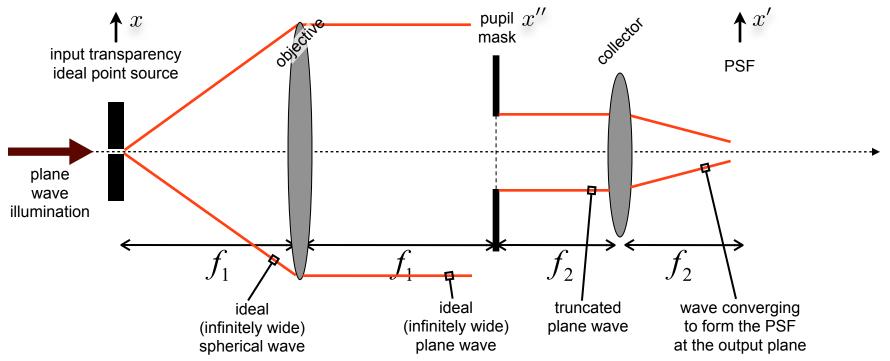
The Point-Spread Function (PSF) of a low-pass filter



Now consider the same 4F system but replace the input transparency with an ideal point source, implemented as an opaque sheet with an infinitesimally small transparent hole and illuminated with a plane wave on axis (actually, any illumination will result in a point source in this case, according to Huygens.)

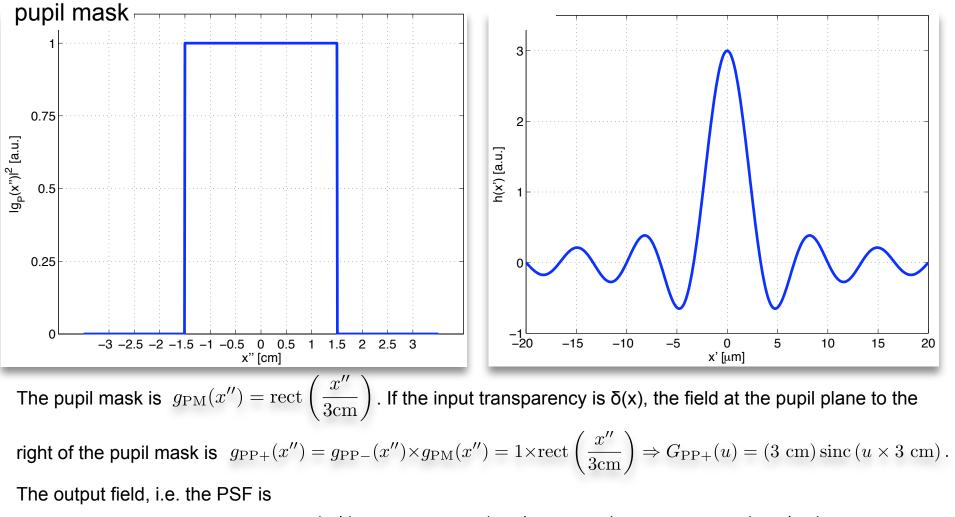
In Systems terminology, we are exciting this linear system with an impulse (delta-function); therefore, the response is known as **Impulse Response**.

In Optics terminology, we use instead the term **Point-Spread Function (PSF)** and we denote it as h(x', y'). The sequence to compute the PSF of a 4F system is:

- \Rightarrow observe that the Fourier transform of the input transparency $\delta(x)$ is simply 1 everywhere at the pupil plane
- ➡ multiply 1 by the complex amplitude transmittance of the pupil mask
- ⇒ Fourier transform the product and scale to the output plane coordinates $x' = u\lambda f_2$.

Therefore, the PSF is simply the Fourier transform of the pupil mask, scaled to the output coordinates $x' = u\lambda f_2$ 04/15/09 wk10-b-22

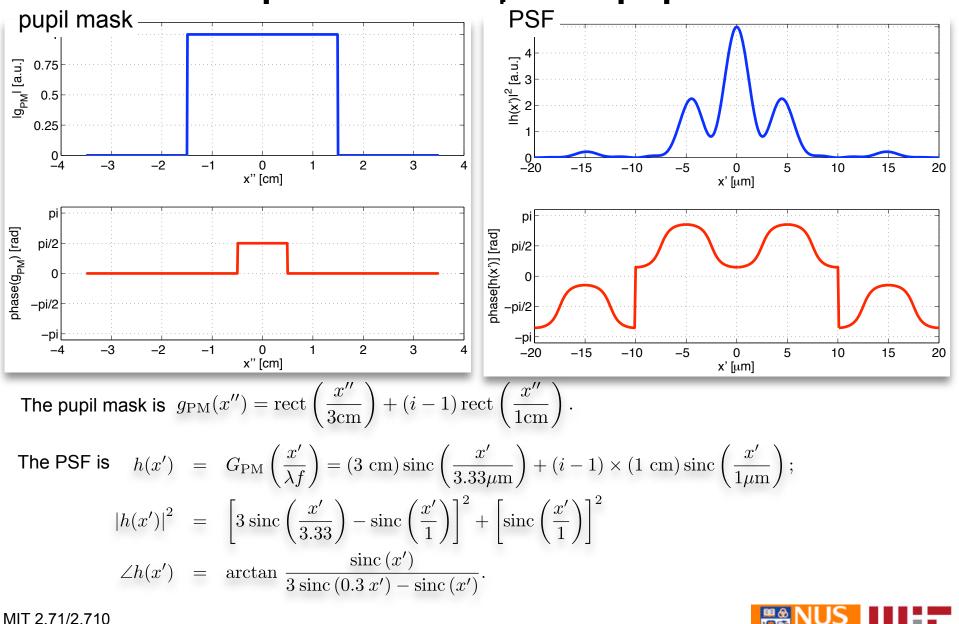
Example: PSF of a low-pass filter



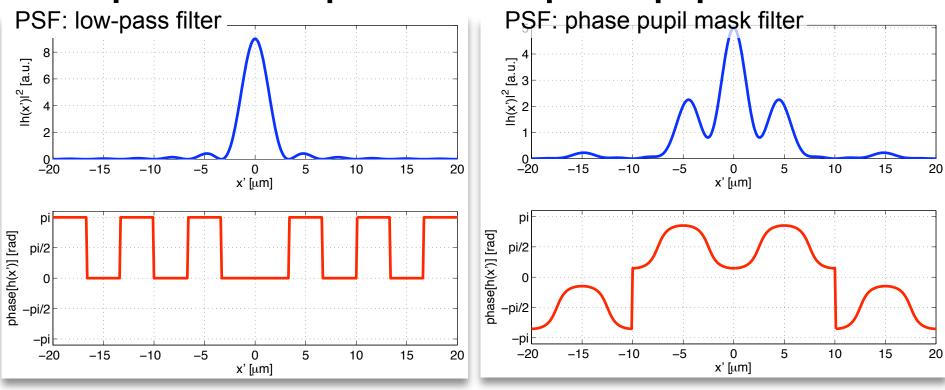
$$g_{\text{out}}(x') \equiv h(x') = G_{\text{PM}}\left(\frac{x'}{\lambda f}\right) = (3 \text{ cm})\operatorname{sinc}\left(\frac{x' \times 3 \text{ cm}}{0.5\mu\text{m} \times 20\text{cm}}\right) = (3 \text{ cm})\operatorname{sinc}\left(\frac{x'}{3.33\mu\text{m}}\right)$$

The scaling factor (3×) in the PSF ensures that the integral $\int |h(x')|^2 dx$ equals the portion of the input energyMIT 2.71/2.710transmitted through the system04/15/09 wk10-b-23 $\bigvee h = 1$

Example: PSF of a phase pupil filter



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Comparison: low-pass filter vs phase pupil mask filter

$$h(x') = (3 \text{ cm}) \operatorname{sinc} \left(\frac{x'}{3.33\mu \text{m}}\right);$$

$$|h(x')|^2 = 9 \operatorname{sinc}^2 \left(\frac{x'}{3.33}\right)$$

$$\angle h(x') = \begin{cases} 0, & \text{if sinc} (0.3 x') > 0\\ \pi, & \text{if sinc} (0.3 x') < 0 \end{cases}$$

$$h(x') = (3 \text{ cm}) \operatorname{sinc} \left(\frac{x'}{3.33\mu \text{m}}\right) + (i-1) \times (1 \text{ cm}) \operatorname{sinc} \left(\frac{x'}{1\mu \text{m}}\right);$$

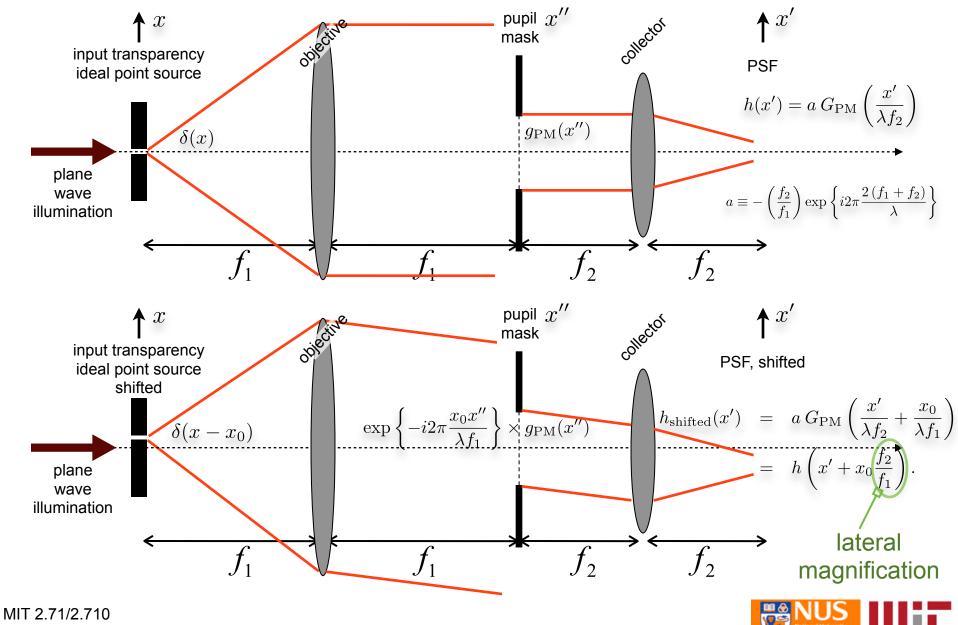
$$|h(x')|^2 = \left[3 \operatorname{sinc} \left(\frac{x'}{3.33}\right) - \operatorname{sinc} \left(\frac{x'}{1}\right)\right]^2 + \left[\operatorname{sinc} \left(\frac{x'}{1}\right)\right]^2$$

$$\angle h(x') = \arctan \frac{\operatorname{sinc} (x')}{3 \operatorname{sinc} (0.3 x') - \operatorname{sinc} (x')}.$$



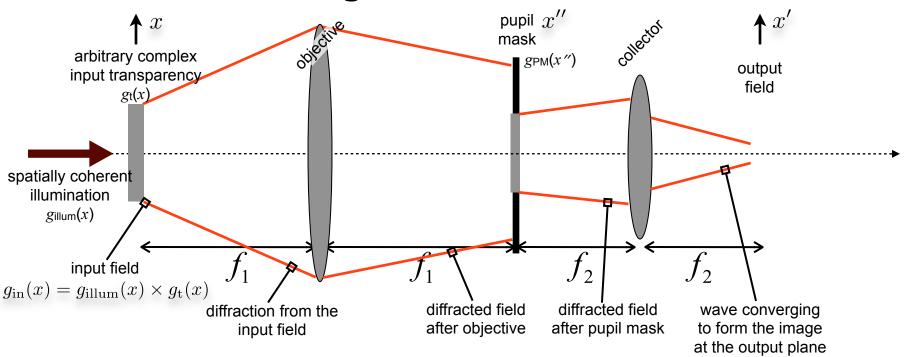
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Shift invariance of the 4F system



04/15/09 wk10-b-26

The significance of the PSF



Finally, consider the same 4F system with an input transparency whose transmittance is an arbitrary complex function $g_t(x)$ and the field $g_{in}(x)$ immediately to the right of the input transparency.

According to the sifting theorem for δ -functions, we may express $g_{in}(x)$ as $g_{in}(x) = \int_{-\infty}^{+\infty} g_{in}(x_1) \delta(x-x_1) dx_1$

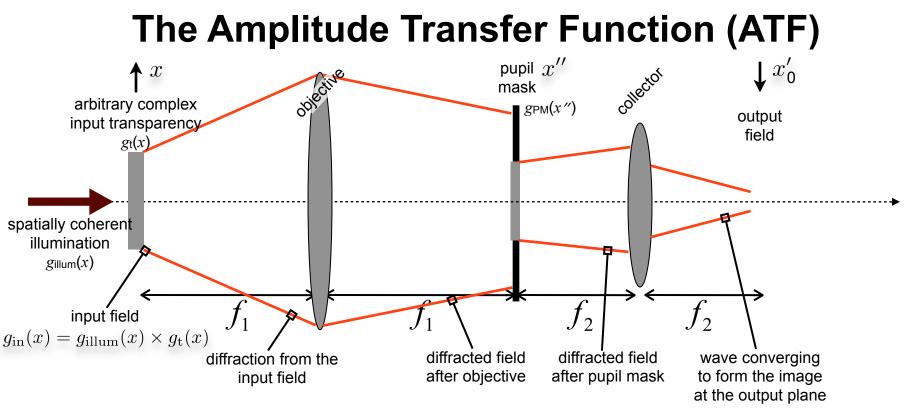
Physically, this expresses a superposition of point sources weighted by the input field, i.e. Huygens' principle.

Using the shift invariance property, we find that the field produced at the output plane by each Huygens point source is the PSF, shifted by $-x_1 \times f_2/f_1$, (note: $-f_2/f_1$ is the lateral magnification) and weighted by $g_{in}(x_1)$; i.e.,

the output field is *almost* a convolution (within a sign & a scaling factor) of the input field with the PSF:

$$g_{\text{out}}(x') = \int_{-\infty}^{+\infty} g_{\text{in}}(x_1) h\left(x' + x_1 \frac{f_2}{f_1}\right) dx_1$$

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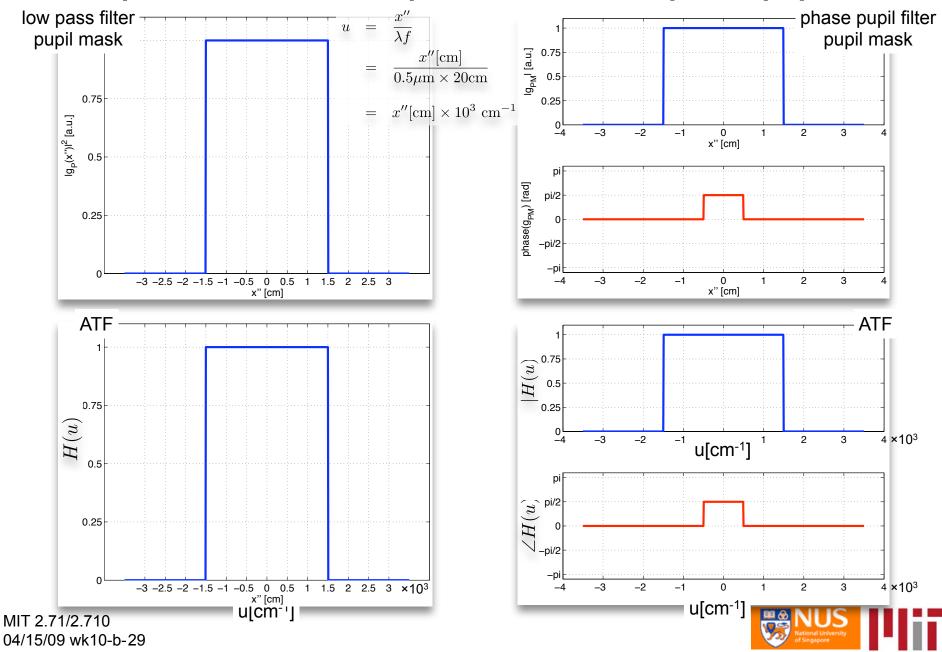
To avoid the mathematical complications of inversion and lateral magnification at the output plane, we define the "reduced" output coordinate $x'_0 = -\frac{f_1}{f_2}x'$. Inverted and re-sampled in the reduced coordinate, the output field is expressed simply as a convolution $g_{out}(x'_0) = \int_{-\infty}^{+\infty} g_{in}(x)h(x'_0 - x) dx$.

Using the convolution theorem of Fourier transforms, we can re-express this input-output relationship in the spatial frequency domain as $G_{out}(u) = G_{in}(u)H(u)$, where H(u) is the **amplitude transfer function (ATF)**. Inverting the Fourier transform relationship between $g_{PM}(x'')$ and the PSF, we obtain $H(u) = a g_{PM} (\lambda f_1 u)$ That is, the ATF of the 4F system is obtained directly from the pupil mask, via a *coordinate scaling transformation*.

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Example: ATFs of the low-pass filter and the phase pupil mask



Today

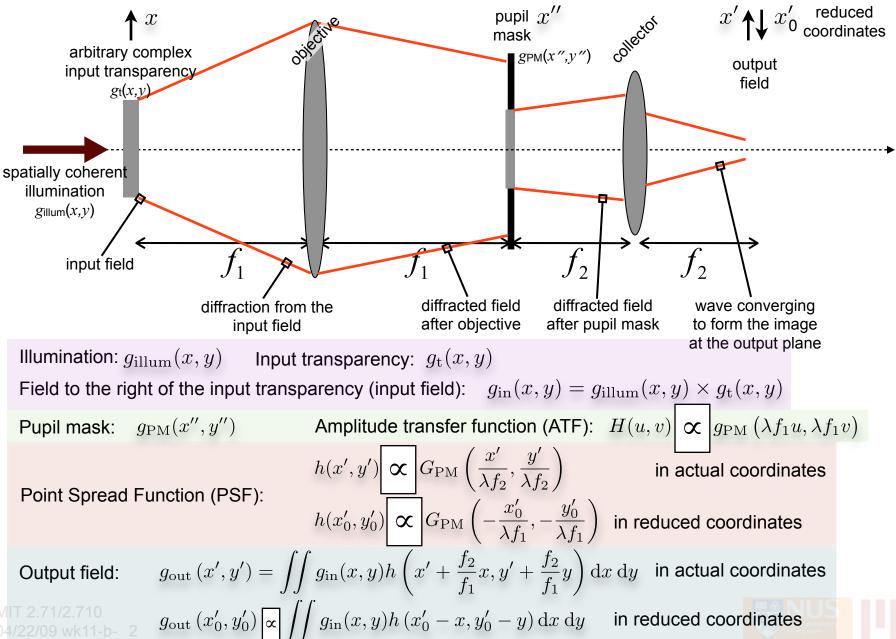
- Lateral and angular magnification
- The Numerical Aperture (NA) revisited
- Sampling the space and frequency domains, and the Space-Bandwidth Product (SBP)
- Pupil engineering

next two weeks

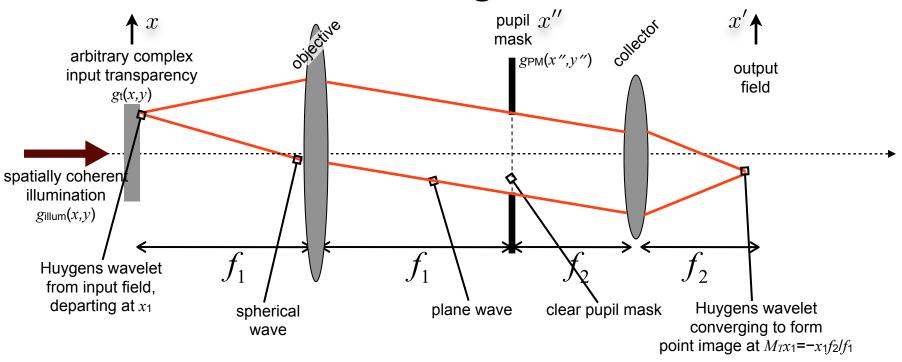
- Depth of focus and depth of field
- The angular spectrum
- "Non-diffracting" beams
- Temporal and spatial coherence
- Spatially incoherent imaging



4F imaging as a linear shift invariant system



Lateral magnification



An ideal imaging system with a point source as input field should form a point image at the output plane. Therefore, the ideal PSF is a δ -function. This is of course the limit of Geometrical Optics and in practice unachievable because of diffraction; alternatively, it implies that the spatial bandwidth is infinite, or that the lateral extent of the pupil mask is infinite. Both conditions are non-physical; however, for the purpose of calculating the geometrical magnification, let us indeed assume that

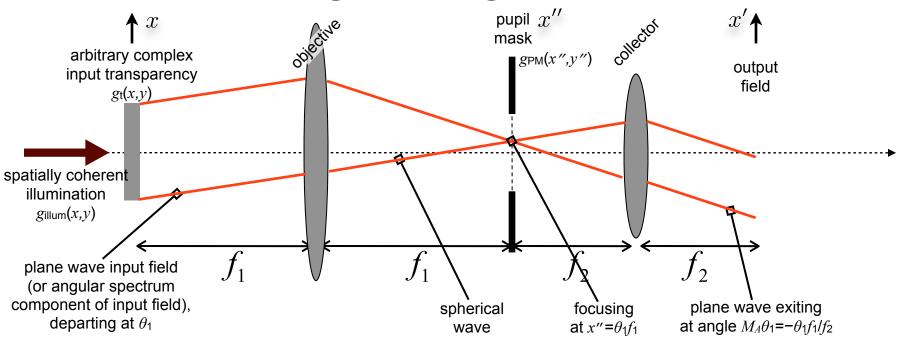
$$h(x',y') = \delta(x',y') \Rightarrow g_{\text{out}}(x',y') = \iint g_{\text{in}}(x,y)\delta\left(x' + \frac{f_2}{f_1}x,y' + \frac{f_2}{f_1}y\right) \mathrm{d}x\mathrm{d}y \Rightarrow g_{\text{out}}(x',y') \boxed{\alpha} g_{\text{in}}\left(-\frac{f_1}{f_2}x', -\frac{f_1}{f_2}y'\right) + \frac{f_2}{f_1}y'$$

So our calculation has reproduced the Geometrical Optics result for a telescope with finite conjugates:

$$M_T = -\frac{f_2}{f_1}$$

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Angular magnification



Now let us consider a plane wave input field $\exp\left\{i2\pi\frac{\theta_1}{\lambda}x\right\} = \exp\left\{i2\pi u_1x\right\}; \quad u_1 \equiv \frac{\theta_1}{\lambda} \text{ spatial frequency}$

Still assuming the ideal geometrical PSF, the output field is

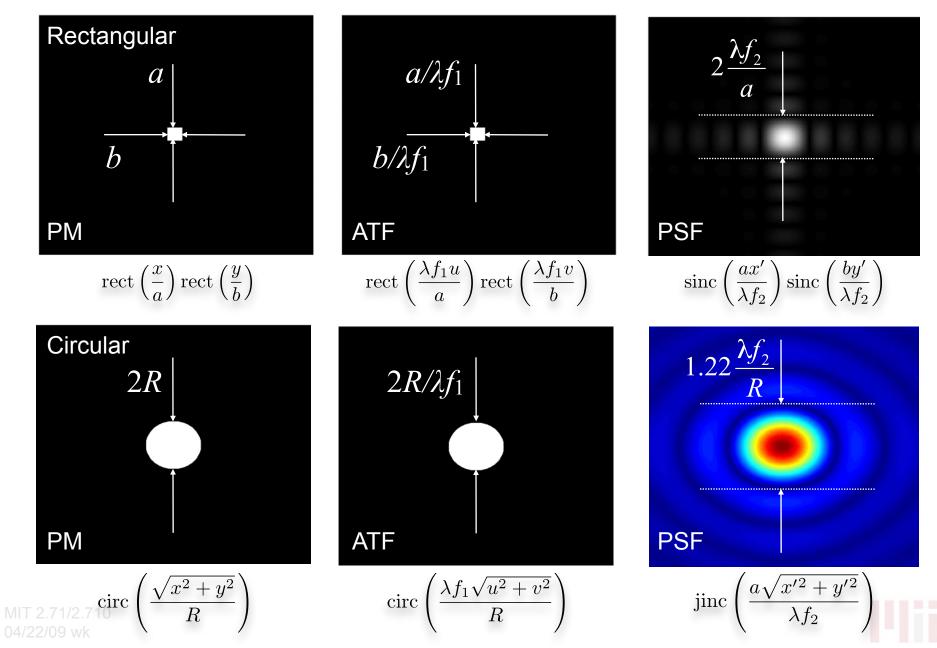
$$g_{\text{out}}\left(x'\right) \boxed{\alpha} g_{\text{in}}\left(-\frac{f_1}{f_2}x'\right) = \exp\left\{i2\pi\frac{\theta_1}{\lambda}\left(-\frac{f_1}{f_2}\right)x'\right\} = \exp\left\{i2\pi\frac{1}{\lambda}\left(-\frac{f_1}{f_2}\theta_1\right)x'\right\}$$

So the angular magnification is $M_A = -\frac{f_1}{f_2}$ again in agreement with Geometrical Optics.

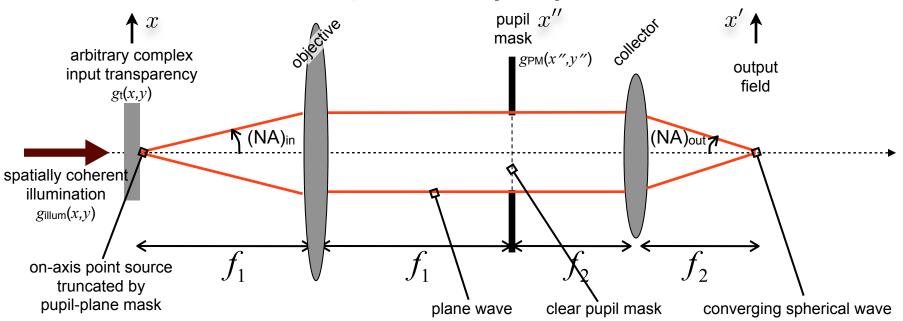
Based on the interpretation of propagation angle as spatial frequency, the magnification results are also in agreement with the scaling (similarity) theorem of Fourier transforms:

$$g_{\text{out}}\left(x',y'\right) \boxtimes g_{\text{in}}\left(-\frac{f_1}{f_2}x',-\frac{f_1}{f_2}y'\right) \Leftrightarrow G_{\text{out}}(u,v) \boxtimes \left(\frac{f_2}{f_1}\right)^2 G_{\text{in}}\left(-\frac{f_2}{f_1}u,-\frac{f_2}{f_1}v\right) \xrightarrow{\text{NUS}}$$

Example: PM, ATF and PSF for clear apertures



Numerical aperture (NA) and PSF size



The pupil mask is the system aperture (assuming that the lenses are sufficiently large); therefore,

...

$$(NA)_{in} = \frac{a}{f_1}; \qquad (NA)_{out} = \frac{a}{f_2} = |M_A| \times (NA)_{in}$$
Let $\Delta x'$ denote
the half-size of
the PSF main
lobe; then,
$$\Delta x' = \frac{\lambda}{(NA)_{out}}$$

$$\begin{bmatrix} 2\frac{\lambda f_2}{a} \\ a \end{bmatrix}$$

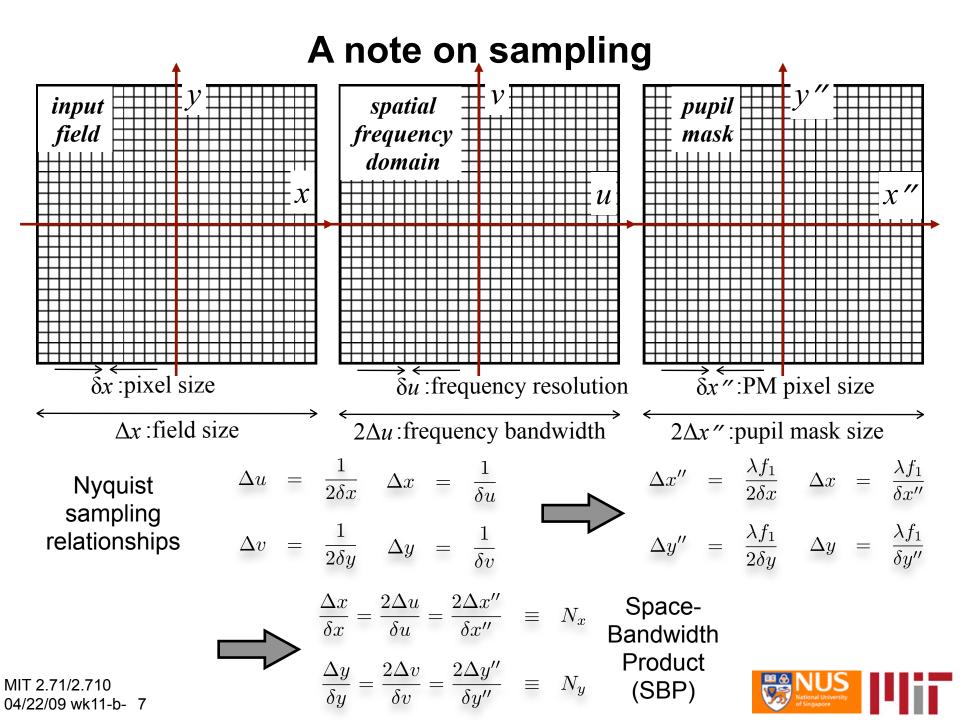
$$\begin{bmatrix} 1.22\frac{\lambda f_2}{R} \\ R \end{bmatrix}$$

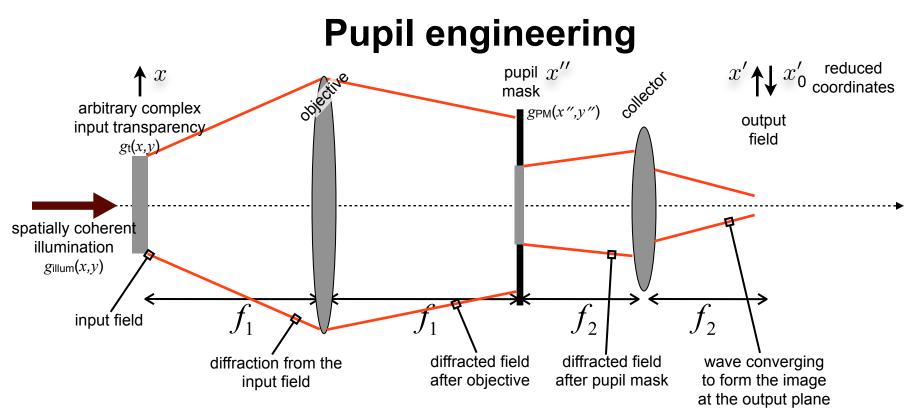
$$\begin{bmatrix} 1.22\frac{\lambda f_2}{R} \\ C \end{bmatrix}$$

$$\Delta r' = 0.61\frac{\lambda}{(NA)}$$
PSF
$$\begin{bmatrix} MIT 2.71/2.710 \\ 04/22/09 \text{ wk11-b- 6} \end{bmatrix}$$

$$\begin{bmatrix} MIT 2.71/2.710 \\ OH 22/09 \text{ wk11-b- 6} \end{bmatrix}$$

out





Amplitude transfer function (ATF): $H(u, v) \propto g_{PM} \left(\lambda f_1 u, \lambda f_1 v \right)$

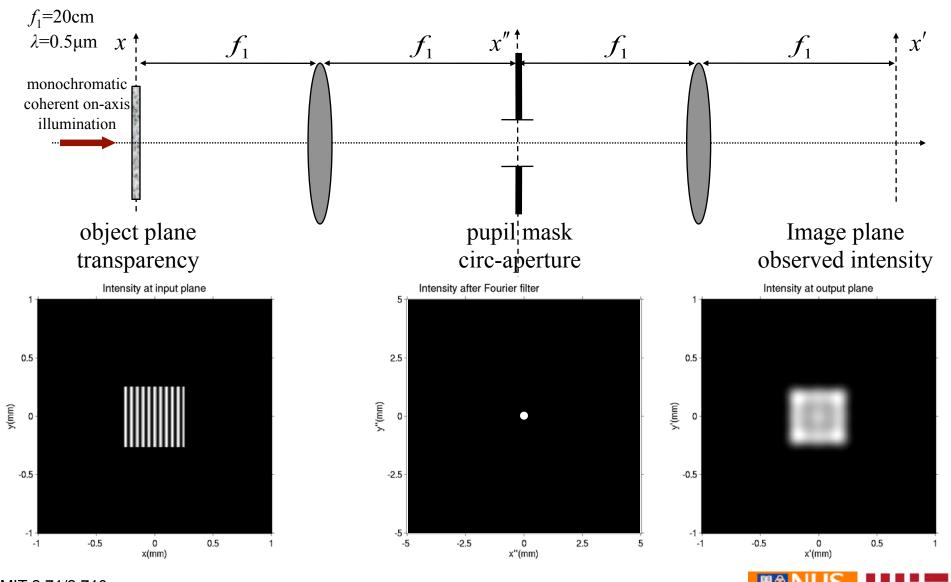
Point Spread Function (PSF): $h(x', y') \propto G_{PM}\left(\frac{x'}{\lambda f_2}, \frac{y'}{\lambda f_2}\right)$ in actual coordinates

Output field: $g_{\text{out}}(x',y') = \iint g_{\text{in}}(x,y)h\left(x' + \frac{f_2}{f_1}x, y' + \frac{f_2}{f_1}y\right) dx dy$ in actual coordinates

Pupil engineering is the design of a pupil mask $g_{PM}(x'',y'')$ such that the ATF, the PSF or the output field meet requirement(s) specified by the user of the imaging system

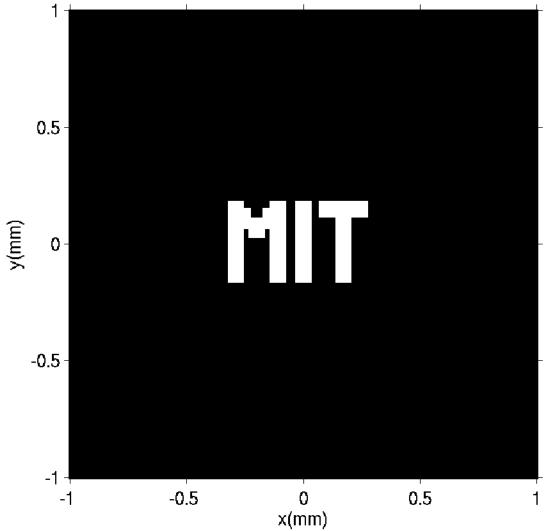


Example: spatial frequency clipping



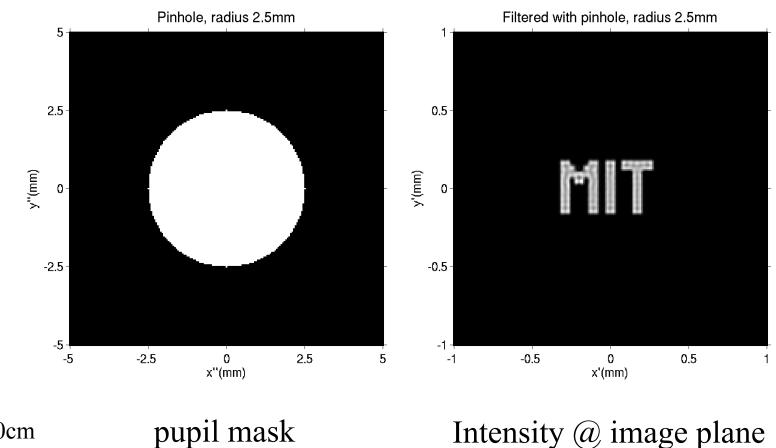
Spatial filtering examples: the amplitude MIT pattern

Original MIT pattern





Weak low-pass filtering

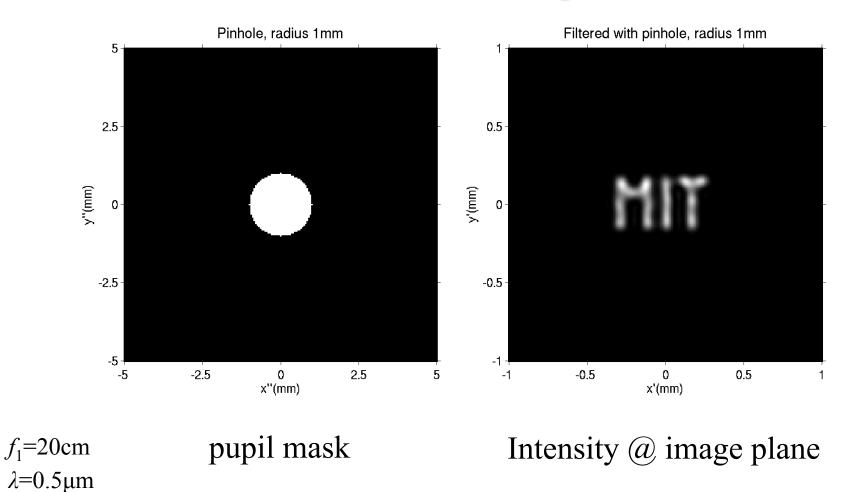


 $f_1=20$ cm $\lambda=0.5$ µm



Moderate low-pass filtering

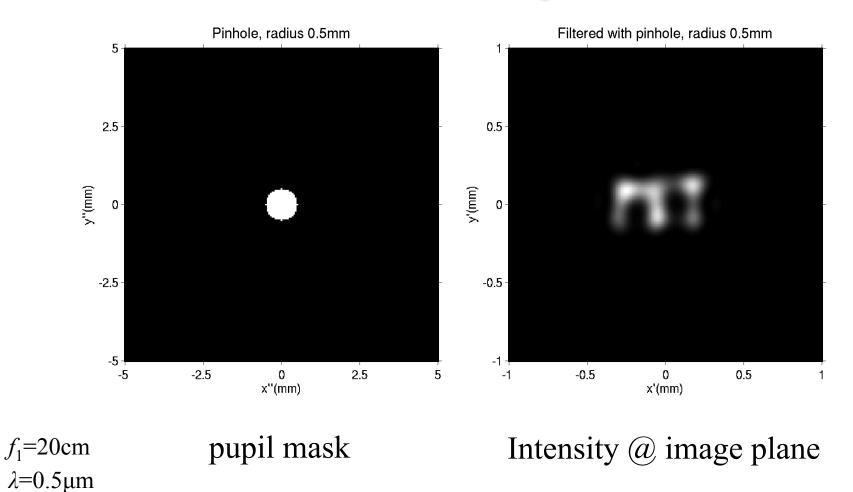
(moderate blurring)





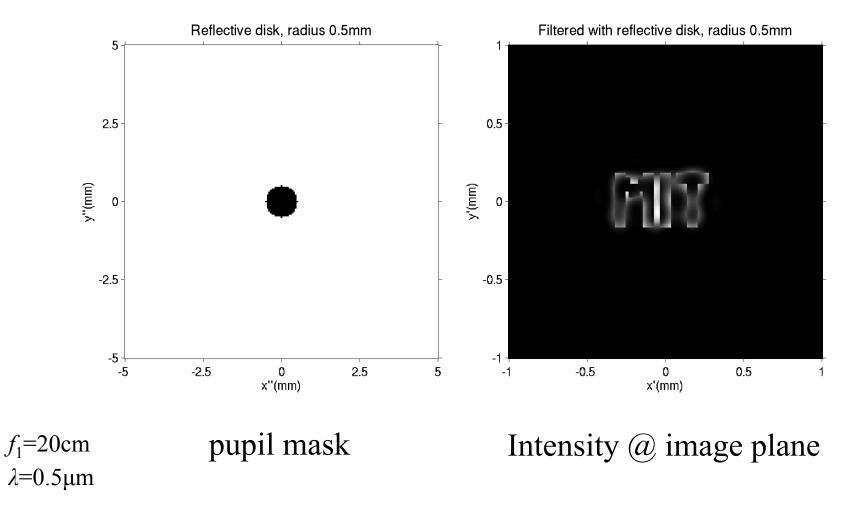
Strong low-pass filtering

(strong blurring)





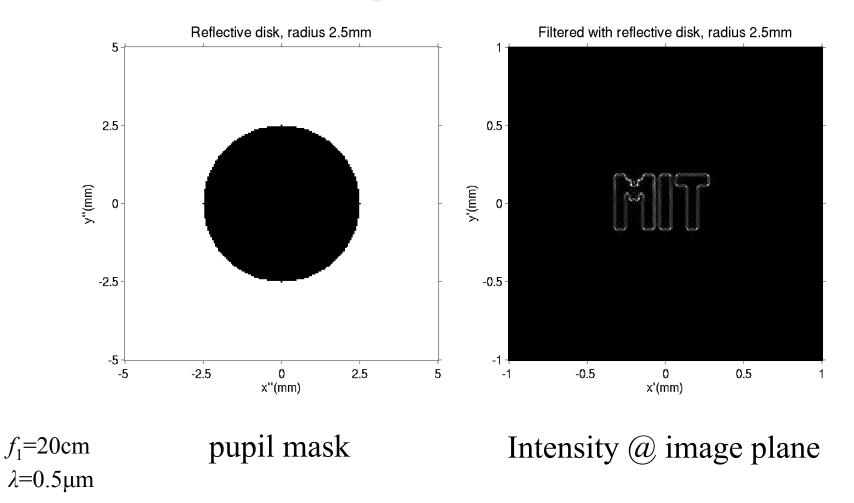
Moderate high-pass filtering





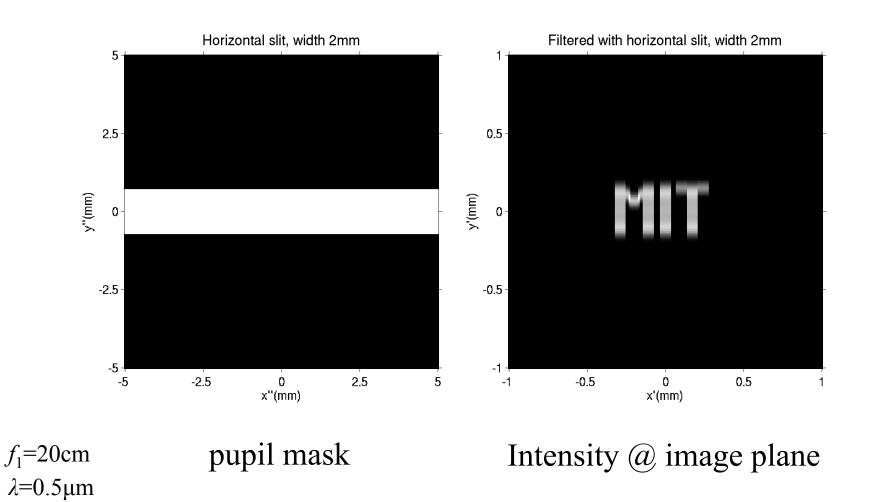
Strong high-pass filtering

(edge enhancement)



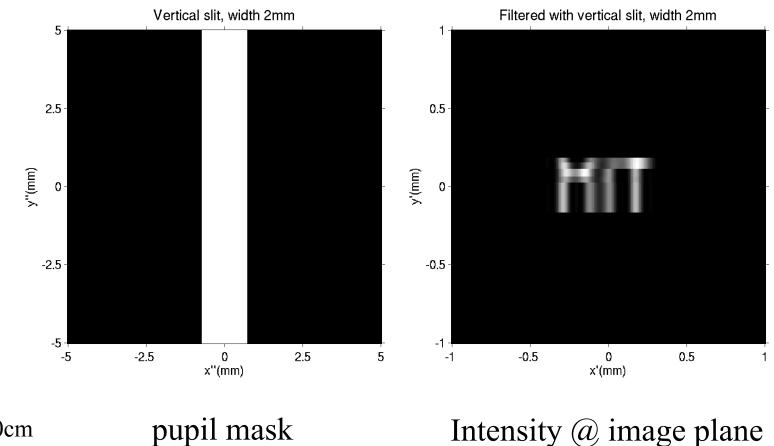


One-dimensional (1D) blur: vertical





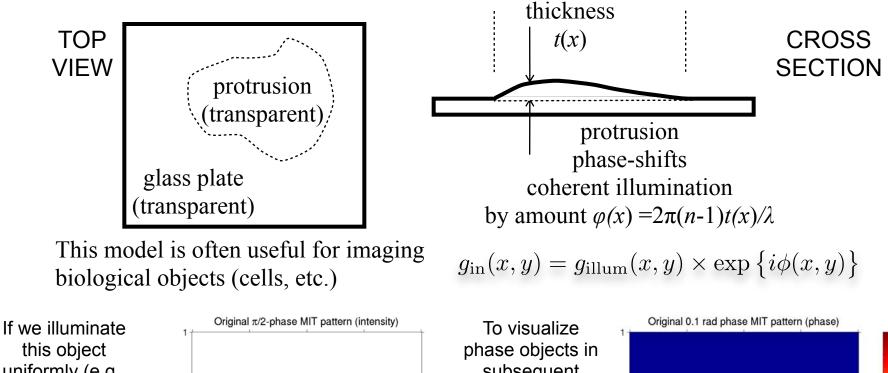
One-dimensional (1D) blur: horizontal



 $f_1=20$ cm $\lambda=0.5$ µm

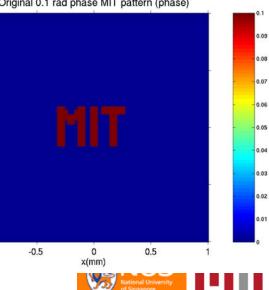


Phase objects

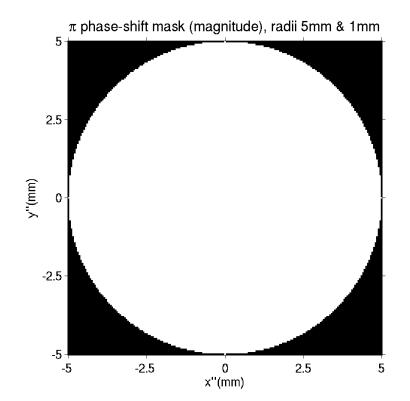


uniformly (e.g., subsequent with a plane slides, we use the 0.5 0.5 wave) the color resulting intensity representation of y(mm) 0 $|g_{in}(x)|^2$ is also phase as shown here. Physically, uniform, i.e. the object is invisible. phase may be -0.5 -0.5 measured with interferometry. -1 -0.5 0.5 -1 -1 0 x(mm) MIT 2.71/2.710

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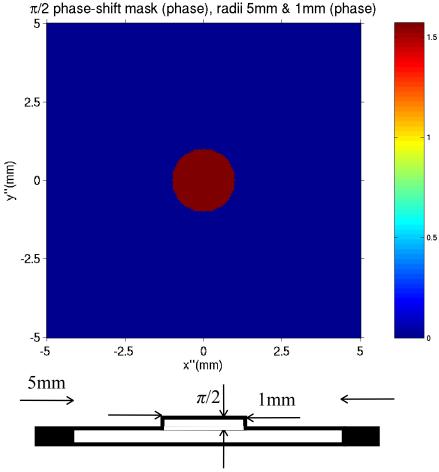


The Zernike phase pupil mask



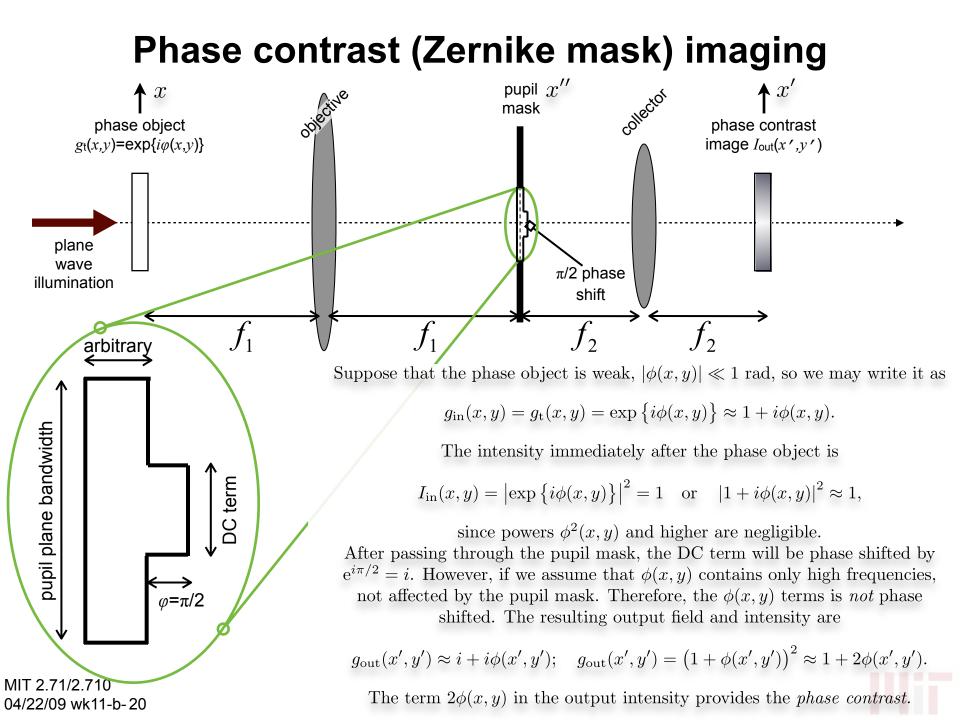
The Zernike mask is a phase pupil mask used often to visualize input transparencies that are themselves phase objects. The Zernike mask imparts phase delay of $\pi/2$ near the center of the pupil plane, i.e. at the lower spatial frequencies. The result at the output plane is *intensity contrast* at the edges of the phase object.

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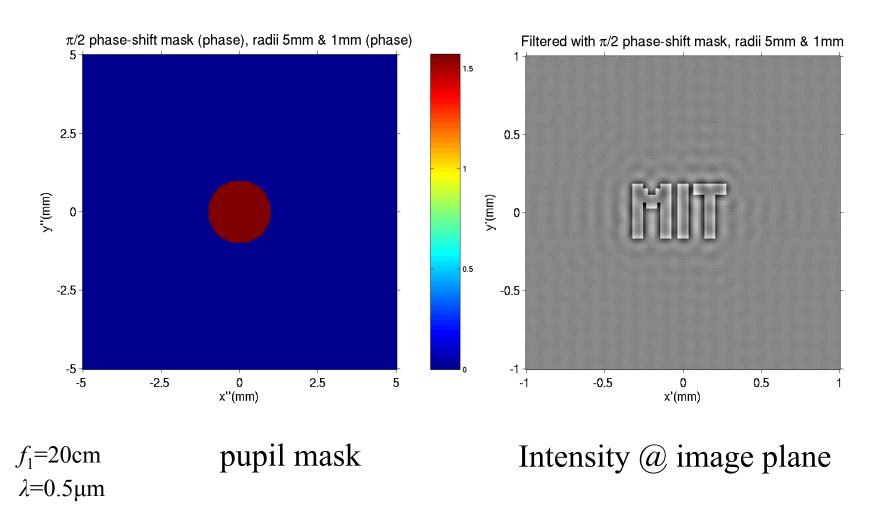


Use of the Zernike phase mask is also called **phase contrast imaging.**





Phase contrast imaging the MIT phase object

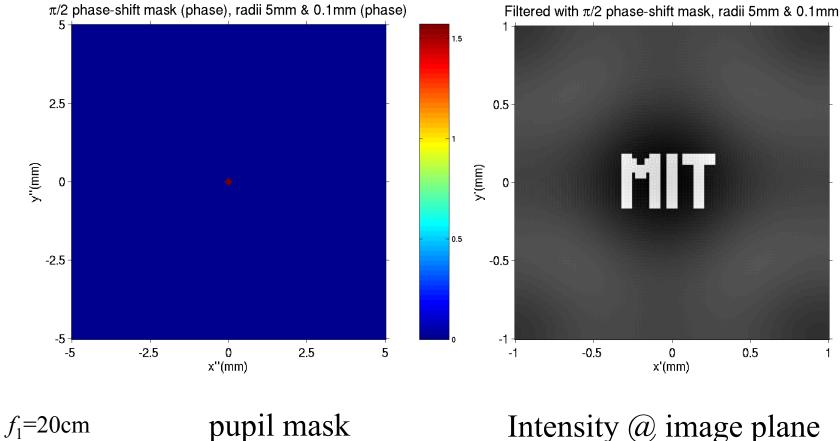


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Phase contrast imaging the MIT phase object



 $\lambda = 0.5 \mu m$



The transfer function of Fresnel propagation

$$x'$$
Fresnel (free space) propagation
may be expressed as a
convolution integral

$$g_{out}(x,y)$$

$$g_{out}(x',y') = g_{in}(x,y) \star \left(\frac{e^{i2\pi\frac{x}{\lambda}}}{i\lambda z}\exp\left\{i\pi\frac{x^2+y^2}{\lambda z}\right\}\right)$$

$$g_{out}(x',y';z) = \frac{1}{i\lambda z}\exp\left\{i2\pi\frac{x}{\lambda}\right\} \iint g_{in}(x,y)\exp\left\{i\pi\frac{(x'-x)^2+(y'-y)^2}{\lambda z}\right\} dxdy$$

$$g_{in}(x,y)$$

$$\frac{e^{i2\pi\frac{x}{\lambda}}\exp\left\{i\pi\frac{x^2+y^2}{\lambda z}\right\}}{i\lambda z} \qquad g_{out}(x',y') = g_{in}(x,y) \star \left(\frac{e^{i2\pi\frac{x}{\lambda}}}{i\lambda z}\exp\left\{i\pi\frac{x^2+y^2}{\lambda z}\right\}\right)$$

$$G_{in}(u,v)$$

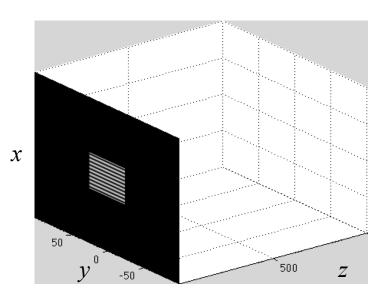
$$e^{i2\pi\frac{x}{\lambda}}\exp\left\{-i\lambda z\left(u^2+v^2\right)\right\}} \qquad G_{out}(u,v) = G_{out}(u,v) \cdot \left(e^{i2\pi\frac{x}{\lambda}}\exp\left\{-i\lambda z\left(u^2+v^2\right)\right\}\right)$$

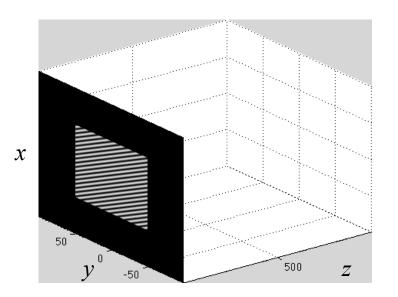
$$h(x,y) = \frac{e^{i2\pi\frac{x}{\lambda}}}{i\lambda z}\exp\left\{-i\lambda z\left(u^2+v^2\right)\right\}} \qquad Point-Spread Function$$

$$H(u,v) = e^{i2\pi\frac{x}{\lambda}}\exp\left\{-i\lambda z\left(u^2+v^2\right)\right\}} \qquad Transfer Function$$

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The Talbot effect





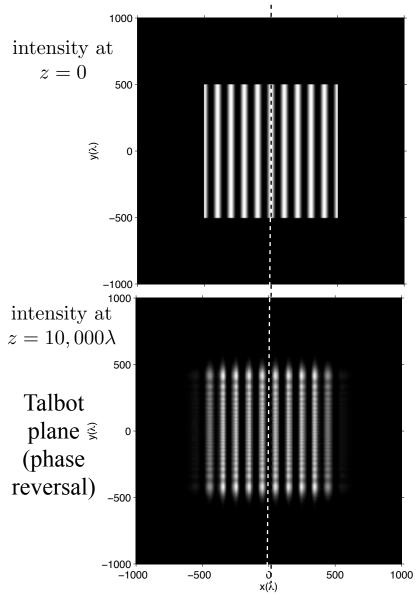
$$g_t(x) = \frac{1}{2} \left[1 + m \cos \left(2\pi \frac{x}{\Lambda} + \phi \right) \right]$$
$$\Lambda = 5\lambda$$
$$m = 1.0$$
$$\phi = 0.$$

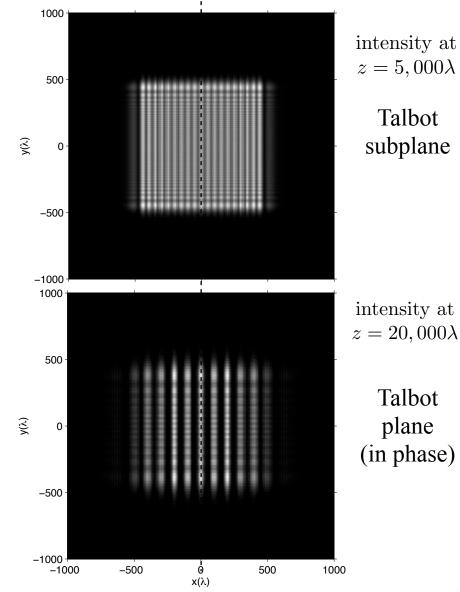
$$g_t(x,y) = \frac{1}{2} \left[1 + m \cos\left(2\pi \frac{x\sin\psi + y\cos\psi}{\Lambda} + \phi\right) \right]$$

$$\begin{array}{rcl} \Lambda & = & 5\lambda \\ m & = & 1.0 \\ \phi & = & 0 \\ \psi & = & 30^{\circ}. \end{array}$$



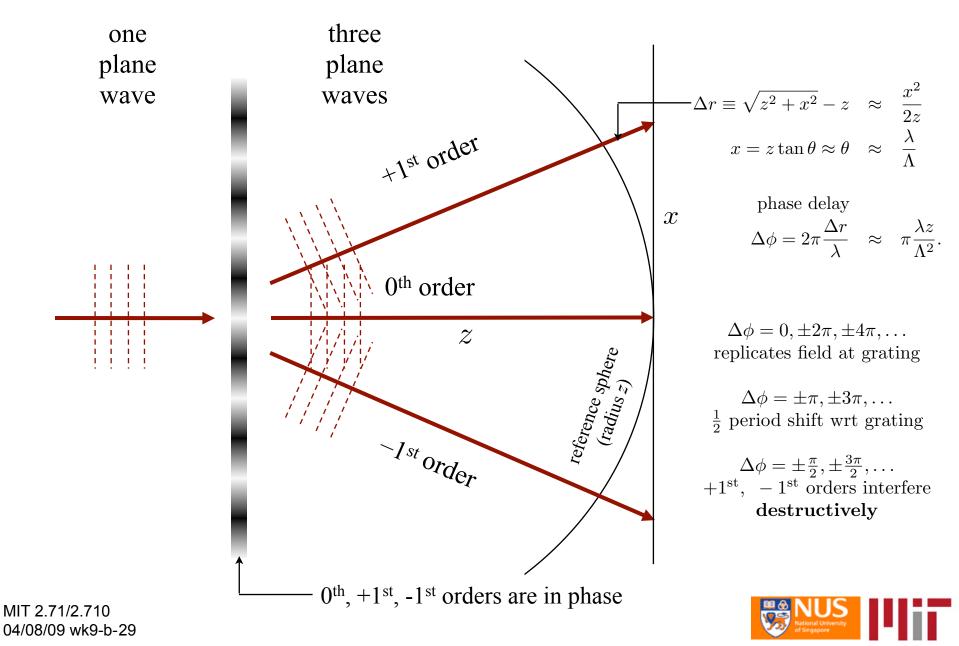
Talbot effect: still shots







Physical explanation of the Talbot effect



• Field immediately past grating

$$g(x;0) = \frac{1}{2} \left\{ 1 + m \cos\left(2\pi \frac{x}{\Lambda}\right) \right\}$$

- also expressed as $g(x;0) = \frac{1}{2} \left\{ 1 + \frac{m}{2} \exp\left(i2\pi \frac{x}{\Lambda}\right) + \frac{m}{2} \exp\left(-i2\pi \frac{x}{\Lambda}\right) \right\}$

• Fourier transform (spatial spectrum) of field past grating

$$G(u;0) = \frac{1}{2} \left\{ \delta(0) + \frac{m}{2} \delta\left(u - \frac{1}{\Lambda}\right) + \frac{m}{2} \delta\left(u + \frac{1}{\Lambda}\right) \right\}$$

• Fourier transform (spatial spectrum) of Fresnel propagation kernel

$$H(u, v; z) = \exp\left\{-i\pi\lambda z \left(u^2 + v^2\right)\right\}$$

- value at grating's spatial frequencies $H(\pm \frac{1}{\Lambda}, 0) = \exp\left\{-i\pi \frac{\lambda z}{\Lambda^2}\right\}$



• Fourier transform (spatial spectrum) of field propagated to distance *z*,

$$\begin{split} G(u,v;z) &= G(u,v;0) \times H(u,v;z) \\ &= \frac{1}{2} \left\{ \delta(0) + \frac{m}{2} \delta\left(u - \frac{1}{\Lambda}\right) + \frac{m}{2} \delta\left(u + \frac{1}{\Lambda}\right) \right\} \times H(u,v;z) \\ &= \frac{1}{2} \left\{ H(0,0;z) \delta(0) + \frac{m}{2} H\left(\frac{1}{\Lambda},0;z\right) \delta\left(u - \frac{1}{\Lambda}\right) \right. \\ &\quad + \frac{m}{2} H\left(-\frac{1}{\Lambda},0;z\right) \delta\left(u + \frac{1}{\Lambda}\right) \right\} \\ &= \frac{1}{2} \left\{ \delta(0) + \frac{m}{2} \exp\left(-i\pi \frac{\lambda z}{\Lambda^2}\right) \delta\left(u - \frac{1}{\Lambda}\right) \right. \\ &\quad + \frac{m}{2} \exp\left(-i\pi \frac{\lambda z}{\Lambda^2}\right) \delta\left(u + \frac{1}{\Lambda}\right) \right\} \end{split}$$

• Inverse Fourier transforming,

$$g(x;z) = \frac{1}{2} \left\{ 1 + \frac{m}{2} \exp\left(-i\pi\frac{\lambda z}{\Lambda^2}\right) \exp\left(i2\pi\frac{x}{\Lambda}\right) + \frac{m}{2} \exp\left(-i\pi\frac{\lambda z}{\Lambda^2}\right) \exp\left(-i2\pi\frac{x}{\Lambda}\right) \right\}$$

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• Our previous result

$$g(x;z) = \frac{1}{2} \left\{ 1 + \frac{m}{2} \exp\left(-i\pi\frac{\lambda z}{\Lambda^2}\right) \exp\left(i2\pi\frac{x}{\Lambda}\right) + \frac{m}{2} \exp\left(-i\pi\frac{\lambda z}{\Lambda^2}\right) \exp\left(-i2\pi\frac{x}{\Lambda}\right) \right\}$$

- also expressed as

$$g(x;z) = \frac{1}{2} \left\{ 1 + m \exp\left(-i\pi\frac{\lambda z}{\Lambda^2}\right) \cos\left(2\pi\frac{x}{\Lambda}\right) \right\}$$

- intensity is

$$I(x;z) = |g(x;z)|^{2}$$

= $\frac{1}{4} \left\{ 1 + m^{2} \cos^{2} \left(2\pi \frac{x}{\Lambda} \right) + 2m \cos \left(\pi \frac{\lambda z}{\Lambda^{2}} \right) \cos \left(2\pi \frac{x}{\Lambda} \right) \right\}$

- note intensity immediately after grating

$$I(x;0) = \left\{ \frac{1}{2} \left[1 + m \cos\left(2\pi \frac{x}{\Lambda}\right) \right] \right\}^2$$
$$= \frac{1}{4} \left\{ 1 + m^2 \cos^2\left(2\pi \frac{x}{\Lambda}\right) + 2m \cos\left(2\pi \frac{x}{\Lambda}\right) \right\}$$



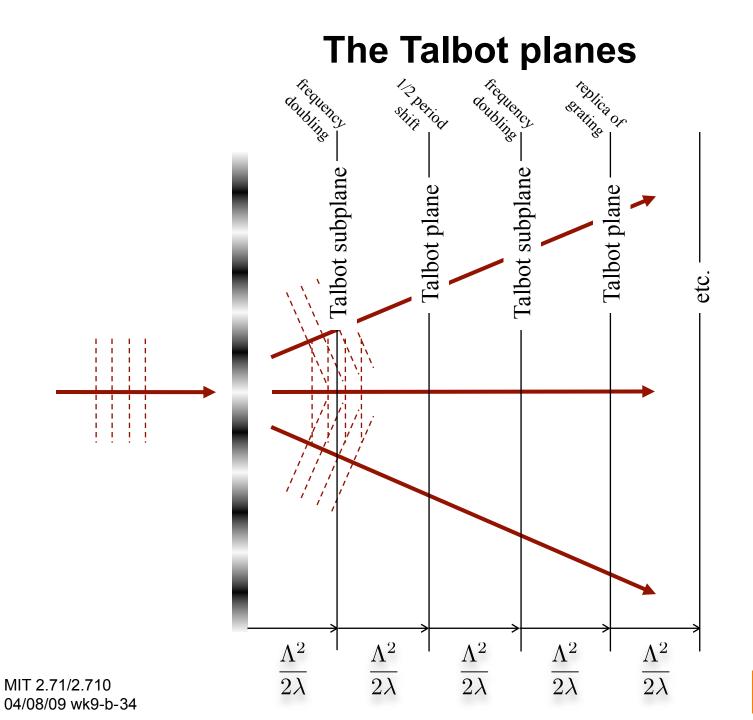
• Special cases of propagation distance

=

$$\begin{array}{rcl} & & & & & \\ & & & & \\ \hline & & & \\ I(x; \frac{(2p+1)\Lambda^2}{\lambda}) & = & \frac{1}{4} \left\{ 1 + m^2 \cos^2 \left(2\pi \frac{x}{\Lambda} \right) - 2m \cos \left(2\pi \frac{x}{\Lambda} \right) \right\} \\ & & = & \frac{1}{4} \left\{ 1 - m \cos \left(2\pi \frac{x}{\Lambda} \right) \right\}^2 \\ & & = & \left[\text{original grating shifted by half period} \right] \\ & & & \\ \hline & & & \\ \hline & & \\ I(x; \frac{(2p+1)\Lambda^2}{2\lambda}) & = & \frac{1}{4} \left\{ 1 + m^2 \cos^2 \left(2\pi \frac{x}{\Lambda} \right) \right\} \end{array}$$
 (shifted) Talbot plane

[period doubling]

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