# 2 General results of representation theory

# 2.1 Subrepresentations in semisimple representations

Let A be an algebra.

**Definition 2.1.** A semisimple (or completely reducible) representation of A is a direct sum of irreducible representations.

**Example.** Let V be an irreducible representation of A of dimension n. Then Y = End(V), with action of A by left multiplication, is a semisimple representation of A, isomorphic to nV (the direct sum of n copies of V). Indeed, any basis  $v_1, ..., v_n$  of V gives rise to an isomorphism of representations  $\text{End}(V) \to nV$ , given by  $x \to (xv_1, ..., xv_n)$ .

**Remark.** Note that by Schur's lemma, any semisimple representation V of A is canonically identified with  $\bigoplus_X \operatorname{Hom}_A(X, V) \otimes X$ , where X runs over all irreducible representations of A. Indeed, we have a natural map  $f : \bigoplus_X \operatorname{Hom}(X, V) \otimes X \to V$ , given by  $g \otimes x \to g(x), x \in X, g \in \operatorname{Hom}(X, V)$ , and it is easy to verify that this map is an isomorphism.

We'll see now how Schur's lemma allows us to classify subrepresentations in finite dimensional semisimple representations.

**Proposition 2.2.** Let  $V_i, 1 \leq i \leq m$  be irreducible finite dimensional pairwise nonisomorphic representations of A, and W be a subrepresentation of  $V = \bigoplus_{i=1}^{m} n_i V_i$ . Then W is isomorphic to  $\bigoplus_{i=1}^{m} r_i V_i, r_i \leq n_i$ , and the inclusion  $\phi : W \to V$  is a direct sum of inclusions  $\phi_i : r_i V_i \to n_i V_i$  given by multiplication of a row vector of elements of  $V_i$  (of length  $r_i$ ) by a certain  $r_i$ -by- $n_i$  matrix  $X_i$ with linearly independent rows:  $\phi(v_1, ..., v_{r_i}) = (v_1, ..., v_{r_i})X_i$ .

*Proof.* The proof is by induction in  $n := \sum_{i=1}^{m} n_i$ . The base of induction (n = 1) is clear. To perform the induction step, let us assume that W is nonzero, and fix an irreducible subrepresentation  $P \subset W$ . Such P exists (Problem 1.20). <sup>2</sup> Now, by Schur's lemma, P is isomorphic to  $V_i$  for some i, and the inclusion  $\phi|_P : P \to V$  factors through  $n_i V_i$ , and upon identification of P with  $V_i$  is given by the formula  $v \mapsto (vq_1, ..., vq_{n_i})$ , where  $q_l \in k$  are not all zero.

Now note that the group  $G_i = GL_{n_i}(k)$  of invertible  $n_i$ -by- $n_i$  matrices over k acts on  $n_iV_i$ by  $(v_1, ..., v_{n_i}) \to (v_1, ..., v_{n_i})g_i$  (and by the identity on  $n_jV_j$ , j = i), and therefore acts on the set of subrepresentations of V, preserving the property we need to establish: namely, under the action of  $g_i$ , the matrix  $X_i$  goes to  $X_ig_i$ , while  $X_j, j = i$  don't change. Take  $g_i \in G_i$  such that  $(q_1, ..., q_{n_i})g_i = (1, 0, ..., 0)$ . Then  $Wg_i$  contains the first summand  $V_i$  of  $n_iV_i$  (namely, it is  $Pg_i$ ), hence  $Wg_i = V_i \oplus W'$ , where  $W' \subset n_1V_1 \oplus ... \oplus (n_i - 1)V_i \oplus ... \oplus n_mV_m$  is the kernel of the projection of  $Wg_i$  to the first summand  $V_i$  along the other summands. Thus the required statement follows from the induction assumption.

**Remark 2.3.** In Proposition 2.2, it is not important that k is algebraically closed, nor it matters that V is finite dimensional. If these assumptions are dropped, the only change needed is that the entries of the matrix  $X_i$  are no longer in k but in  $D_i = \text{End}_A(V_i)$ , which is, as we know, a division algebra. The proof of this generalized version of Proposition 2.2 is the same as before (check it!).

<sup>&</sup>lt;sup>2</sup>Another proof of the existence of P, which does not use the finite dimensionality of V, is by induction in n. Namely, if W itself is not irreducible, let K be the kernel of the projection of W to the first summand  $V_1$ . Then K is a subrepresentation of  $(n_1 - 1)V_1 \oplus ... \oplus n_m V_m$ , which is nonzero since W is not irreducible, so K contains an irreducible subrepresentation by the induction assumption.

### 2.2 The density theorem

Let A be an algebra over an algebraically closed field k.

**Corollary 2.4.** Let V be an irreducible finite dimensional representation of A, and  $v_1, ..., v_n \in V$  be any linearly independent vectors. Then for any  $w_1, ..., w_n \in V$  there exists an element  $a \in A$  such that  $av_i = w_i$ .

Proof. Assume the contrary. Then the image of the map  $A \to nV$  given by  $a \to (av_1, ..., av_n)$  is a proper subrepresentation, so by Proposition 2.2 it corresponds to an *r*-by-*n* matrix X, r < n. Thus, taking a = 1, we see that there exist vectors  $u_1, ..., u_r \in V$  such that  $(u_1, ..., u_r)X = (v_1, ..., v_n)$ . Let  $(q_1, ..., q_n)$  be a nonzero vector such that  $X(q_1, ..., q_n)^T = 0$  (it exists because r < n). Then  $\sum q_i v_i = (u_1, ..., u_r)X(q_1, ..., q_n)^T = 0$ , i.e.  $\sum q_i v_i = 0$  - a contradiction with the linear independence of  $v_i$ .

**Theorem 2.5.** (the Density Theorem). (i) Let V be an irreducible finite dimensional representation of A. Then the map  $\rho: A \to \text{EndV}$  is surjective.

(ii) Let  $V = V_1 \oplus ... \oplus V_r$ , where  $V_i$  are irreducible pairwise nonisomorphic finite dimensional representations of A. Then the map  $\bigoplus_{i=1}^r \rho_i : A \to \bigoplus_{i=1}^r \operatorname{End}(V_i)$  is surjective.

*Proof.* (i) Let B be the image of A in End(V). We want to show that B = End(V). Let  $c \in \text{End}(V)$ ,  $v_1, ..., v_n$  be a basis of V, and  $w_i = cv_i$ . By Corollary 2.4, there exists  $a \in A$  such that  $av_i = w_i$ . Then a maps to c, so  $c \in B$ , and we are done.

(ii) Let  $B_i$  be the image of A in  $\operatorname{End}(V_i)$ , and B be the image of A in  $\bigoplus_{i=1}^r \operatorname{End}(V_i)$ . Recall that as a representation of A,  $\bigoplus_{i=1}^r \operatorname{End}(V_i)$  is semisimple: it is isomorphic to  $\bigoplus_{i=1}^r d_i V_i$ , where  $d_i = \dim V_i$ . Then by Proposition 2.2,  $B = \bigoplus_i B_i$ . On the other hand, (i) implies that  $B_i = \operatorname{End}(V_i)$ . Thus (ii) follows.

#### 2.3 Representations of direct sums of matrix algebras

In this section we consider representations of algebras  $A = \bigoplus_i \operatorname{Mat}_{d_i}(k)$  for any field k.

**Theorem 2.6.** Let  $A = \bigoplus_{i=1}^{r} \operatorname{Mat}_{d_i}(k)$ . Then the irreducible representations of A are  $V_1 = k^{d_1}, \ldots, V_r = k^{d_r}$ , and any finite dimensional representation of A is a direct sum of copies of  $V_1, \ldots, V_r$ .

In order to prove Theorem 2.6, we shall need the notion of a dual representation.

**Definition 2.7.** (Dual representation) Let V be a representation of any algebra A. Then the dual representation  $V^*$  is the representation of the opposite algebra  $A^{\text{op}}$  (or, equivalently, right A-module) with the action /

$$(f \cdot a)(v) := f(av).$$

Proof of Theorem 2.6. First, the given representations are clearly irreducible, as for any  $v = 0, w \in V_i$ , there exists  $a \in A$  such that av = w. Next, let X be an n-dimensional representation of A. Then,  $X^*$  is an n-dimensional representation of  $A^{\text{op}}$ . But  $(\operatorname{Mat}_{d_i}(k))^{\text{op}} \cong \operatorname{Mat}_{d_i}(k)$  with isomorphism  $\varphi(X) = X^T$ , as  $(BC)^T = C^T B^T$ . Thus,  $A \cong A^{\text{op}}$  and  $X^*$  may be viewed as an n-dimensional representation of A. Define

$$\phi: \underbrace{A \oplus \cdots \oplus A}_{n \text{ copies}} \longrightarrow X^*$$

$$\phi(a_1,\ldots,a_n) = a_1 y_1 + \cdots + a_n y_n$$

where  $\{y_i\}$  is a basis of  $X^*$ .  $\phi$  is clearly surjective, as  $k \subset A$ . Thus, the dual map  $\phi^* : X \longrightarrow A^{n*}$ is injective. But  $A^{n*} \cong A^n$  as representations of A (check it!). Hence, Im  $\phi^* \cong X$  is a subrepresentation of  $A^n$ . Next,  $\operatorname{Mat}_{d_i}(k) = d_i V_i$ , so  $A = \bigoplus_{i=1}^r d_i V_i$ ,  $A^n = \bigoplus_{i=1}^r n d_i V_i$ , as a representation of A. Hence by Proposition 2.2,  $X = \bigoplus_{i=1}^r m_i V_i$ , as desired.

**Exercise.** The goal of this exercise is to give an alternative proof of Theorem 2.6, not using any of the previous results of Chapter 2.

Let  $A_1, A_2, ..., A_n$  be *n* algebras with units  $1_1, 1_2, ..., 1_n$ , respectively. Let  $A = A_1 \oplus A_2 \oplus ... \oplus A_n$ . Clearly,  $1_i 1_j = \delta_{ij} 1_i$ , and the unit of *A* is  $1 = 1_1 + 1_2 + ... + 1_n$ .

For every representation V of A, it is easy to see that  $1_iV$  is a representation of  $A_i$  for every  $i \in \{1, 2, ..., n\}$ . Conversely, if  $V_1, V_2, ..., V_n$  are representations of  $A_1, A_2, ..., A_n$ , respectively, then  $V_1 \oplus V_2 \oplus ... \oplus V_n$  canonically becomes a representation of A (with  $(a_1, a_2, ..., a_n) \in A$  acting on  $V_1 \oplus V_2 \oplus ... \oplus V_n$  as  $(v_1, v_2, ..., v_n) \mapsto (a_1v_1, a_2v_2, ..., a_nv_n)$ ).

(a) Show that a representation V of A is irreducible if and only if  $1_i V$  is an irreducible representation of  $A_i$  for exactly one  $i \in \{1, 2, ..., n\}$ , while  $1_i V = 0$  for all the other i. Thus, classify the irreducible representations of A in terms of those of  $A_1, A_2, ..., A_n$ .

(b) Let  $d \in \mathbb{N}$ . Show that the only irreducible representation of  $\operatorname{Mat}_d(k)$  is  $k^d$ , and every finite dimensional representation of  $\operatorname{Mat}_d(k)$  is a direct sum of copies of  $k^d$ .

*Hint:* For every  $(i, j) \in \{1, 2, ..., d\}^2$ , let  $E_{ij} \in \operatorname{Mat}_d(k)$  be the matrix with 1 in the *i*th row of the *j*th column and 0's everywhere else. Let V be a finite dimensional representation of  $\operatorname{Mat}_d(k)$ . Show that  $V = E_{11}V \oplus E_{22}V \oplus ... \oplus E_{dd}V$ , and that  $\Phi_i : E_{11}V \to E_{ii}V, v \mapsto E_{i1}v$  is an isomorphism for every  $i \in \{1, 2, ..., d\}$ . For every  $v \in E_{11}V$ , denote  $S(v) = \langle E_{11}v, E_{21}v, ..., E_{d1}v \rangle$ . Prove that S(v) is a subrepresentation of V isomorphic to  $k^d$  (as a representation of  $\operatorname{Mat}_d(k)$ ), and that  $v \in S(v)$ . Conclude that  $V = S(v_1) \oplus S(v_2) \oplus ... \oplus S(v_k)$ , where  $\{v_1, v_2, ..., v_k\}$  is a basis of  $E_{11}V$ .

(c) Conclude Theorem 2.6.

# 2.4 Filtrations

Let A be an algebra. Let V be a representation of A. A (finite) filtration of V is a sequence of subrepresentations  $0 = V_0 \subset V_1 \subset ... \subset V_n = V$ .

**Lemma 2.8.** Any finite dimensional representation V of an algebra A admits a finite filtration  $0 = V_0 \subset V_1 \subset ... \subset V_n = V$  such that the successive quotients  $V_i/V_{i-1}$  are irreducible.

*Proof.* The proof is by induction in dim(V). The base is clear, and only the induction step needs to be justified. Pick an irreducible subrepresentation  $V_1 \subset V$ , and consider the representation  $U = V/V_1$ . Then by the induction assumption U has a filtration  $0 = U_0 \subset U_1 \subset ... \subset U_{n-1} = U$  such that  $U_i/U_{i-1}$  are irreducible. Define  $V_i$  for  $i \geq 2$  to be the preimages of  $U_{i-1}$  under the tautological projection  $V \to V/V_1 = U$ . Then  $0 = V_0 \subset V_1 \subset V_2 \subset ... \subset V_n = V$  is a filtration of V with the desired property.

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### 2.5 Finite dimensional algebras

**Definition 2.9.** The **radical** of a finite dimensional algebra A is the set of all elements of A which act by 0 in all irreducible representations of A. It is denoted Rad(A).

**Proposition 2.10.** Rad(A) is a two-sided ideal.

Proof. Easy.

**Proposition 2.11.** Let A be a finite dimensional algebra.

(i) Let I be a nilpotent two-sided ideal in A, i.e.,  $I^n = 0$  for some n. Then  $I \subset Rad(A)$ .

(ii) Rad(A) is a nilpotent ideal. Thus, Rad(A) is the largest nilpotent two-sided ideal in A.

*Proof.* (i) Let V be an irreducible representation of A. Let  $v \in V$ . Then  $Iv \subset V$  is a subrepresentation. If Iv = 0 then Iv = V so there is  $x \in I$  such that xv = v. Then  $x^n = 0$ , a contradiction. Thus Iv = 0, so I acts by 0 in V and hence  $I \subset \text{Rad}(A)$ .

(ii) Let  $0 = A_0 \subset A_1 \subset ... \subset A_n = A$  be a filtration of the regular representation of A by subrepresentations such that  $A_{i+1}/A_i$  are irreducible. It exists by Lemma 2.8. Let  $x \in \text{Rad}(A)$ . Then x acts on  $A_{i+1}/A_i$  by zero, so x maps  $A_{i+1}$  to  $A_i$ . This implies that  $\text{Rad}(A)^n = 0$ , as desired.

**Theorem 2.12.** A finite dimensional algebra A has only finitely many irreducible representations  $V_i$  up to isomorphism, these representations are finite dimensional, and

$$A/Rad(A) \cong \bigoplus_i \operatorname{End} V_i.$$

*Proof.* First, for any irreducible representation V of A, and for any nonzero  $v \in V$ ,  $Av \subseteq V$  is a finite dimensional subrepresentation of V. (It is finite dimensional as A is finite dimensional.) As V is irreducible and Av = 0, V = Av and V is finite dimensional.

Next, suppose we have non-isomorphic irreducible representations  $V_1, V_2, \ldots, V_r$ . By Theorem 2.5, the homomorphism

$$\bigoplus_i \rho_i : A \longrightarrow \bigoplus_i \operatorname{End} V_i$$

is surjective. So  $r \leq \sum_{i} \dim \operatorname{End} V_{i} \leq \dim A$ . Thus, A has only finitely many non-isomorphic irreducible representations (at most dim A).

Now, let  $V_1, V_2, \ldots, V_r$  be all non-isomorphic irreducible finite dimensional representations of A. By Theorem 2.5, the homomorphism

$$\bigoplus_i \rho_i : A \longrightarrow \bigoplus_i \operatorname{End} V_i$$

is surjective. The kernel of this map, by definition, is exactly  $\operatorname{Rad}(A)$ .

**Corollary 2.13.**  $\sum_{i} (\dim V_i)^2 \leq \dim A$ , where the  $V_i$ 's are the irreducible representations of A.

*Proof.* As dim End  $V_i = (\dim V_i)^2$ , Theorem 2.12 implies that dim A-dim Rad $(A) = \sum_i \dim \operatorname{End} V_i = \sum_i (\dim V_i)^2$ . As dim Rad $(A) \ge 0$ ,  $\sum_i (\dim V_i)^2 \le \dim A$ .

**Example 2.14.** 1. Let  $A = k[x]/(x^n)$ . This algebra has a unique irreducible representation, which is a 1-dimensional space k, in which x acts by zero. So the radical Rad(A) is the ideal (x).

2. Let A be the algebra of upper triangular n by n matrices. It is easy to check that the irreducible representations of A are  $V_i$ , i = 1, ..., n, which are 1-dimensional, and any matrix x acts by  $x_{ii}$ . So the radical Rad(A) is the ideal of strictly upper triangular matrices (as it is a nilpotent ideal and contains the radical). A similar result holds for block-triangular matrices.

**Definition 2.15.** A finite dimensional algebra A is said to be semisimple if  $\operatorname{Rad}(A) = 0$ .

**Proposition 2.16.** For a finite dimensional algebra A, the following are equivalent:

- 1. A is semisimple.
- 2.  $\sum_{i} (\dim V_i)^2 = \dim A$ , where the  $V_i$ 's are the irreducible representations of A.
- 3.  $A \cong \bigoplus_i \operatorname{Mat}_{d_i}(k)$  for some  $d_i$ .
- 4. Any finite dimensional representation of A is completely reducible (that is, isomorphic to a direct sum of irreducible representations).
- 5. A is a completely reducible representation of A.

*Proof.* As dim A-dim Rad $(A) = \sum_i (\dim V_i)^2$ , clearly dim  $A = \sum_i (\dim V_i)^2$  if and only if Rad(A) = 0. Thus, (1)  $\Leftrightarrow$  (2).

Next, by Theorem 2.12, if  $\operatorname{Rad}(A) = 0$ , then clearly  $A \cong \bigoplus_i \operatorname{Mat}_{d_i}(k)$  for  $d_i = \dim V_i$ . Thus,  $(1) \Rightarrow (3)$ . Conversely, if  $A \cong \bigoplus_i \operatorname{Mat}_{d_i}(k)$ , then by Theorem 2.6,  $\operatorname{Rad}(A) = 0$ , so A is semisimple. Thus  $(3) \Rightarrow (1)$ .

Next,  $(3) \Rightarrow (4)$  by Theorem 2.6. Clearly  $(4) \Rightarrow (5)$ . To see that  $(5) \Rightarrow (3)$ , let  $A = \bigoplus_i n_i V_i$ . Consider  $\operatorname{End}_A(A)$  (endomorphisms of A as a representation of A). As the  $V_i$ 's are pairwise nonisomorphic, by Schur's lemma, no copy of  $V_i$  in A can be mapped to a distinct  $V_j$ . Also, again by Schur's lemma,  $\operatorname{End}_A(V_i) = k$ . Thus,  $\operatorname{End}_A(A) \cong \bigoplus_i \operatorname{Mat}_{n_i}(k)$ . But  $\operatorname{End}_A(A) \cong A^{\operatorname{op}}$  by Problem 1.22, so  $A^{\operatorname{op}} \cong \bigoplus_i \operatorname{Mat}_{n_i}(k)$ . Thus,  $A \cong (\bigoplus_i \operatorname{Mat}_{n_i}(k))^{\operatorname{op}} = \bigoplus_i \operatorname{Mat}_{n_i}(k)$ , as desired.  $\Box$ 

# 2.6 Characters of representations

Let A be an algebra and V a finite-dimensional representation of A with action  $\rho$ . Then the *character* of V is the linear function  $\chi_V : A \to k$  given by

$$\chi_V(a) = \operatorname{tr}|_V(\rho(a)).$$

If [A, A] is the span of commutators [x, y] := xy - yx over all  $x, y \in A$ , then  $[A, A] \subseteq \ker \chi_V$ . Thus, we may view the character as a mapping  $\chi_V : A/[A, A] \to k$ .

**Exercise.** Show that if  $W \subset V$  are finite dimensional representations of A, then  $\chi_V = \chi_W + \chi_{V/W}$ .

**Theorem 2.17.** (i) Characters of (distinct) irreducible finite-dimensional representations of A are linearly independent.

(ii) If A is a finite-dimensional semisimple algebra, then these characters form a basis of  $(A/[A, A])^*$ .

*Proof.* (i) If  $V_1, \ldots, V_r$  are nonisomorphic irreducible finite-dimensional representations of A, then  $\rho_{V_1} \oplus \cdots \oplus \rho_{V_r} : A \to \text{End } V_1 \oplus \cdots \oplus \text{End } V_r$  is surjective by the density theorem, so  $\chi_{V_1}, \ldots, \chi_{V_r}$  are linearly independent. (Indeed, if  $\sum \lambda_i \chi_{V_i}(a) = 0$  for all  $a \in A$ , then  $\sum \lambda_i \text{Tr}(M_i) = 0$  for all  $M_i \in \text{End}_k V_i$ . But each  $\text{tr}(M_i)$  can range independently over k, so it must be that  $\lambda_1 = \cdots = \lambda_r = 0$ .)

(ii) First we prove that  $[\operatorname{Mat}_d(k), \operatorname{Mat}_d(k)] = sl_d(k)$ , the set of all matrices with trace 0. It is clear that  $[\operatorname{Mat}_d(k), \operatorname{Mat}_d(k)] \subseteq sl_d(k)$ . If we denote by  $E_{ij}$  the matrix with 1 in the *i*th row of the *j*th column and 0's everywhere else, we have  $[E_{ij}, E_{jm}] = E_{im}$  for i = m, and  $[E_{i,i+1}, E_{i+1,i}] = E_{ii} - E_{i+1,i+1}$ . Now  $\{E_{im}\} \cup \{E_{ii} - E_{i+1,i+1}\}$  forms a basis in  $sl_d(k)$ , so indeed  $[\operatorname{Mat}_d(k), \operatorname{Mat}_d(k)] = sl_d(k)$ , as claimed.

By semisimplicity, we can write  $A = \operatorname{Mat}_{d_1}(k) \oplus \cdots \oplus \operatorname{Mat}_{d_r}(k)$ . Then  $[A, A] = sl_{d_1}(k) \oplus \cdots \oplus sl_{d_r}(k)$ , and  $A/[A, A] \cong k^r$ . By Theorem 2.6, there are exactly r irreducible representations of A (isomorphic to  $k^{d_1}, \ldots, k^{d_r}$ , respectively), and therefore r linearly independent characters on the r-dimensional vector space A/[A, A]. Thus, the characters form a basis.

# 2.7 The Jordan-Hölder theorem

We will now state and prove two important theorems about representations of finite dimensional algebras - the Jordan-Hölder theorem and the Krull-Schmidt theorem.

**Theorem 2.18.** (Jordan-Hölder theorem). Let V be a finite dimensional representation of A, and  $0 = V_0 \subset V_1 \subset ... \subset V_n = V$ ,  $0 = V'_0 \subset ... \subset V'_m = V$  be filtrations of V, such that the representations  $W_i := V_i/V_{i-1}$  and  $W'_i := V'_i/V'_{i-1}$  are irreducible for all i. Then n = m, and there exists a permutation  $\sigma$  of 1, ..., n such that  $W_{\sigma(i)}$  is isomorphic to  $W'_i$ .

*Proof.* First proof (for k of characteristic zero). The character of V obviously equals the sum of characters of  $W_i$ , and also the sum of characters of  $W'_i$ . But by Theorem 2.17, the characters of irreducible representations are linearly independent, so the multiplicity of every irreducible representation W of A among  $W_i$  and among  $W'_i$  are the same. This implies the theorem.<sup>3</sup>

Second proof (general). The proof is by induction on dim V. The base of induction is clear, so let us prove the induction step. If  $W_1 = W'_1$  (as subspaces), we are done, since by the induction assumption the theorem holds for  $V/W_1$ . So assume  $W_1 = W'_1$ . In this case  $W_1 \cap W'_1 = 0$  (as  $W_1, W'_1$  are irreducible), so we have an embedding  $f : W_1 \oplus W'_1 \to V$ . Let  $U = V/(W_1 \oplus W'_1)$ , and  $0 = U_0 \subset U_1 \subset ... \subset U_p = U$  be a filtration of U with simple quotients  $Z_i = U_i/U_{i-1}$  (it exists by Lemma 2.8). Then we see that:

1)  $V/W_1$  has a filtration with successive quotients  $W'_1, Z_1, ..., Z_p$ , and another filtration with successive quotients  $W_2, ..., W_n$ .

2)  $V/W'_1$  has a filtration with successive quotients  $W_1, Z_1, ..., Z_p$ , and another filtration with successive quotients  $W'_2, ..., W'_n$ .

By the induction assumption, this means that the collection of irreducible representations with multiplicities  $W_1, W'_1, Z_1, ..., Z_p$  coincides on one hand with  $W_1, ..., W_n$ , and on the other hand, with  $W'_1, ..., W'_m$ . We are done.

The Jordan-Hölder theorem shows that the number n of terms in a filtration of V with irreducible successive quotients does not depend on the choice of a filtration, and depends only on

<sup>&</sup>lt;sup>3</sup>This proof does not work in characteristic p because it only implies that the multiplicities of  $W_i$  and  $W'_i$  are the same modulo p, which is not sufficient. In fact, the character of the representation pV, where V is any representation, is zero.

V. This number is called the *length* of V. It is easy to see that n is also the maximal length of a filtration of V in which all the inclusions are strict.

The sequence of the irreducible representations  $W_1, ..., W_n$  enumerated in the order they appear from some filtration of V as successive quoteints is called a **Jordan-Hölder series** of V.

# 2.8 The Krull-Schmidt theorem

**Theorem 2.19.** (Krull-Schmidt theorem) Any finite dimensional representation of A can be uniquely (up to an isomorphism and order of summands) decomposed into a direct sum of indecomposable representations.

*Proof.* It is clear that a decomposition of V into a direct sum of indecomposable representations exists, so we just need to prove uniqueness. We will prove it by induction on dim V. Let  $V = V_1 \oplus \ldots \oplus V_m = V'_1 \oplus \ldots \oplus V'_n$ . Let  $i_s : V_s \to V$ ,  $i'_s : V'_s \to V$ ,  $p_s : V \to V_s$ ,  $p'_s : V \to V'_s$  be the natural maps associated to these decompositions. Let  $\theta_s = p_1 i'_s p'_s i_1 : V_1 \to V_1$ . We have  $\sum_{s=1}^n \theta_s = 1$ . Now we need the following lemma.

Lemma 2.20. Let W be a finite dimensional indecomposable representation of A. Then

- (i) Any homomorphism  $\theta: W \to W$  is either an isomorphism or nilpotent;
- (ii) If  $\theta_s : W \to W$ , s = 1, ..., n are nilpotent homomorphisms, then so is  $\theta := \theta_1 + ... + \theta_n$ .

*Proof.* (i) Generalized eigenspaces of  $\theta$  are subrepresentations of W, and W is their direct sum. Thus,  $\theta$  can have only one eigenvalue  $\lambda$ . If  $\lambda$  is zero,  $\theta$  is nilpotent, otherwise it is an isomorphism.

(ii) The proof is by induction in n. The base is clear. To make the induction step (n-1 to n), assume that  $\theta$  is not nilpotent. Then by (i)  $\theta$  is an isomorphism, so  $\sum_{i=1}^{n} \theta^{-1} \theta_i = 1$ . The morphisms  $\theta^{-1} \theta_i$  are not isomorphisms, so they are nilpotent. Thus  $1 - \theta^{-1} \theta_n = \theta^{-1} \theta_1 + \ldots + \theta^{-1} \theta_{n-1}$  is an isomorphism, which is a contradiction with the induction assumption.

By the lemma, we find that for some s,  $\theta_s$  must be an isomorphism; we may assume that s = 1. In this case,  $V'_1 = \operatorname{Im}(p'_1i_1) \oplus \operatorname{Ker}(p_1i'_1)$ , so since  $V'_1$  is indecomposable, we get that  $f := p'_1i_1 : V_1 \to V'_1$  and  $g := p_1i'_1 : V'_1 \to V_1$  are isomorphisms.

Let  $B = \bigoplus_{j>1} V_j$ ,  $B' = \bigoplus_{j>1} V'_j$ ; then we have  $V = V_1 \oplus B = V'_1 \oplus B'$ . Consider the map  $h : B \to B'$  defined as a composition of the natural maps  $B \to V \to B'$  attached to these decompositions. We claim that h is an isomorphism. To show this, it suffices to show that Kerh = 0 (as h is a map between spaces of the same dimension). Assume that  $v \in \text{Ker}h \subset B$ . Then  $v \in V'_1$ . On the other hand, the projection of v to  $V_1$  is zero, so gv = 0. Since g is an isomorphism, we get v = 0, as desired.

Now by the induction assumption, m = n, and  $V_j \cong V'_{\sigma(j)}$  for some permutation  $\sigma$  of 2, ..., n. The theorem is proved.

**Exercise.** Let A be the algebra of real-valued continuous functions on  $\mathbb{R}$  which are periodic with period 1. Let M be the A-module of continuous functions f on  $\mathbb{R}$  which are antiperiodic with period 1, i.e., f(x+1) = -f(x).

(i) Show that A and M are indecomposable A-modules.

(ii) Show that A is not isomorphic to M but  $A \oplus A$  is isomorphic to  $M \oplus M$ .

**Remark.** Thus, we see that in general, the Krull-Schmidt theorem fails for infinite dimensional modules. However, it still holds for modules of *finite length*, i.e., modules M such that any filtration of M has length bounded above by a certain constant l = l(M).

# 2.9 Problems

**Problem 2.21. Extensions of representations.** Let A be an algebra, and V, W be a pair of representations of A. We would like to classify representations U of A such that V is a subrepresentation of U, and U/V = W. Of course, there is an obvious example  $U = V \oplus W$ , but are there any others?

Suppose we have a representation U as above. As a vector space, it can be (non-uniquely) identified with  $V \oplus W$ , so that for any  $a \in A$  the corresponding operator  $\rho_U(a)$  has block triangular form

$$\rho_U(a) = \begin{pmatrix} \rho_V(a) & f(a) \\ 0 & \rho_W(a) \end{pmatrix},$$

where  $f: A \to \operatorname{Hom}_k(W, V)$  is a linear map.

(a) What is the necessary and sufficient condition on f(a) under which  $\rho_U(a)$  is a representation? Maps f satisfying this condition are called (1-)cocycles (of A with coefficients in  $\operatorname{Hom}_k(W, V)$ ). They form a vector space denoted  $Z^1(W, V)$ .

(b) Let  $X : W \to V$  be a linear map. The coboundary of X, dX, is defined to be the function  $A \to \operatorname{Hom}_k(W, V)$  given by  $dX(a) = \rho_V(a)X - X\rho_W(a)$ . Show that dX is a cocycle, which vanishes if and only if X is a homomorphism of representations. Thus coboundaries form a subspace  $B^1(W, V) \subset Z^1(W, V)$ , which is isomorphic to  $\operatorname{Hom}_k(W, V)/\operatorname{Hom}_A(W, V)$ . The quotient  $Z^1(W, V)/B^1(W, V)$  is denoted  $\operatorname{Ext}^1(W, V)$ .

(c) Show that if  $f, f' \in Z^1(W, V)$  and  $f - f' \in B^1(W, V)$  then the corresponding extensions U, U' are isomorphic representations of A. Conversely, if  $\phi : U \to U'$  is an isomorphism such that

$$\phi(a) = \begin{pmatrix} 1_V & * \\ 0 & 1_W \end{pmatrix}$$

then  $f - f' \in B^1(V, W)$ . Thus, the space  $\text{Ext}^1(W, V)$  "classifies" extensions of W by V.

(d) Assume that W, V are finite dimensional irreducible representations of A. For any  $f \in Ext^1(W, V)$ , let  $U_f$  be the corresponding extension. Show that  $U_f$  is isomorphic to  $U_{f'}$  as representations if and only if f and f' are proportional. Thus isomorphism classes (as representations) of nontrivial extensions of W by V (i.e., those not isomorphic to  $W \oplus V$ ) are parametrized by the projective space  $\mathbb{P}Ext^1(W, V)$ . In particular, every extension is trivial if and only if  $Ext^1(W, V) = 0$ .

**Problem 2.22.** (a) Let  $A = \mathbf{C}[x_1, ..., x_n]$ , and  $V_a, V_b$  be one-dimensional representations in which  $x_i$  act by  $a_i$  and  $b_i$ , respectively  $(a_i, b_i \in \mathbf{C})$ . Find  $\operatorname{Ext}^1(V_a, V_b)$  and classify 2-dimensional representations of A.

(b) Let B be the algebra over C generated by  $x_1, ..., x_n$  with the defining relations  $x_i x_j = 0$  for all i, j. Show that for n > 1 the algebra B has infinitely many non-isomorphic indecomposable representations.

**Problem 2.23.** Let Q be a quiver without oriented cycles, and  $P_Q$  the path algebra of Q. Find irreducible representations of  $P_Q$  and compute  $\text{Ext}^1$  between them. Classify 2-dimensional representations of  $P_Q$ .

**Problem 2.24.** Let A be an algebra, and V a representation of A. Let  $\rho : A \to \text{EndV}$ . A formal deformation of V is a formal series

$$\tilde{\rho} = \rho_0 + t\rho_1 + \dots + t^n \rho_n + \dots,$$

where  $\rho_i : A \to \text{End}(V)$  are linear maps,  $\rho_0 = \rho$ , and  $\tilde{\rho}(ab) = \tilde{\rho}(a)\tilde{\rho}(b)$ .

If  $b(t) = 1 + b_1 t + b_2 t^2 + ...$ , where  $b_i \in \text{End}(V)$ , and  $\tilde{\rho}$  is a formal deformation of  $\rho$ , then  $b\tilde{\rho}b^{-1}$  is also a deformation of  $\rho$ , which is said to be isomorphic to  $\tilde{\rho}$ .

(a) Show that if  $\text{Ext}^1(V, V) = 0$ , then any deformation of  $\rho$  is trivial, i.e., isomorphic to  $\rho$ .

(b) Is the converse to (a) true? (consider the algebra of dual numbers  $A = k[x]/x^2$ ).

**Problem 2.25. The Clifford algebra.** Let V be a finite dimensional complex vector space equipped with a symmetric bilinear form (,). The Clifford algebra Cl(V) is the quotient of the tensor algebra TV by the ideal generated by the elements  $v \otimes v - (v, v)1$ ,  $v \in V$ . More explicitly, if  $x_i, 1 \leq i \leq N$  is a basis of V and  $(x_i, x_j) = a_{ij}$  then Cl(V) is generated by  $x_i$  with defining relations

$$x_i x_j + x_j x_i = 2a_{ij}, x_i^2 = a_{ii}.$$

Thus, if (,) = 0,  $\operatorname{Cl}(V) = \wedge V$ .

(i) Show that if (,) is nondegenerate then  $\operatorname{Cl}(V)$  is semisimple, and has one irreducible representation of dimension  $2^n$  if dim V = 2n (so in this case  $\operatorname{Cl}(V)$  is a matrix algebra), and two such representations if dim(V) = 2n + 1 (i.e., in this case  $\operatorname{Cl}(V)$  is a direct sum of two matrix algebras).

Hint. In the even case, pick a basis  $a_1, ..., a_n, b_1, ..., b_n$  of V in which  $(a_i, a_j) = (b_i, b_j) = 0$ ,  $(a_i, b_j) = \delta_{ij}/2$ , and construct a representation of  $\operatorname{Cl}(V)$  on  $S := \wedge (a_1, ..., a_n)$  in which  $b_i$  acts as "differentiation" with respect to  $a_i$ . Show that S is irreducible. In the odd case the situation is similar, except there should be an additional basis vector c such that  $(c, a_i) = (c, b_i) = 0$ , (c, c) = 1, and the action of c on S may be defined either by  $(-1)^{\text{degree}}$  or by  $(-1)^{\text{degree}+1}$ , giving two representations  $S_+, S_-$  (why are they non-isomorphic?). Show that there is no other irreducible representations by finding a spanning set of  $\operatorname{Cl}(V)$  with  $2^{\dim V}$  elements.

(ii) Show that Cl(V) is semisimple if and only if (, ) is nondegenerate. If (, ) is degenerate, what is Cl(V)/Rad(Cl(V))?

# 2.10 Representations of tensor products

Let A, B be algebras. Then  $A \otimes B$  is also an algebra, with multiplication  $(a_1 \otimes b_1)(a_2 \otimes b_2) = a_1 a_2 \otimes b_1 b_2$ .

**Exercise.** Show that  $Mat_m(k) \otimes Mat_n(k) \cong Mat_{mn}(k)$ .

The following theorem describes irreducible finite dimensional representations of  $A \otimes B$  in terms of irreducible finite dimensional representations of A and those of B.

**Theorem 2.26.** (i) Let V be an irreducible finite dimensional representation of A and W an irreducible finite dimensional representation of B. Then  $V \otimes W$  is an irreducible representation of  $A \otimes B$ .

(ii) Any irreducible finite dimensional representation M of  $A \otimes B$  has the form (i) for unique V and W.

**Remark 2.27.** Part (ii) of the theorem typically fails for infinite dimensional representations; e.g. it fails when A is the Weyl algebra in characteristic zero. Part (i) also may fail. E.g. let  $A = B = V = W = \mathbb{C}(x)$ . Then (i) fails, as  $A \otimes B$  is not a field. *Proof.* (i) By the density theorem, the maps  $A \to \text{End } V$  and  $B \to \text{End } W$  are surjective. Therefore, the map  $A \otimes B \to \text{End } V \otimes \text{End } W = \text{End}(V \otimes W)$  is surjective. Thus,  $V \otimes W$  is irreducible.

(ii) First we show the existence of V and W. Let A', B' be the images of A, B in End M. Then A', B' are finite dimensional algebras, and M is a representation of  $A' \otimes B'$ , so we may assume without loss of generality that A and B are finite dimensional.

In this case, we claim that  $\operatorname{Rad}(A \otimes B) = \operatorname{Rad}(A) \otimes B + A \otimes \operatorname{Rad}(B)$ . Indeed, denote the latter by J. Then J is a nilpotent ideal in  $A \otimes B$ , as  $\operatorname{Rad}(A)$  and  $\operatorname{Rad}(B)$  are nilpotent. On the other hand,  $(A \otimes B)/J = (A/\operatorname{Rad}(A)) \otimes (B/\operatorname{Rad}(B))$ , which is a product of two semisimple algebras, hence semisimple. This implies  $J \supset \operatorname{Rad}(A \otimes B)$ . Altogether, by Proposition 2.11, we see that  $J = \operatorname{Rad}(A \otimes B)$ , proving the claim.

Thus, we see that

$$(A \otimes B)/\operatorname{Rad}(A \otimes B) = A/\operatorname{Rad}(A) \otimes B/\operatorname{Rad}(B).$$

Now, M is an irreducible representation of  $(A \otimes B)/\text{Rad}(A \otimes B)$ , so it is clearly of the form  $M = V \otimes W$ , where V is an irreducible representation of A/Rad(A) and W is an irreducible representation of B/Rad(B), and V, W are uniquely determined by M (as all of the algebras involved are direct sums of matrix algebras).

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