### 3.15 Electrical, Optical, and Magnetic Materials and Devices <br> Caroline A. Ross <br> Fall Term, 2005

## Exam 2 (5 pages)

Closed book exam. Formulae and data are on the last 3.5 pages of the exam.
This takes $\mathbf{8 0} \mathbf{~ m i n}$ and there are 80 points total. Be brief in your answers and use sketches.
Assume everything is at 300 K unless otherwise noted.

## 1. MOSFET [20 points]

A MOSFET has the following structure:

a) What happens when you apply a voltage $\mathrm{V}_{\mathrm{G}}$ to G (when S and D are grounded)? Consider both positive and negative voltages. Illustrate with a sketch of the MOS band diagram. (10)
b) What happens when you apply a negative voltage $V_{D}$ to $D$, for different values of $V_{G}$ (zero, positive and negative)? (assume $S$ is grounded.) Draw plots of current $I_{S D}$ vs $V_{D}$ for different values of $\mathrm{V}_{\mathrm{G}}$. (10)

## 2. Optics [35 points]

a) Draw a diagram of the attenuation of a silica optical fiber vs. wavelength, and explain the shape of the curve. (7)
b) Describe three sources of dispersion in a fiber (one sentence each). (6)
c) We need to design a system to deliver high power laser light of energy 2 eV via a fiber for surgery inside the body. Would you be concerned with dispersion and loss in this application? (4)
d) Select materials for the core, cladding and substrate of the 2 eV laser, explaining your choices. If there is more than one option, which would be preferable? (8)
e) It would be nice to have a laser based on $\operatorname{Si}$ or $\operatorname{Si}_{x} \operatorname{Ge}_{1-x}(0 \leq x \leq 1)$ because this would be compatible with other silicon devices. What colors of light could you expect from a laser made from SiGe ? What is the difficulty with making such a laser? How could this be overcome, and what quality output would the laser produce? (Be concise in this question - no more than 5-6 sentences.) (10)
3. Heterostructures [ 25 points]
a) Explain concisely the conditions under which a system can act as laser. (No more than 4-5 sentences). Illustrate by describing a ruby or a Nd-YAG laser. (12)
b) Why is a heterostructure better than a homostructure for making a semiconductor laser? (7)
c) A band diagram of a heterostructure is given below. What can you deduce from this diagram about the doping levels of materials A and B? What has happened to materials A and B near the interface? (6)


## Material B

## Data and Formulae



Figure by MIT OCW.

| Properties | Si | GaAs | $\mathrm{SiO}_{2}$ | Ge |
| :---: | :---: | :---: | :---: | :---: |
| Atoms $/ \mathrm{cm}^{3}$, molecules $/ \mathrm{cm}^{3} \times 10^{22}$ <br> Structure <br> Lattice constant (nm) <br> Density ( $\mathrm{g} / \mathrm{cm}^{3}$ ) <br> Relative dielectric constant, $\varepsilon_{\mathrm{r}}$ <br> Permittivity, $\varepsilon=\varepsilon_{\mathrm{r}} \varepsilon_{0}(\mathrm{farad} / \mathrm{cm}) \times 10^{-12}$ <br> Expansion coefficient (dL/LdT) $\times\left(10^{-6} \mathrm{~K}\right)$ <br> Specific Heat (joule/g K) <br> Thermal conductivity (watt/cm K) <br> Thermal diffusivity ( $\mathrm{cm}^{2} / \mathrm{sec}$ ) <br> Energy Gap (eV) <br> Drift mobility ( $\mathrm{cm}^{2} / \mathrm{volt-sec}$ ) <br> Electrons <br> Holes <br> Effective density of states <br> $\left(\mathrm{cm}^{-3}\right) \times 10^{19}$ <br> Conduction band <br> Valence band <br> Intrinsic carrier concentration $\left(\mathrm{cm}^{-3}\right)$ | 5.0 diamond 0.543 2.33 11.9 1.05 2.6 0.7 1.48 0.9 1.12 1500 450 2.8 1.04 $1.45 \times 10^{10}$ | 4.42 zincblende 0.565 5.32 13.1 1.16 6.86 0.35 0.46 0.44 1.424 8500 400 0.047 0.7 $1.79 \times 10^{6}$ | $2.27^{\mathrm{a}}$ <br> amorphous <br> $2.27^{\mathrm{a}}$ <br> 3.9 <br> 0.34 <br> 0.5 <br> 1.0 <br> 0.014 <br> 0.006 <br> $\sim 9$ | 0.67 |

Properties of Si, GaAs, SiO2, and Ge at 300 K
Figure by MIT OCW.

## Useful equations

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\(\left.\mathrm{g}_{\mathrm{c}}(\mathrm{E}) \mathrm{dE}=\mathrm{m}_{\mathrm{n}}{ }^{*} \sqrt{ } / 2 \mathrm{~m}_{\mathrm{n}}{ }^{*}\left(\mathrm{E}-\mathrm{E}_{\mathrm{c}}\right)\right\} /\left(\pi^{2} \mathrm{~h}^{3}\right)\)
(h = h-bar)
\(\mathrm{g}_{v}(\mathrm{E}) \mathrm{dE}=\mathrm{m}_{\mathrm{p}}{ }^{*} \sqrt{ }\left\{2 \mathrm{~m}_{\mathrm{p}}{ }^{*}\left(\mathrm{E}_{\mathrm{v}}-\mathrm{E}\right)\right\} /\left(\pi^{2} \mathrm{~h}^{3}\right)\)
\(\mathrm{f}(\mathrm{E})=1 /\left\{1+\exp \left(\mathrm{E}-\mathrm{E}_{\mathrm{i}}\right) / \mathrm{kT}\right\}\)
\(\mathrm{n}=\mathrm{n}_{\mathrm{i}} \exp \left(\mathrm{E}_{\mathrm{T}}-\mathrm{E}_{\mathrm{i}}\right) / \mathrm{kT}, \quad \mathrm{p}=\mathrm{n}_{\mathrm{i}} \exp \left(\mathrm{E}_{\mathrm{i}}-\mathrm{E}_{\mathrm{i}}\right) / \mathrm{kT}\)
\(\mathrm{n}_{1}=\mathrm{N}_{\mathrm{e}} \exp \left(\mathrm{E}_{\mathrm{i}}-\mathrm{E}_{\mathrm{c}}\right) / \mathrm{kT}\) where \(\mathrm{N}_{\mathrm{c}}=2\left\{2 \pi \mathrm{~m}_{\mathrm{n}}{ }^{3} \mathrm{kT} / \mathrm{h}^{2}\right\}^{3 / 2}\)
\(\mathrm{np}=\mathrm{n}_{1}{ }^{2}\) at equilibrium
\(\mathrm{n}_{\mathrm{i}}{ }^{2}=\mathrm{N}_{\mathrm{e}} \mathrm{N}_{\mathrm{v}} \exp \left(\mathrm{E}_{\mathrm{v}}-\mathrm{E}_{\mathrm{e}}\right) / \mathrm{kT}=\mathrm{N}_{\mathrm{c}} \mathrm{N}_{\mathrm{v}} \exp \left(-\mathrm{E}_{\mathrm{v}}\right) / \mathrm{kT}\)
\(\mathrm{E}_{\mathrm{i}}=\left(\mathrm{E}_{\mathrm{V}}+\mathrm{E}_{\mathrm{c}}\right) / 2+3 / 4 \mathrm{kT} \ln \left(\mathrm{m}_{\mathrm{p}}{ }^{3} / \mathrm{m}_{\mathrm{a}}{ }^{3}\right)\)
\(\mathrm{E}_{\mathrm{T}}-\mathrm{E}_{\mathrm{i}}=\mathrm{kT} \ln \left(\mathrm{n} / \mathrm{n}_{\mathrm{i}}\right)=-\mathrm{kT} \ln \left(\mathrm{p}^{\prime} \mathrm{n}_{\mathrm{i}}\right)\)
    \(\sim \mathrm{KT} \ln \left(\mathrm{N}_{\mathrm{D}} / \mathrm{n}_{\mathrm{i}}\right)\) ntype or \(-\mathrm{kT} \ln \left(\mathrm{N}_{\mathrm{A}} / \mathrm{n}_{\mathrm{i}}\right)\) ptype
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Drift: thermal velocity drift velocity
Current density (electrons)
Current density (electrons \& holes)
Conductivity
Diffusion
Einstein relation:
R and G
Fick's law $\quad \mathrm{dn} / \mathrm{dt}_{\text {diefn }}=1 / \mathrm{e} \nabla \mathrm{J}_{\text {diffe }}=\mathrm{D}_{\mathrm{n}} \mathrm{d}^{2} \mathrm{n} / \mathrm{dx}^{2}$

$$
\mathrm{dn} / \mathrm{dt}=\mathrm{dn} / \mathrm{dt}_{\mathrm{dint}}+\mathrm{dn} / \mathrm{dt}_{\mathrm{diffin}}+\mathrm{dn} / \mathrm{dt}_{\text {thernal } R 0}+\mathrm{dn} / \mathrm{dt}_{\mathrm{dther}} \mathrm{RO}_{0}
$$

so $\quad \mathrm{dn} / \mathrm{dt}=(\mathrm{I} / \mathrm{e}) \nabla\left\{\mathrm{J}_{\text {drift }}+\mathrm{J}_{\text {dffin }}\right\}+\mathrm{G}-\mathrm{R}$
$\mathrm{dn} / \mathrm{dt}_{\text {diemal }}=-\mathrm{n}_{1} / \tau_{\mathrm{e}} \quad$ or $\mathrm{dp} / \mathrm{dt}_{\text {acrmal }}=-\mathrm{p}_{1} / \mathrm{T}_{\mathrm{p}}$
$\tau_{\mathrm{n}}=1 / \mathrm{rN}_{\mathrm{A}}$, or $\tau_{\mathrm{p}}=1 / \mathrm{r} \mathrm{N}_{\mathrm{D}}$

$$
\mathrm{n}=\sqrt{ } \tau_{\mathrm{n}} \mathrm{D}_{\mathrm{m}} \text {, or } \quad \mathrm{p}=\sqrt{ } \tau_{\mathrm{p}} \mathrm{D}_{\mathrm{p}} .
$$

If traps dominate $\tau=1 / \mathrm{r}_{2} \mathrm{~N}_{\mathrm{T}}$ where $\mathrm{r}_{2} \gg \mathrm{r}$
pn junction

$$
\begin{aligned}
& \mathbf{E}=1 / \varepsilon_{0} \varepsilon_{\mathrm{r}} \int \rho(\mathrm{x}) \mathrm{dx} \quad \text { where } \rho=\mathrm{e}\left(\mathrm{p}-\mathrm{n}+\mathrm{N}_{\mathrm{D}}-\mathrm{N}_{\mathrm{A}}\right) \\
& \mathbf{E}=-\mathrm{dV} / \mathrm{dx} \\
& e V_{o}=\left(E_{f}-E_{i}\right)_{\text {n-type }}-\left(E_{f}-E_{i}\right)_{p-\text { type }} \\
& =\mathrm{kT} / \mathrm{e} \ln \left(\mathrm{n}_{\mathrm{n}} / \mathrm{n}_{\mathrm{p}}\right) \text { or } \mathrm{kT} / \mathrm{e} \ln \left(\mathrm{~N}_{\mathrm{A}} \mathrm{~N}_{\mathrm{D}} / \mathrm{n}_{\mathrm{i}}^{2}\right) \\
& \mathbf{E}=\mathrm{N}_{\mathrm{A}} \mathrm{e} \mathrm{~d}_{\mathrm{p}} / \varepsilon_{\mathrm{o}} \varepsilon_{\mathrm{r}}=\mathrm{N}_{\mathrm{D}} \mathrm{e} \mathrm{~d}_{\mathrm{p}} / \varepsilon_{\mathrm{o}} \varepsilon_{\mathrm{r}} \quad \text { at } \mathrm{x}=0 \\
& \mathrm{~V}_{\mathrm{o}}=\left(\mathrm{e} / 2 \varepsilon_{\mathrm{o}} \varepsilon_{\mathrm{r}}\right)\left(\mathrm{N}_{\mathrm{D}} \mathrm{~d}_{\mathrm{n}}{ }^{2}+\mathrm{N}_{\mathrm{A}} \mathrm{~d}_{\mathrm{p}}{ }^{2}\right) \\
& \mathrm{d}_{\mathrm{n}}=\sqrt{ }\left\{\left(2 \varepsilon_{\mathrm{o}} \varepsilon_{\mathrm{r}} \mathrm{~V}_{\mathrm{o}} / \mathrm{e}\right)\left(\mathrm{N}_{\mathrm{A}} /\left(\mathrm{N}_{\mathrm{D}}\left(\mathrm{~N}_{\mathrm{D}}+\mathrm{N}_{\mathrm{A}}\right)\right)\right\}\right. \\
& \mathrm{d}=\mathrm{d}_{\mathrm{p}}+\mathrm{d}_{\mathrm{n}}=\sqrt{ }\left\{\left(2 \varepsilon_{0} \varepsilon_{\mathrm{r}}\left(\mathrm{~V}_{\mathrm{o}}+\mathrm{V}_{\mathrm{A}}\right) / \mathrm{e}\right)\left(\mathrm{N}_{\mathrm{D}}+\mathrm{N}_{\mathrm{A}}\right) / \mathrm{N}_{\mathrm{A}} \mathrm{~N}_{\mathrm{D}}\right\} \\
& \mathrm{J}=\mathrm{J}_{\mathrm{o}}\left\{\operatorname{expeV} \mathrm{~V}_{\mathrm{A}} / \mathrm{kT}-1\right\} \text { where } \mathrm{J}_{\mathrm{o}}=\mathrm{en}_{\mathrm{i}}^{2}\left\{\mathrm{D}_{\mathrm{p}} / \mathrm{N}_{\mathrm{D}}{ }_{\mathrm{p}}+\mathrm{D}_{\mathrm{n}} / \mathrm{N}_{\mathrm{A}}{ }_{\mathrm{n}}\right\} \\
& \text { Transistor BJT gain } \beta=\mathrm{I}_{\mathrm{C}} / \mathrm{I}_{\mathrm{B}} \sim \mathrm{I}_{\mathrm{E}} / \mathrm{I}_{\mathrm{B}}=\mathrm{N}_{\mathrm{A}, \mathrm{E}} / \mathrm{N}_{\mathrm{D}, \mathrm{~B}} \\
& \mathrm{I}_{\mathrm{E}}=\left(\mathrm{eD} \mathrm{D}_{\mathrm{p}} / \mathrm{w}\right)\left(\mathrm{n}_{\mathrm{i}}^{2} / \mathrm{N}_{\mathrm{D}, \mathrm{~B}}\right) \exp \left(\mathrm{eV}_{\mathrm{EB}} / \mathrm{kT}\right) \\
& \mathrm{V}_{\mathrm{SD}, \text { sat }}=\left(\mathrm{eN}_{\mathrm{D}} \mathrm{t}^{2} / 8 \varepsilon_{\mathrm{o}} \varepsilon_{\mathrm{r}}\right)-\left(\mathrm{V}_{\mathrm{o}}+\mathrm{V}_{\mathrm{G}}\right)
\end{aligned}
$$

JFET

Photodiode and photovoltaic

$$
\begin{array}{lr}
\mathrm{I}=\mathrm{I}_{\mathrm{o}}+\mathrm{I}_{\mathrm{G}} & \mathrm{~V}=\mathrm{I}\left(\mathrm{R}_{\mathrm{PV}}+\mathrm{R}_{\mathrm{L}}\right) \\
\mathrm{I}=\mathrm{I}_{\mathrm{o}}\{\operatorname{expeV} / \mathrm{kT}-1\}+\mathrm{I}_{\mathrm{G}} \quad \text { Power }=\mathrm{IV}
\end{array}
$$

Wavelength $\quad \lambda(\mu \mathrm{m})=1.24 / \mathrm{E}_{\mathrm{g}}(\mathrm{eV})$

## Band structure

Effective mass: $\quad \mathrm{m}^{*}=\hbar^{2}\left(\partial^{2} E / \partial k^{2}\right)^{-1}$
Momentum of an electron typically $\pi / \mathrm{a} \sim 10^{10} \mathrm{~m}^{-1}$
Momentum of a photon $=2 \pi / \lambda \sim 10^{7} \mathrm{~m}^{-1}$
Uncertainly principle $\Delta x \Delta p \geq \hbar$
Lasers
probability of absorption $=B_{13}$, stimulated emission $=B_{31}$, spontaneous emission $=A_{31}$
$\mathrm{N}_{3}=\mathrm{N}_{1} \exp \left(-\mathrm{h} \nu_{31} / \mathrm{kT}\right)$
Planck $\rho(v) \mathrm{d} v=\left\{8 \pi h v^{3} / \mathrm{c}^{3}\right\} /\{\exp (\mathrm{h} v / \mathrm{kT})-1\} \mathrm{d} v$
$\mathrm{B}_{13}=\mathrm{B}_{31}$
and $\quad \mathrm{A}_{31} / \mathrm{B}_{31}=8 \pi h v^{3} / \mathrm{c}^{3} \quad$ (Einstein relations)
Cavity modes $\quad v=\mathrm{cN} / 2 \mathrm{~d}, \mathrm{~N}$ an integer.

## Fibers

Attenuation $(\mathrm{dB}) \quad=\{10 / \mathrm{L}\} \log \left(\mathrm{P}_{\text {in }} / \mathrm{P}_{\text {out }}\right) \quad \mathrm{L}=$ fiber length
Snell's law:
$\mathrm{n} \sin \phi=\mathrm{n}^{\prime} \sin \phi^{\prime}$
Dispersion coefft. $\mathrm{D}_{\lambda}=-\left\{\lambda_{o} / c\right\}\left(\partial^{2} n / \partial \lambda^{2}\right)_{\lambda=\lambda_{o}} \mathrm{ps} / \mathrm{km} . \mathrm{nm}$

$$
\sigma_{t}=\sigma_{\lambda} L D_{\lambda}
$$

## PHYSICAL CONSTANTS, CONVERSIONS, AND USEFUL COMBINATIONS

## Physical Constants

Avogadro constant
Boltzmann constant
Elementary charge
Planck constant

Speed of light
Permittivity (free space)
Electron mass
Coulomb constant
Atomic mass unit
Useful Combinations
Thermal energy ( 300 K )
$\mathrm{N}_{\mathrm{A}}=6.022 \times 10^{23}$ particles/mole
$k=8.617 \times 10^{-5} \mathrm{eV} / \mathrm{K}=1.38 \times 10^{-23} \mathrm{~J} / \mathrm{K}$
$e=1.602 \times 10^{-19}$ coulomb
$h=4.136 \times 10^{-15} \mathrm{eV} \cdot \mathrm{s}$
$=6.626 \times 10^{-34}$ joule $\cdot \mathrm{s}$
$c=2.998 \times 10^{10} \mathrm{~cm} / \mathrm{s}$
$\varepsilon_{0}=8.85 \times 10^{-14} \mathrm{farad} / \mathrm{cm}$
$m=9.1095 \times 10^{-31} \mathrm{~kg}$
$k_{\mathrm{c}}=8.988 \times 10^{9}$ newton $-\mathrm{m}^{2} /(\text { coulomb })^{2}$
$u=1.6606 \times 10^{-27} \mathrm{~kg}$

Photon energy
$k T=0.0258 \mathrm{eV} \simeq 1 \mathrm{eV} / 40$
$E=1.24 \mathrm{eV}$ at $\lambda=\mu \mathrm{m}$
Coulomb constant
Permittivity (Si)
Permittivity (free space)
$k_{\mathrm{c}} \mathrm{e}^{2} 1.44 \mathrm{eV} \cdot \mathrm{nm}$
$\varepsilon=\varepsilon_{\mathrm{r}} \varepsilon_{0}=1.05 \times 10^{-12} \mathrm{farad} / \mathrm{cm}$
$\varepsilon_{0}=55.3 \mathrm{e} / \mathrm{V} \cdot \mu \mathrm{m}$

## Prefixes

$\mathrm{k}=$ kilo $=10^{3} ; \mathrm{M}=$ mega $=10^{6} ; \mathrm{G}=$ giga $=10^{9} ; \mathrm{T}=$ tera $=10^{12}$
$\mathrm{m}=$ milli $=10^{-3} ; \mu=$ micro $=10^{-6} ; n=$ nano $=10^{-9} ; p=$ pica $=10^{-12}$

## Symbols for Units

Ampere (A), Coulomb (C), Farad (F), Gram (g), Joule (J), Kelvin (K)
Meter (m), Newton (N), Ohm ( $\Omega$ ), Second (s), Siemen (S), Tesla (T)
Volt (V), Watt (W), Weber (Wb)

## Conversions

$1 \mathrm{~nm}=10^{-9} \mathrm{~m}=10 \AA=10^{-7} \mathrm{~cm} ; 1 \mathrm{eV}=1.602 \times 10^{-9}$ Joule $=1.602 \times 10^{-12} \mathrm{erg} ;$
$1 \mathrm{eV} /$ particle $=23.06 \mathrm{kcal} / \mathrm{mol} ; 1$ newton $=0.102 \mathrm{~kg}_{\text {force }}$;
$10^{6}$ newton $/ \mathrm{m}^{2}=146 \mathrm{psi}=10^{7} \mathrm{dyn} / \mathrm{cm}^{2} ; 1 \mu \mathrm{~m}=10^{-4} \mathrm{~cm} 0.001$ inch $=1 \mathrm{mil}=25.4 \mu \mathrm{~m}$;
$1 \mathrm{bar}=10^{6} \mathrm{dyn} / \mathrm{cm}^{2}=10^{5} \mathrm{~N} / \mathrm{m}^{2} ; 1$ weber $/ \mathrm{m}^{2}=10^{4}$ gauss = 1 tesla;
1 pascal $=1 \mathrm{~N} / \mathrm{m}^{2}=7.5 \times 10^{-3}$ torr; $1 \mathrm{erg}=10^{-7}$ joule $=1$ dyn -cm

