $v = \hbar k/m$ , yielding  $\Phi = \frac{\hbar k}{mL^3}$ .

## **1.2 Thermal Neutron Scattering**

Using the scattering rate above and the incoming flux and density of state expression, we can find the cross section for thermal neutrons. From

$$\rho(E)/\Phi = \left[ \left(\frac{L}{2\pi}\right)^3 \frac{mk_f}{\hbar^2} \right] / \left[ \frac{\hbar k_i}{mL^3} \right] = \frac{(mL^3)^2}{(2\pi\hbar)^3} \frac{k_f}{k_i}$$

we obtain

$$\frac{d^2\sigma}{d\,\Omega d\omega} = \hbar \frac{W}{\Phi} = \hbar \frac{\rho(E)}{\Phi} \frac{1}{\hbar^2} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle T_{if}^{\dagger}(0)T_{fi}(t) \right\rangle = \frac{1}{2\pi} \left(\frac{mL^3}{2\pi\hbar^2}\right)^2 \frac{k_f}{k_i} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle T_{if}^{\dagger}(0)T_{fi}(t) \right\rangle$$

where  $\langle \cdot \rangle$  indicates an ensemble average at the given temperature. Now the eigenstates  $|k_{i,f}\rangle$  are plane waves,  $\langle r|k \rangle = \psi_k(r) = e^{ik \cdot r} / L^{3/2}$ . Then, defining  $Q = k_i - k_f$  the transition matrix element is

$$T_{fi}(t) = \langle k_f | T(t) | k_i \rangle = \int_{L^3} d^3 r \psi_{k_f}(r)^* T(r,t) \psi_{k_i}(r) = \frac{1}{L^3} \int_{L^3} d^3 r e^{iQ \cdot r} T(r,t)$$

 $T_{fi}(0)^{\dagger} = \frac{1}{L^3} \int_{L^3} d^3 r e^{-iQ \cdot r} T(r,0)^{\dagger}$ 

and

#### **Fermi Potential**

To first order, we can approximate T by V, the nuclear potential in the center of mass frame (of the neutron+nucleus). You might recall that the nuclear potential is a very strong ( $V_0 \sim 30$ MeV) and narrow ( $r_0 \sim 2$ fm) potential. These characteristics seem to preclude a perturbative approach, since the assumption of a weak interaction (compared to the unperturbed system energy) is not satisfied. Still, the fact that the potential is narrow means that the interaction only happens for a very short time. Thus, if we average over time, we expect a weak interaction. More precisely, the scattering interaction only depends on the so-called *scattering length* a, which is on the order  $a \sim V_0 r_0$ . If we keep a constant, different combinations of V, r will give the same scattering behavior. We can thus replace the strong nuclear potential with a weaker, pseudo-potential  $\tilde{V}_0$ , provided this has a much longer range  $\tilde{r}_0$ , such that  $a \sim V_0 r_0 = \tilde{V}_0 \tilde{r}_0$ . We can choose  $\tilde{V}_0$ ,  $\tilde{r}_0$  so that the potential is weak (eV) but the range is still short compared to the wavelength of the incoming neutron,  $k\tilde{r}_0 \ll 1$ . Then, it is possible to replace the potential with a simple delta-function at the origin.

$$V(r) = \frac{2\pi\hbar^2}{\mu}a\delta(r)$$

We can also define the bound scattering length,  $b = \frac{\mu}{m_n} a \approx \frac{A+1}{A}$ , were  $m_n$  is the neutron's mass and A the nucleus mass number. Then the potential is

$$V(r) = \frac{2\pi\hbar^2}{m_n} a\delta(r)$$

Note that b (interaction length or bound scattering length) is a function of the potential strength and range, which depend on the isotope from which the neutron is scattered off.

Then to first order the transition matrix is  $T_{fi} = \frac{2\pi\hbar^2}{m_n}b$ , or more generally, if there are many scatterers, each at a position  $r_x(t)$ , we have:

$$T_{fi}(t) = \frac{2\pi\hbar^2}{m_n} \sum_x b_x e^{iQ \cdot r_x(t)}$$

The scattering cross section becomes

$$\frac{d^2\sigma}{d\,\Omega d\omega} = \frac{1}{2\pi} \frac{k_f}{k_i} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle \sum_{x,y} b_x b_y e^{-iQ \cdot r_x(0)} e^{iQ \cdot r_y(t)} \right\rangle$$

Notice that since the collisions are spin-dependent, we should average over isotopes and spin states and replace  $b_x b_y$  with  $\overline{b_x b_y}$ .

#### **Scattering Lengths**

Notice that b does not depend explicitly on position, although the position determines which isotope/spin we should consider. What is  $\overline{b_x b_y}$ ? We have two contributions. For x = y this is  $\overline{b^2} \delta_{x,y}$ , while for  $x \neq y$ , it is  $\overline{b}^2 (1 - \delta_{x,y})$ . We then write  $\overline{b_x b_y} = (\overline{b^2} - \overline{b}^2) \delta_{x,y} + \overline{b}^2 = b_i^2 + b_c^2$  which defines the coherent scattering length  $b_c = \overline{b}$  and the incoherent scattering length  $b_i^2 = \overline{b^2} - \overline{b}^2$ . If there are N scatterers, we have  $\sum b_x b_y = N(b_i^2 + b_c^2)$ .

#### **Structure Factors**

Using these definition, we arrive at a simplified expression:

$$\frac{d^2\sigma}{d\,\Omega d\omega} = N \frac{k_f}{k_i} \left( b_i^2 S_S(Q,\omega) + b_c^2 S(Q,\omega) \right)$$

where we used the self-dynamic structure factor

$$S_S(Q,\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle \frac{1}{N} \sum_{x} e^{-iQ \cdot r_x(0)} e^{iQ \cdot r_x(t)} \right\rangle$$

which simplifies to

$$S_S(Q,\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle e^{-iQ\cdot r(0)} e^{iQ\cdot r(t)} \right\rangle$$

if all nuclei are equivalent (same isotope), and the full dynamic structure factor

$$S(Q,\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\omega_{fi}t} \left\langle \frac{1}{N} \sum_{x,y} e^{-iQ \cdot r_x(0)} e^{iQ \cdot r_y(t)} \right\rangle$$

The structure factors depend only on the material properties. Thus they give information about the material when obtained from experiments.

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