

# Midterm evaluations

What learning activities were found *most helpful*

**Example problems, case studies (5);**  
**graphs (good for extracting useful info) (4);**  
Good interaction (2);  
Good lecture notes, slides (2);  
Connection between different concepts (1);  
Highlighting key points (1).

What learning activities were found *least helpful*

**Cluttered slides (2);**  
**Not always sure of importance of topics (1);**  
Poor information flow in derivation process (1);  
Readings (1);  
Sometimes information overload (1);  
Speaking too quickly (1).

If you could implement one change, by end term, what would it be?

**Clarify definitions at start of lecture (1);**

**Cleaner slides (1);**

**Final summary of key concepts at end of lec. (1);**

Fewer reports, 2 would be sufficient (1);

More concepts, fewer calculations (1);

More guidance on how to handle odd results (1).

Require a textbook (1).

Review sessions and office hrs should not conflict with  
with extracurricular activities (1).

*Process  
determines  
product*

Students like most aspects of this class... favourite tends to be labs;  
students need guidance about what to do when .. get strange results.

Students like lectures in general, .. fast at times.

..need highlights of important points to get the “big picture”

- For lengthy derivations,... slides show only small portions at a time;  
students **like to see entire derivation at once**, possibly ...on the board.
- When students ..think through a particularly difficult concept,  
professor **moves too quickly**, not allowing students to digest information  
..graphs and example problems ..very appropriate.

*Please ask  
questions*

# What I'll do

Begin with definitions, overview

Moderate the pace of speaking and info transfer

End with summary that emphasizes key points

Make more use of figures, graphs, examples

Less clutter on slides

(and post ppt slides, not pdf)

When derivations are helpful, be more methodical

(but still emphasize concepts)

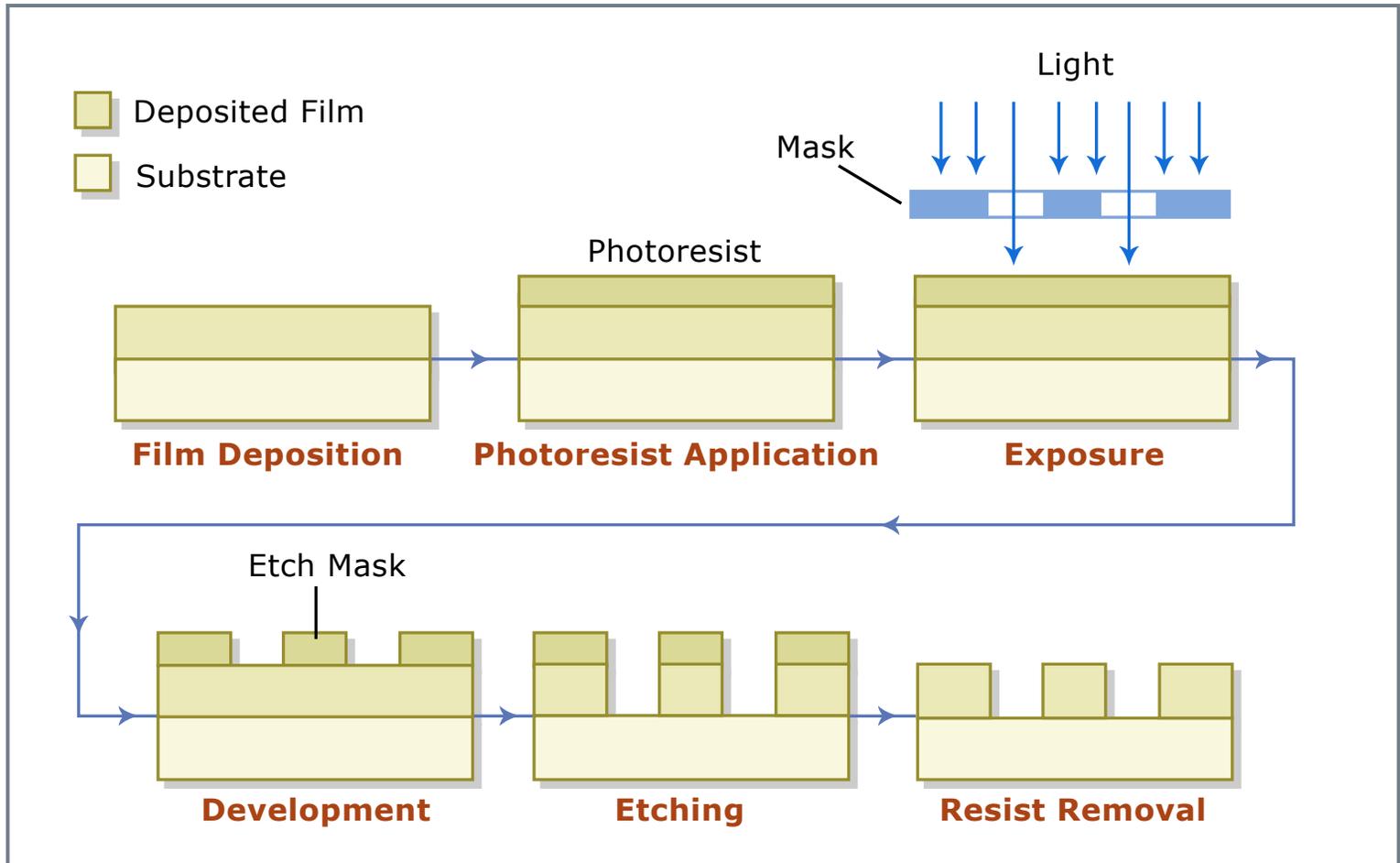
Textbook will continue to be optional (\$)

# Etching

Etching is the selective removal of deposited films

e.g.: **HF dip** to remove native oxide... but not Si

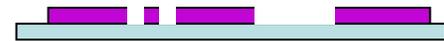
**More often:** through mask to leave patterned film:



# Etching

Etching usually done through a mask of

1. **Photoresist** (soft mask)



2. **SiO<sub>x</sub> or SiN** (hard mask)

(+ **Photoresist to define hard mask**)

More robust than PR alone



Etching must be done with consideration of prior processes  
(Material already present may inadvertently be affected by etching)

*Mask, substrate*

# Chemical Etching

**Wafer in contact with liquid,  
reactive gas or plasma.**

**Etch is from chemical reaction  
acting isotropically**

**undercut is possible**

**highly selective**

**rate is thermally activated**

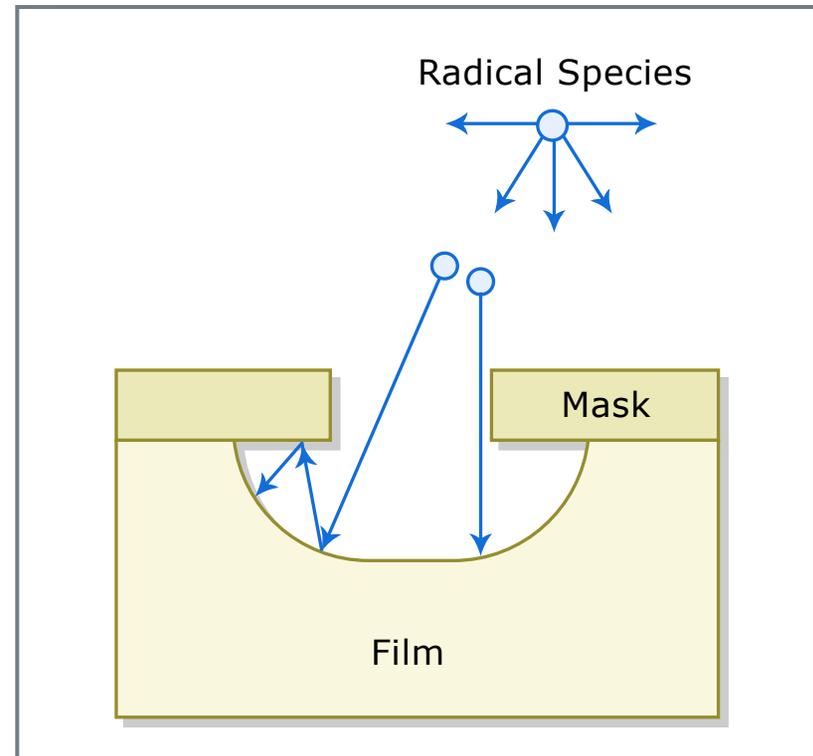


Figure by MIT OCW.



# Issues in etching

- 1) **Uniformity** across wafer, and across window
- 2) **Rate**; fast enough to be practical,  
slow enough to be controllable
- 3) **Selectivity**: rate of etching target material  
relative to mask-etch rate (should be large)
- 4) **Anisotropy**: directional dependence of etch rate
- 5) **Byproducts**: volatile or otherwise easily removed,  
and are they safe

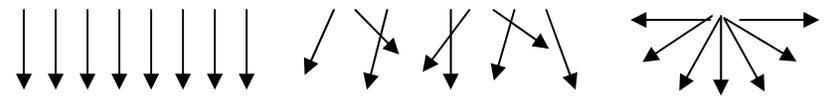
**Selectivity** =  $\frac{\text{Etch rate of material intended to be removed}}{\text{Etch rate of mask}}$

$S \approx \exp(-G/kT)$

Sputter yield,  $mM/(m+M)$ , energy

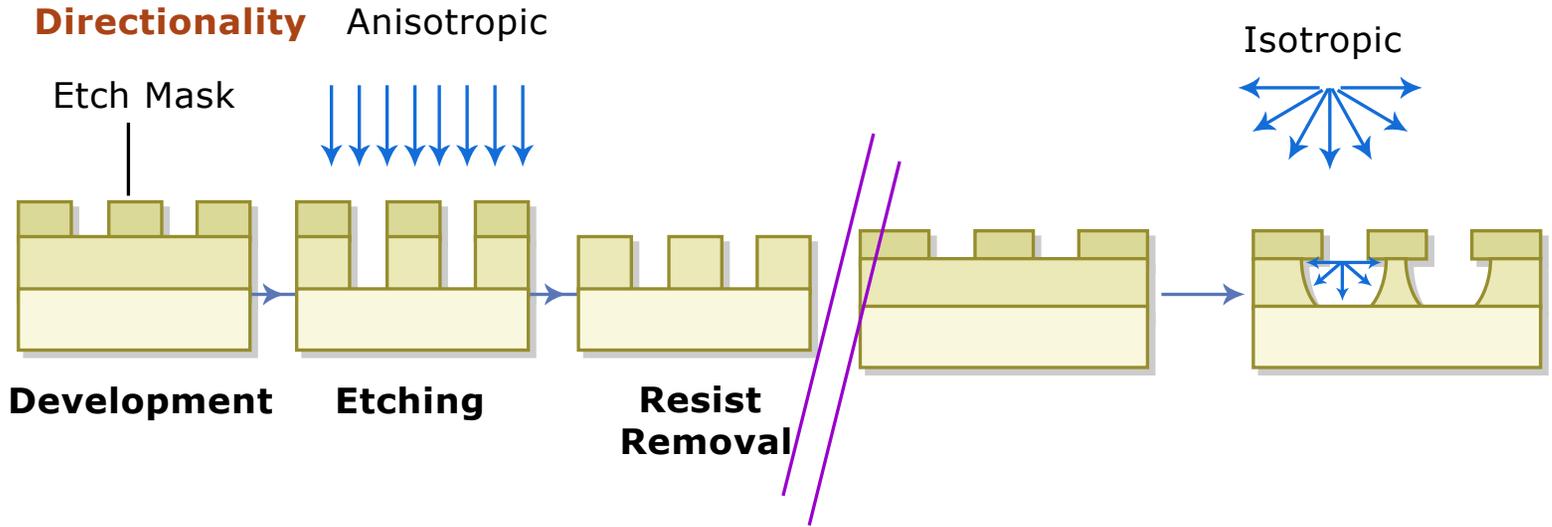
Chemical reactions can be highly selective (20 - 50)  
Physical etch processes (sputter etch) less so (1 - 5)

**Directionality:** From anisotropic to isotropic

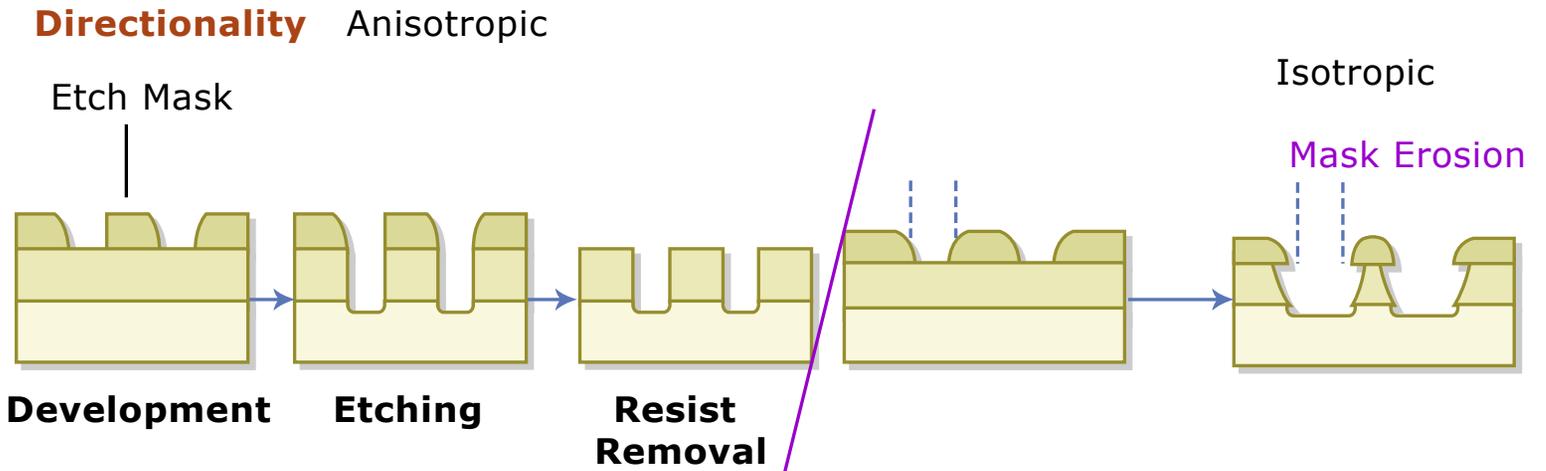


	Wet etch ( <i>Chemical</i> )	Dry etch ( <i>Physical</i> )	Deposition techniques CVD	Sputtering
<b>Selectivity</b>	25 - 50	1 - 5	high	(Sputter yield)
<b>Directionality</b>	low	high	good step coverage	poor step coverage
	<i>Removing material</i>		<i>adding material</i>	

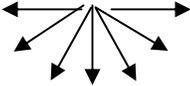
HIGH SELECTIVITY



LOW SELECTIVITY



## Wet etch (*Chemical: wet, vapor or in plasma*)

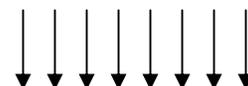
isotropic (*usually*), highly selective 

HF dip:



Used less for VLSI (poor feature size control)

## Dry etch (*Physical: ions, momentum transfer*)

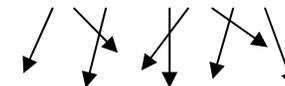
anisotropic, not selective 

Sputter etching

More widely used for small features

## Combination (*Physical & Chemical*)

Ion-enhanced or

Reactive Ion Etching (RIE) 

Blends best of *directionality* and *selectivity*

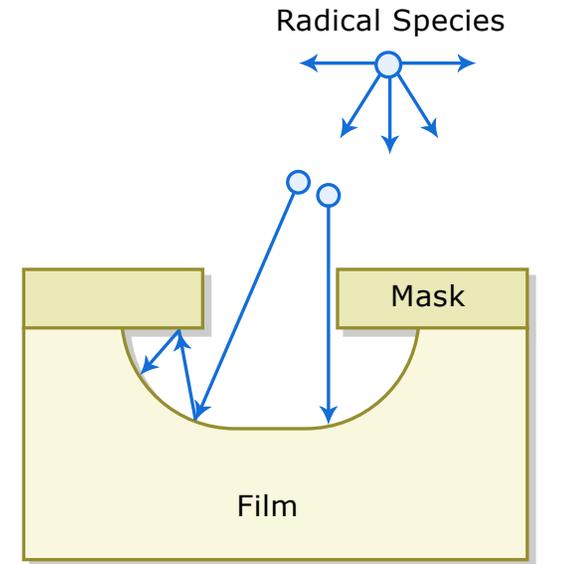


Figure by MIT OCW.

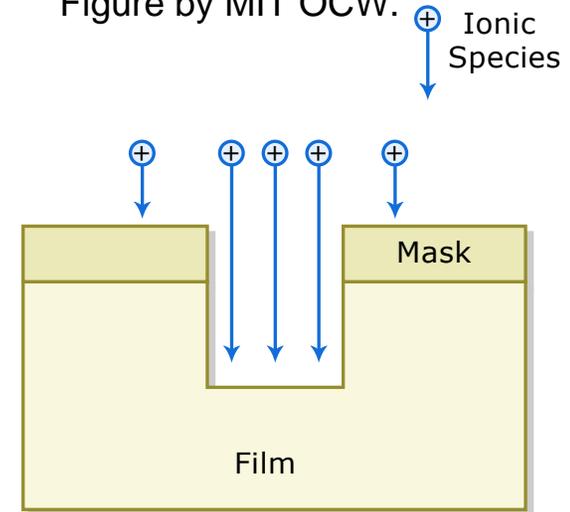
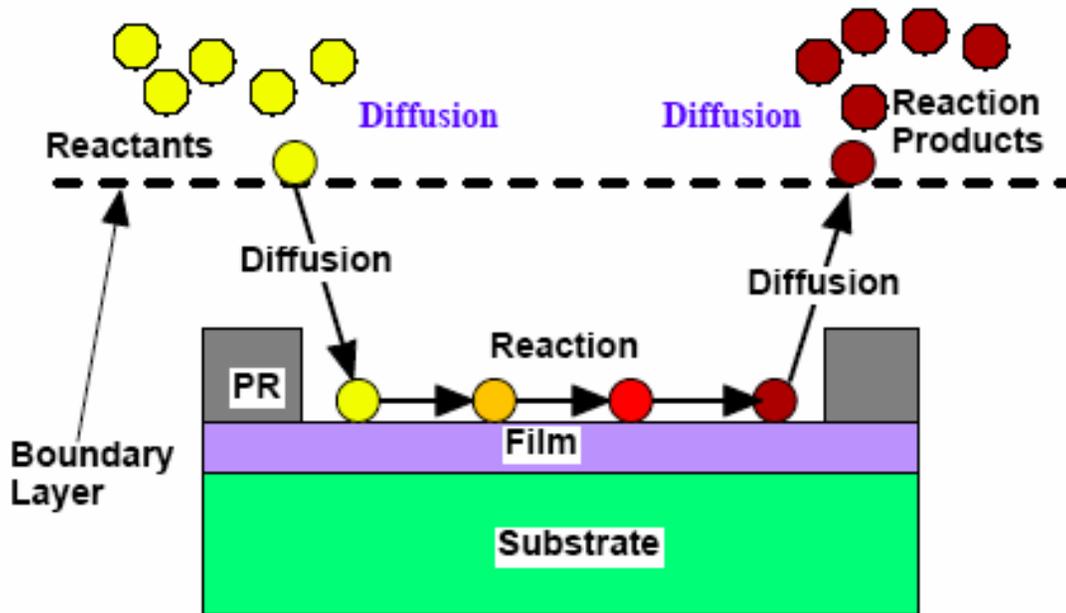


Figure by MIT OCW.

# Wet Etching

Wafer in solution that attacks film to be etched, but not mask



Reactive species **diffuse** through boundary layer to surface of wafer

Thermally activated **reaction** at surface gives soluble species

Products **diffuse** through boundary layer, transported away

**Advantages:** high *selectivity* due to chemical reactions

**Disadvantages:** *Isotropic (except for Si), poor process control*

*(can be transport or reaction limited, just like CVD),*

*strong T-dependence*

# Wet etching

**Wet etching controlled by:**

**which affects:**

---

Mass transport,  
boundary layer

***Uniformity, Rate***

$$\delta(x) \propto \sqrt{x}$$

Specific chemical reaction,  $\Delta G$

***Rate, Selectivity***

Temperature  $\exp(-\Delta G/k_B T)$

***Rate***

(Just as in CVD, oxidation)

# Wet etching

HF dip removes native oxide from shipped wafers

**Silicon Dioxide**

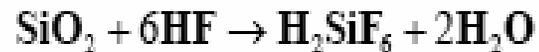
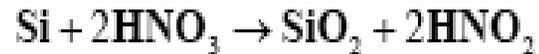


Rinse in DI water

If you want no further oxide growth,  
passivate surface with hydrogen

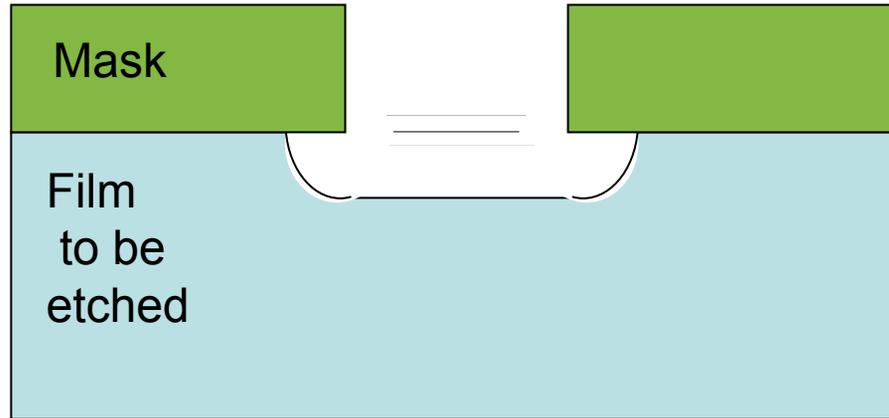
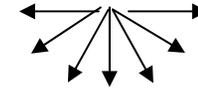
Crystallographic selectivity used to make cantilevers

**Silicon**

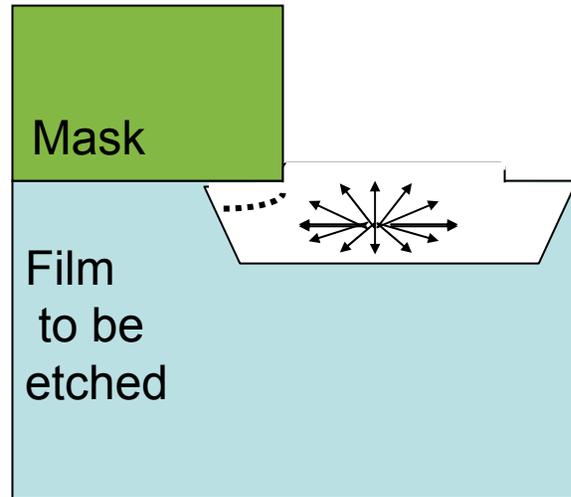


# Wet etching

isotropic



Boundary layer prevents growth from being Linear like this:



Boundary layer also retards removal of by-products

# Wet etching of SiO<sub>2</sub>

Immerse wafer in bath (HF dip)

or etch SiO<sub>2</sub> through photoresist mask



Reaction products must be gaseous  
or water soluble

Slow reaction by diluting HF with H<sub>2</sub>O

120 nm/min in 6:1::H<sub>2</sub>O:HF

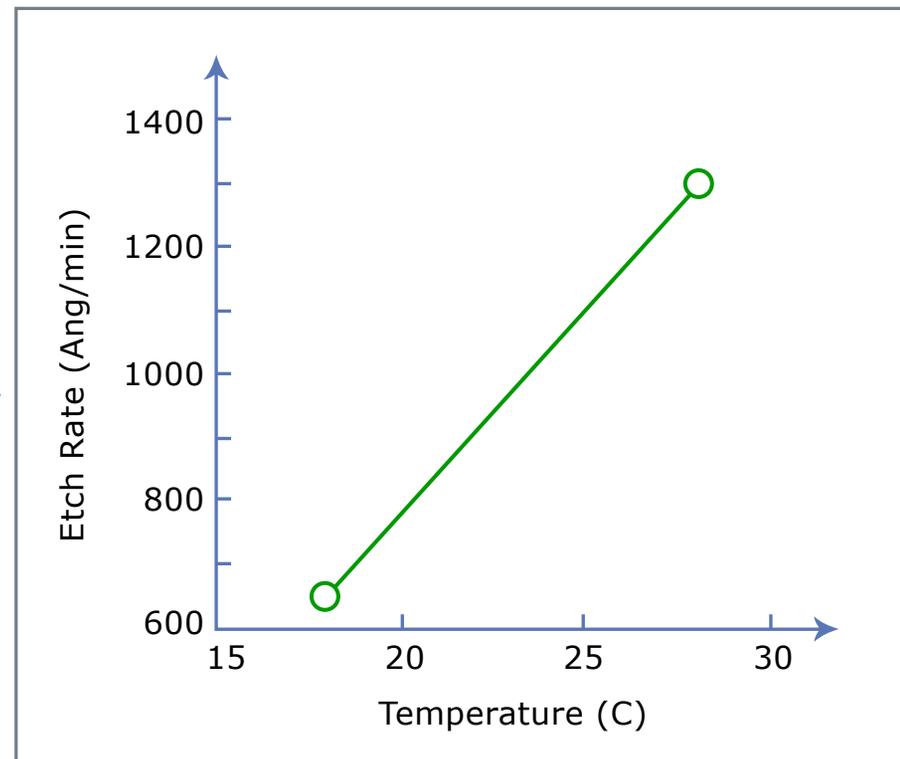
1000 nm/min in 1:1

Doped or deposited oxide etches faster

Selectivity relative to Si  $\approx$  100

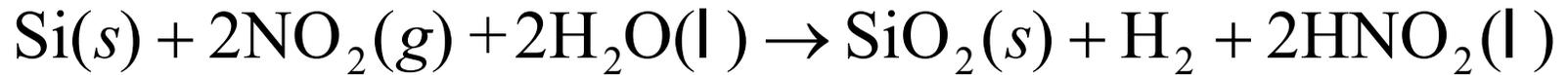
Buffered oxide etch (BOE) (add NH<sub>4</sub>F)

improves consistency, maintains F



# Wet etching of Si

**Common silicon wet etch:** nitric ( $\Rightarrow \text{NO}_2$ ) + hydrofluoric acid



HF dissolves  $\text{SiO}_2$  by reaction above. Total reaction:



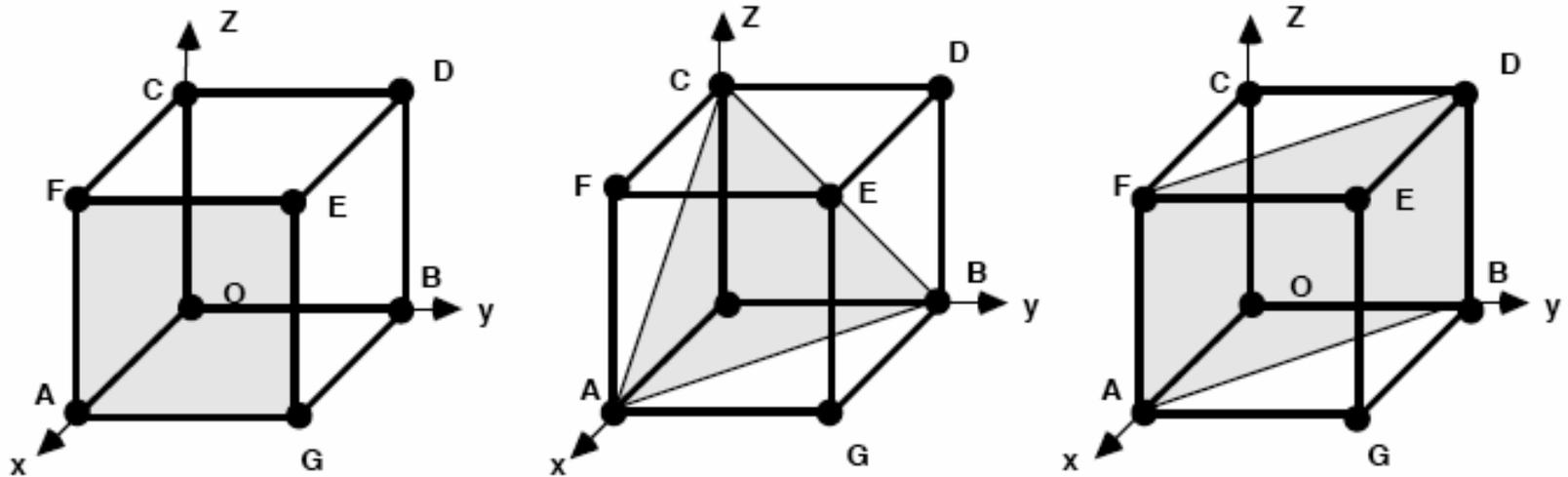
Buffered HF: Acetic acid ( $\text{CH}_3\text{COOH}$ ) instead of  $\text{H}_2\text{O}$ ,  
 $\text{NH}_4\text{F}$  added to prevent depletion of F and retard etch of photoresist

Figure removed for copyright reasons.

Please see: Figure 11-4 in Campbell, S. *The Science and Engineering of Microelectronic Fabrication*. 2nd ed. New York, NY: Oxford University Press, 2001. ISBN: 0195136055.

# Wet etching of Si

(From Lec 7, M. Schmidt)



**Bond coordination  
in (111) is greatest**

**The family of planes AFEG (1,0,0),  
ABC (1,1,1) and ABDF (1,1,0)**

**(111) planes most stable**

# Wet etching of Si

(From Lec 7, M. Schmidt)

Two graphs removed for copyright reasons.

See H. Seidel, L. Csepregi, A. Hueberger, and H. Baungärtel. *The Journal of the Electrochemical Society* 137 (1990): 3612-3626.

# Wet etching of Si

(From Lec 7, M. Schmidt)

Figures removed for copyright reasons.

Figures can be found in slide 9 of Tang, W. "MEMS Programs at DARPA." Presentation, DARPA, <http://www.darpa.mil/mto/mems/presentations/memsatdarpa3.pdf>

# Wet etching

High selectivity of wet etch derives from chemical reaction.

$$S = \frac{r_1}{r_2}$$

$r_1$  is film to be etched  
 $r_2$  is mask and/or material beneath film

Selectivity determines mask thickness

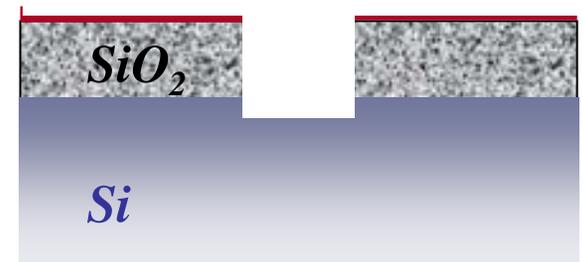
## Exercise

*0.6  $\mu\text{m}$  of  $\text{SiO}_2$  is to be etched; rate is 0.2  $\mu\text{m}/\text{min}$ . If etch selectivity of oxide relative to **mask** is 24:1 and to slightly over-etch you expose for 3.6 min, how thick should mask be?*

## Solution

$$S = \frac{r_1}{r_2} = 24 = \frac{0.2 \mu\text{m}/\text{min}}{\text{thickness}/3.6 \text{ min}}$$

**Mask should be  $> 0.03 \mu\text{m}$  thick**

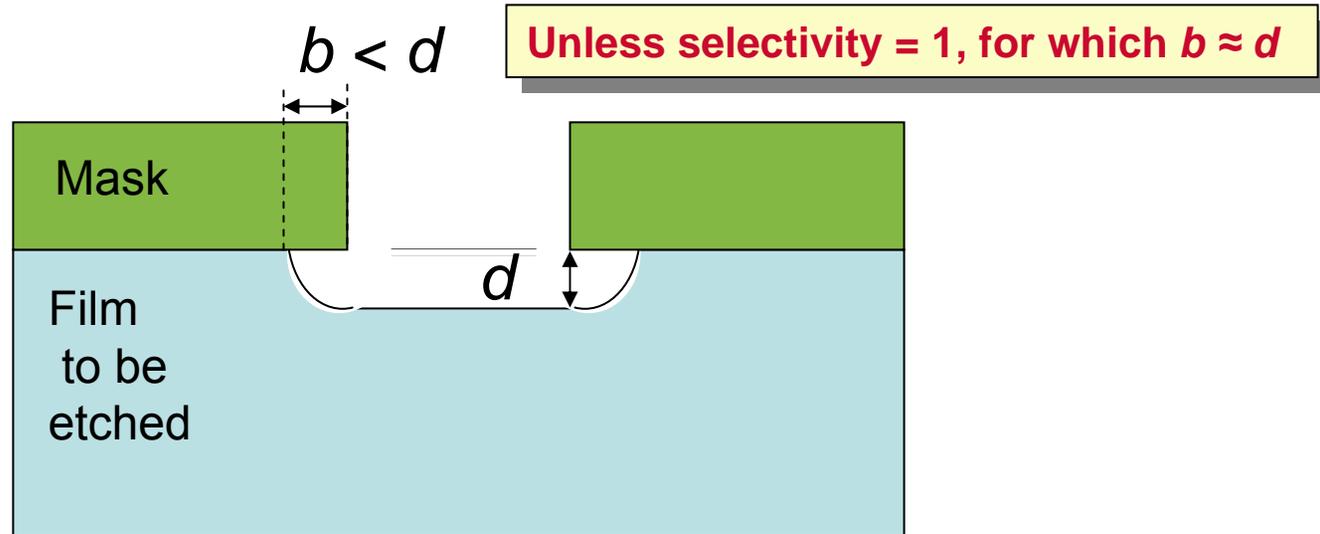


**How thick is 0.035  $\mu\text{m}$  mask after etch?**

$$t_{\text{mask}}(3.6) = 0.035 \mu\text{m} - \frac{0.2}{24} \times 3.6 = 3 \text{ nm}$$

# Wet etching: bias

Isotropic wet etch leads to bias,  $b$ :

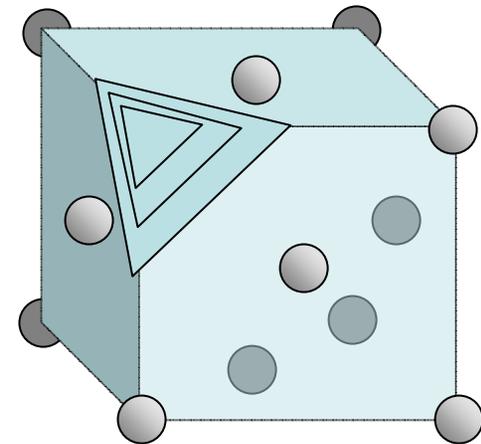


## Exception:

when etch rate depends on crystallography  
as in Si etch:

fastest normal to low-in-plane-bond direction,  $\langle 100 \rangle$

slowest normal to high-in-plane-bond direction,  $\langle 111 \rangle$



# Wet etching

## Exercise

0.4  $\mu\text{m}$  of  $\text{SiO}_2$  is to be etched at least into Si; rate is  $r_{\text{ox}}$  ( $\mu\text{m}/\text{min}$ ).  
Oxide thickness and etch rate each have  $\pm 5\%$  variance.

**Q. What % etch time is required to be sure all oxide is etched?**

## Solution

Worst case time is thickest oxide, slowest rate:

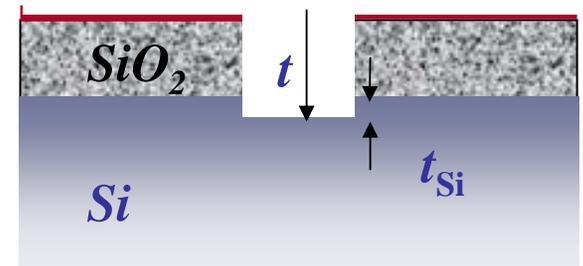
$$t = \frac{1.05x_{\text{ox}}}{0.95r_{\text{ox}}} = 1.105 \frac{0.4 \mu\text{m}}{r_{\text{ox}}}$$

This implies a 10.5% greater time is needed to insure fully exposed Si.

**Q. What selectivity,  $r_{\text{ox}}/r_{\text{Si}}$  is required so that no more than 5 nm of Si is etched anywhere?**

## Solution

Maximum Si etch is beneath thinnest  $\text{SiO}_2$  and for fastest  $r_{\text{ox}}$  so shortest oxide-etch time is  $t_{\text{ox}} = 0.95x_{\text{ox}}/1.05r_{\text{ox}} = 0.362/r_{\text{ox}}$ . Use time  $t_{\text{tot}}$  from a):



$$S = \frac{r_{\text{ox}}}{x_{\text{Si}}^{\text{max}} / t_{\text{Si}}} = \frac{r_{\text{ox}}}{0.005 / (t_{\text{tot}} - 0.362 / r_{\text{ox}})} = 16 : 1$$

# Etching: mask erosion

Prior examples assume no mask erosion  $r_{ox}/r_{mask} \approx \infty$

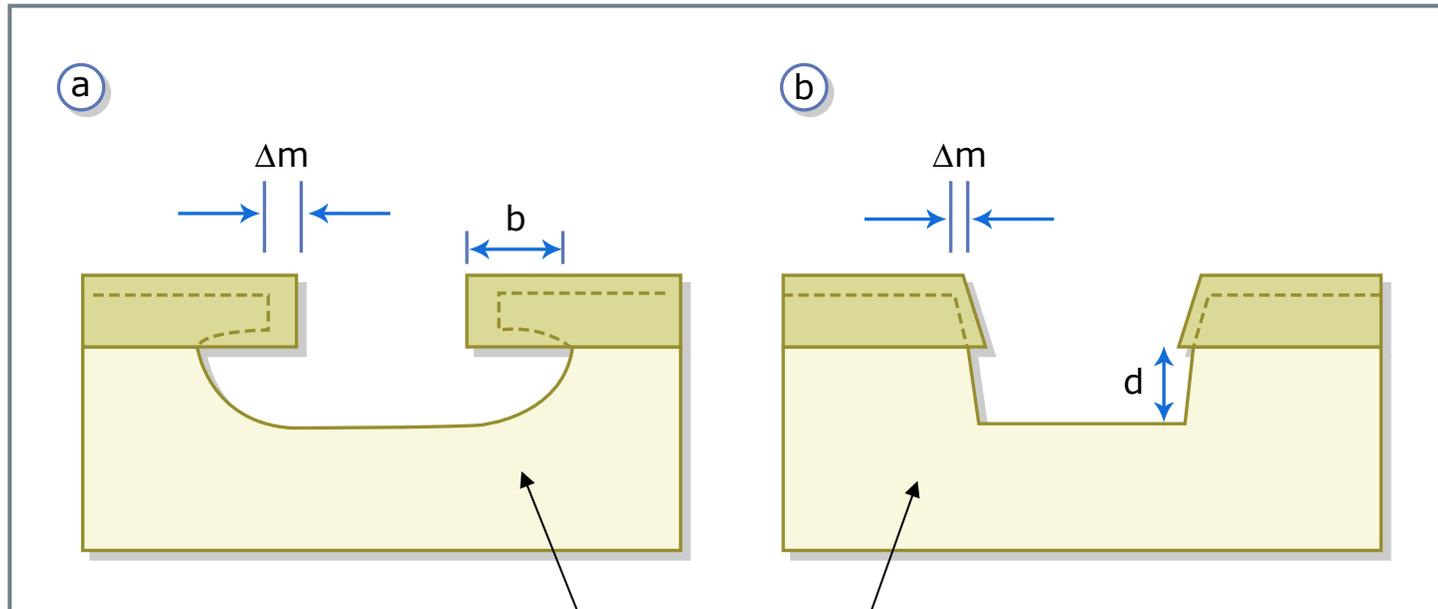


Figure by MIT OCW.

Mask erosion,  $\Delta m$ , depends on whether etch is isotropic or anisotropic

Define degree of etch *isotropy*,  $I_{etch} = r_{lateral}/r_{vertical}$   
or degree of *anisotropy*,  $A_{etch} = 1 - I_{etch} = 1 - b/d$ . ( $b$  is the bias).

Etching just to the bottom of the layer gives:  $A_{etch} = 1 - b/x_{film}$

# Etching: mask erosion

## Exercise

Consider structure shown at right in which an oxide layer,  $x_f = 0.3 \mu\text{m}$ , is etched to achieve equal structural widths and spacing,  $S_f$ . If the etch process is characterized by  $A = 0.9$  and  $x = 0.2 \mu\text{m}$ , find  $S_f$ .

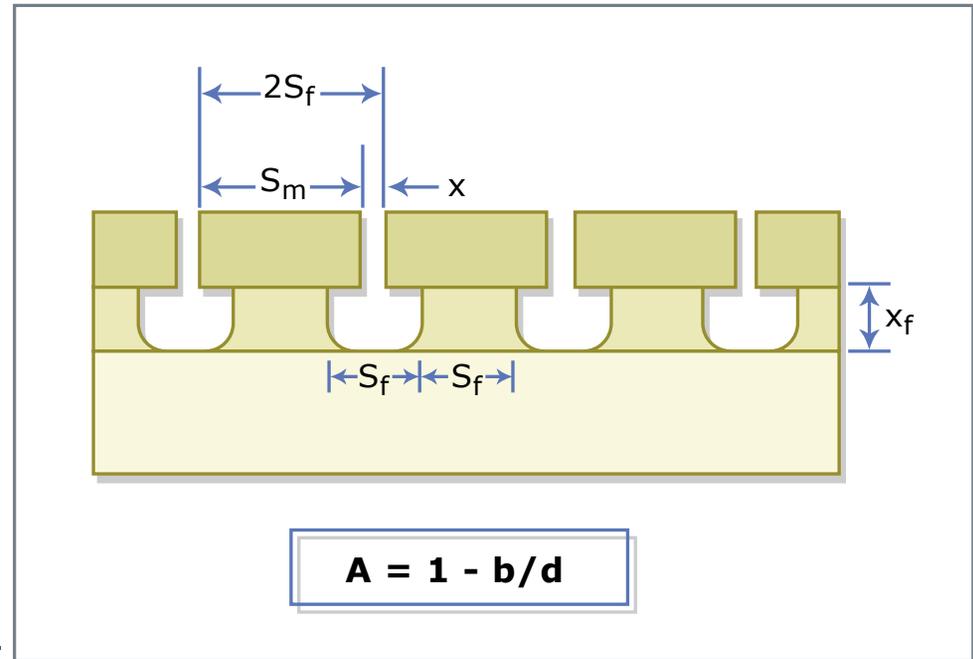


Figure by MIT OCW.

## Solution

$$S_m = S_f + 2b = S_f + 2(1 - A_{\text{etch}})x_f \quad (\text{using result from prior page})$$

Note that for anisotropic etch,  $A = 1$  and  $S_m = S_f$ . Also from Fig.,  $x = 2S_f - S_m$ .

Eliminate  $S_m$  to get:  $x = S_f - 2(1 - A_{\text{etch}})x_f$  (*typo in text*), or

$$S_f = x + 2(1 - A_{\text{etch}})x_f = 0.2 + 2 \times 0.1 \times 0.3, \quad \boxed{S_f = 0.26 \mu\text{m}}$$

*Note: the size of the final etched feature,  $S_f$ , approaches minimum lithographic dimension only when  $x_f$  is very small or when  $A$  approaches unity. Small features hard in wet etch.*

Figure removed for copyright reasons.

Please see: Table 10-1 in Plummer, J., M. Deal, and P. Griffin. ***Silicon VLSI Technology: Fundamentals, Practice, and Modeling***. Upper Saddle River, NJ: Prentice Hall, 2000. ISBN: 0130850373.

# Isotropic Etches

## Silicon Nitride

- Silicon Nitride is etched very slowly by HF solutions at room temperature, for example 20:1 BOE @20 C
  - Etch rate of  $\text{SiO}_2$ - 300 Å/min
  - Etch rate of  $\text{Si}_3\text{N}_4$  – 5-15 Å/min
  - Very good selectivity of oxide to nitride
- Silicon nitride etches in 49% HF at room temperature at about 500 Å/min
- Phosphoric acid at 150 °C [140-200 °C] etches  $\text{Si}_3\text{N}_4$  at fairly fast rate
  - Etch rate of  $\text{Si}_3\text{N}_4$  – 100 Å/min
  - Etch  $\text{SiO}_2$  – 10 Å/min
  - Selectivity of  $\text{Si}_3\text{N}_4$  over  $\text{SiO}_2$  : S = 10
  - Selectivity of  $\text{Si}_3\text{N}_4$  over Si: S=30

## Phosphoric Acid Etch Rate

Graph removed for copyright reasons.

# Isotropic Etch

## Aluminum

50HNO<sub>3</sub> : 20H<sub>2</sub>O : 1HNO<sub>3</sub> : 1CH<sub>3</sub>COOH

- Aluminum etches in water, phosphoric, nitric and acetic acid mixtures
- Converts Al to Al<sub>2</sub>O<sub>3</sub> with nitric acid (evolves H<sub>2</sub>)
- Dissolve Al<sub>2</sub>O<sub>3</sub> in phosphoric acid
- Gas evolution leading to bubbles
- Local etch rate goes down where bubble is formed
  - Non-uniformity