# The Mahalanobis Distance in Character Recognition 

Authored by Dan Frey 31 July 98

This sheet explores the use of the Mahalanobis Distance in character recognition.

It defines a letters $A, B, C$, and $D$.
It creates a population of distorted letters to train a classifier.
It creates a test population of letters to test the classifier.
It allows you to compete against the Mahalanobis classifier.

ORIGIN $:=1 \quad$ Every matrix and vector in this sheet will begin with index number 1.

Here are the Canonical letters A B C and $D$ defined as matrices of 1 s and 0 s

$$
A:=\left(\begin{array}{lllll}
0 & 0 & 1 & 0 & 0 \\
0 & 1 & 0 & 1 & 0 \\
1 & 0 & 0 & 0 & 1 \\
1 & 1 & 1 & 1 & 1 \\
1 & 0 & 0 & 0 & 1
\end{array}\right) \quad B:=\left(\begin{array}{lllll}
1 & 1 & 1 & 1 & 0 \\
1 & 0 & 0 & 1 & 1 \\
1 & 1 & 1 & 1 & 0 \\
1 & 0 & 0 & 1 & 1 \\
1 & 1 & 1 & 1 & 0
\end{array}\right) \quad C:=\left(\begin{array}{lllll}
0 & 1 & 1 & 1 & 0 \\
1 & 0 & 0 & 0 & 1 \\
1 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 0 & 1 \\
0 & 1 & 1 & 1 & 0
\end{array}\right) \quad D:=\left(\begin{array}{lllll}
1 & 1 & 1 & 1 & 0 \\
1 & 0 & 0 & 0 & 1 \\
1 & 0 & 0 & 0 & 1 \\
1 & 0 & 0 & 0 & 1 \\
1 & 1 & 1 & 1 & 0
\end{array}\right)
$$

Stretch the letters out into a vector of "features".
$\mathrm{k}:=1 . .25$

$$
\begin{aligned}
& \operatorname{Alin}_{k}:=A_{\left.k+5-5 \cdot \operatorname{ceil}\left(\frac{\mathrm{k}}{5}\right), \operatorname{ceil}\left(\frac{\mathrm{k}}{5}\right),{ }^{2}\right)} \\
& \mathrm{Clin}_{\mathrm{k}}:=\mathrm{C} \underset{\mathrm{k}+5-5 \cdot \operatorname{ceil}\left(\frac{\mathrm{k}}{5}\right), \text { ceil }\left(\frac{\mathrm{k}}{5}\right) .}{ } \\
& \operatorname{Blin}_{k}:=B_{\left.k+5-5 \cdot \operatorname{ceil}\left(\frac{k}{5}\right), \operatorname{ceil}\left(\frac{\mathrm{k}}{5}\right), ~\right) .} \\
& \operatorname{Dlin}_{\mathrm{k}}:=\mathrm{D}=\mathrm{k}+5-5 \cdot \operatorname{ceil}\left(\frac{\mathrm{k}}{5}\right) \text {, ceil }\left(\frac{\mathrm{k}}{5}\right)
\end{aligned}
$$

Reverse the letters. This makes the plots easier to read.

$$
\operatorname{Alin}_{\mathrm{k}}:=\operatorname{Alin}_{\mathrm{k}}=0 \quad \operatorname{Blin}_{\mathrm{k}}:=\operatorname{Blin}_{\mathrm{k}}=0 \quad \operatorname{Clin}_{\mathrm{k}}:=\operatorname{Clin}_{\mathrm{k}}=0 \quad \quad \operatorname{Din}_{\mathrm{k}}:=\operatorname{Dlin}_{\mathrm{k}}=0
$$

Define a function that will display the vector of features as a matrix

DISPLAY(Llin) $:=\mid$ for $i \in 1 . .5$ for $\mathrm{j} \in 1 . .5$<br>$$
\mathrm{L}_{\mathrm{i}, \mathrm{j}} \leftarrow \operatorname{Llin}_{\mathrm{i}+5 \cdot(\mathrm{j}-1)}
$$<br>L

Here are a couple of plots that show what the Cononical letters look like.


DISPLAY(Alin)


DISPLAY(Clin)


DISPLAY(Blin)


DISPLAY(Dlin)

## Create a population of fuzzed up letters

training_population $:=300$ Size of the training population. Has to be bigger than the number of pixels.

$$
\text { pop }:=1 \text {.. training_population }
$$

$$
\sigma_{\mathrm{t}}:=0.01 \text { How much random noise is superposed onto the pixels. }
$$

$$
\begin{aligned}
& \text { APOP }{ }^{\langle\text {pop }\rangle}:=\overrightarrow{\left[\left(2 \cdot \text { floor }\left(\operatorname{runif}(1,0,2)_{1}\right)-1\right) \cdot \text { Alin }\right]}+\operatorname{rnorm}\left(25,0, \sigma_{\mathrm{t}}\right) \\
& \left.\mathrm{BPOP}^{\left\langle{ }_{\text {pop }}\right\rangle}:=\xrightarrow[{\left[\left(2 \cdot \text { floor }\left(\text { runif }(1,0,2)_{1}\right)-1\right) \cdot \operatorname{Blin}\right.}]\right]{ }+\operatorname{rnorm}\left(25,0, \sigma_{\mathrm{t}}\right) \\
& \mathrm{CPOP}^{\left\langle{ }_{\text {pop }}\right\rangle}:=\overrightarrow{\left[\left(2 \cdot \text { floor }\left(\text { runif }(1,0,2)_{1}\right)-1\right) \cdot \operatorname{Clin}\right]}+\operatorname{rnorm}\left(25,0, \sigma_{\mathrm{t}}\right) \\
& \left.\mathrm{DPOP}^{\langle\text {pop }}\right\rangle
\end{aligned}=\overrightarrow{\left[\left(2 \cdot \text { floor }\left(\text { runif }(1,0,2)_{1}\right)-1\right) \cdot \operatorname{Dlin}\right]}+\operatorname{rnorm}\left(25,0, \sigma_{\mathrm{t}}\right) .
$$

These populations have been switched from positive to negative with a 50/50 probability, then superposed with white noise.

Here is an example of a fuzzed up A and a fuzzed up B.

$\operatorname{DISPLAY}\left(\operatorname{APOP}{ }^{\langle 5\rangle}\right)$

$\operatorname{DISPLAY}\left(\right.$ BPOP $\left.^{\langle 3\rangle}\right)$

## Compute Statistics of the Training Population

To create a Mahananobis distanace classifier, we need to deternime the mean and cavariance matrices from the population of letters.
$\mathrm{n}:=1 . .25 \quad \mathrm{~m}:=1 . .25$

$$
\begin{aligned}
& \operatorname{COVA}_{\mathrm{n}, \mathrm{~m}}:=\operatorname{cvar}\left[\left(\mathrm{APOP}^{\mathrm{T}}\right)^{\langle\mathrm{n}\rangle},\left(\mathrm{APOP}^{T}\right)^{\langle\mathrm{m}\rangle}\right] \\
& \text { MEANA }_{n}:=\operatorname{mean}\left[\left(\operatorname{APOP}^{T}\right)^{\left\langle{ }_{n}\right\rangle}\right] \\
& \operatorname{COVB}_{n, m}:=\operatorname{cvar}\left[\left(\operatorname{BPOP}^{T}\right)^{\left\langle{ }_{n}\right\rangle},\left(\operatorname{BPOP}^{T}\right)^{\langle m\rangle}\right] \\
& \text { MEANB }_{n}:=\operatorname{mean}\left[\left(\operatorname{BPOP}^{T}\right)^{\langle\mathrm{n}\rangle}\right] \\
& \operatorname{COVC}_{\mathrm{n}, \mathrm{~m}}:=\operatorname{cvar}\left[\left(\mathrm{CPOP}^{\mathrm{T}}\right)^{\left\langle{ }_{n}\right\rangle},\left(\mathrm{CPOP}^{T}\right)^{\langle\mathrm{m}\rangle}\right] \\
& \text { MEANC }_{n}:=\operatorname{mean}\left[\left(\operatorname{CPOP}^{T}\right)^{\langle\mathrm{n}\rangle}\right] \\
& \operatorname{COVD}_{\mathrm{n}, \mathrm{~m}}:=\operatorname{cvar}\left[\left(\operatorname{DPOP}^{T}\right)^{\langle\mathrm{n}\rangle},\left(\mathrm{DPOP}^{T}\right)^{\langle\mathrm{m}\rangle}\right] \\
& \text { MEAND }_{n}:=\operatorname{mean}\left[\left(\operatorname{DPOP}^{T}\right)^{\left\langle{ }_{n}\right\rangle}\right]
\end{aligned}
$$

The classifier will use the inverse of the covariance matrix, so let's compute it ahead of time.

$$
\begin{array}{ll}
\text { INVCOVA := COVA } \\
& \text { INVCOVB }:=\mathrm{COVB}^{-1} \\
\text { INVCOVC }:=\mathrm{COVC}^{-1} & \text { INVCOVD }:=\mathrm{COVD}^{-1}
\end{array}
$$

I think it's interesting to note that the "mean" appearance of the letter A is incomprehensible as an $A$. The reason is that we had an equal number of positive images and negative images of $A$. The same applies to all the other letters. And yet the classifier successfully identifies the letter A because it has a map of the correlations within the letter A.


DISPLAY(MEANA)

## Define the Classifier

Here is the classifier function. It is very simple. You send it a letter ( L ) as an argument. It computes the Mahalanobis distance from the letter to the class A, B, C, and D. Finally, it classifies $L$ as the letter with the smallest Mahalanobis distance.

$$
\operatorname{Class}(\mathrm{L}):=\left\{\begin{array}{l}
\text { MDA } \leftarrow(\mathrm{L}-\mathrm{MEANA})^{\mathrm{T}} \cdot \text { INVCOVA } \cdot(\mathrm{L}-\mathrm{MEANA}) \\
\text { MDB } \leftarrow(\mathrm{L}-\mathrm{MEANB})^{\mathrm{T}} \cdot \text { INVCOVB } \cdot(\mathrm{L}-\mathrm{MEANB}) \\
\text { MDC } \leftarrow(\mathrm{L}-\mathrm{MEANC})^{\mathrm{T}} \cdot \text { INVCOVC } \cdot(\mathrm{L}-\mathrm{MEANC}) \\
\mathrm{MDD} \leftarrow(\mathrm{~L}-\mathrm{MEAND})^{\mathrm{T}} \cdot \text { INVCOVD } \cdot(\mathrm{L}-\mathrm{MEAND}) \\
\text { "A" if }(\mathrm{MDA}<\mathrm{MDB}) \cdot(\mathrm{MDA}<\mathrm{MDC}) \cdot(\mathrm{MDA}<\mathrm{MDD}) \\
\text { otherwise } \\
\begin{array}{l}
\text { "B" if }(\mathrm{MDB}<\mathrm{MDC}) \cdot(\mathrm{MDB}<\mathrm{MDD}) \\
\text { otherwise } \\
\begin{array}{l}
\text { "C" if }(\mathrm{MDC}<\mathrm{MDD}) \\
" \mathrm{D} " \\
\text { otherwise }
\end{array}
\end{array}
\end{array}\right.
$$

## Test the Classifier

$\sigma:=0.6$ How badly should the letters be fuzzed up.
tests $:=10000$ How many times should you test the classifier
test $:=1$.. tests

$$
\text { Answer }_{\text {test }}:=\left\lvert\, \begin{array}{ll}
\text { rand } \leftarrow \operatorname{runif}(1,0,4) 1 \\
\text { "A" } & \text { if } 0 \leq \text { rand } \leq 1 \\
\text { "B" } & \text { if } 1<\text { rand } \leq 2 \\
\text { "C" } & \text { if } 2<\text { rand } \leq 3 \\
\text { "D" } & \text { if } 3<\text { rand } \leq 4
\end{array} \quad\right. \text { Make the four letters equally likely to appear }
$$

Create a batch of letters corresponding to the desired correct answers and appropriately fuzzed up. This will be the batch of data to test our classifier.

$$
\begin{aligned}
& L_{\text {test }}:=\overrightarrow{\left[\left(2 \cdot \operatorname{floor}\left(\operatorname{runif}(1,0,2)_{1}\right)-1\right) \cdot \operatorname{Alin}\right]}+\operatorname{rnorm}(25,0, \sigma) \text { if Answer }{ }_{\text {test }}=" \mathrm{~A} " \\
& \overrightarrow{\left[\left(2 \cdot \text { floor }\left(\operatorname{runif}(1,0,2)_{1}\right)-1\right) \cdot \operatorname{Blin}\right]}+\operatorname{rnorm}(25,0, \sigma) \text { if Answer }{ }_{\text {test }}=" B " \\
& \overrightarrow{\left[\left(2 \cdot \text { floor }\left(\operatorname{runif}(1,0,2){ }_{1}\right)-1\right) \cdot \operatorname{Clin}\right]}+\operatorname{rnorm}(25,0, \sigma) \text { if Answer }{ }_{\text {test }}=" \mathrm{C} " \\
& \overrightarrow{\left[\left(2 \cdot \text { floor }\left(\text { runif }(1,0,2){ }_{1}\right)-1\right) \cdot \operatorname{Dlin}\right]}+\operatorname{rnorm}(25,0, \sigma) \text { if Answer }{ }_{\text {test }}=" D " \\
& \text { RIGHT }_{\text {test }}:=\left(\operatorname{Class}\left(\mathrm{L}_{\text {test }}\right)=\text { Answer }_{\text {test }}\right) \\
& \operatorname{mean}(\text { RIGHT })=0.905
\end{aligned}
$$

At sigma=0.6, the Mahalanobis classifier is right about $94 \%$ of the time!
Can you do as well in classifying the letters?
Also note how fast the Mahalanobis classifier operates.

## Try Your Hand at Classification

Take a guess at which letter is represented in the following four graphs. When you're done, change the varaible "Answ" to 1 and the answers will display. Did you get it right? Did the MD

$\operatorname{DISPLAY}\left(\mathrm{L}_{1}\right)$

$$
\begin{aligned}
& \text { Answer }_{1 \cdot(\mathrm{Answ}=1)}=" \mathrm{C} " \\
& \operatorname{Class}\left[\mathrm{~L}_{1 \cdot(\mathrm{Answ}=1)}\right]=" \mathrm{C} "
\end{aligned}
$$


$\operatorname{DISPLAY}\left(L_{3}\right)$

$$
\begin{aligned}
& \text { Answer }_{3 \cdot(\mathrm{Answ}=1)}=" \mathrm{~A} " \mathrm{~A} \\
& \text { Class }\left[\mathrm{L}_{3 \cdot(\mathrm{Answ}=1)}\right]=" \mathrm{~A} "
\end{aligned}
$$


$\operatorname{DISPLAY}\left(\mathrm{L}_{2}\right)$
D
Answer $_{2 \cdot(\text { Answ=1) }}=" D "$
$\operatorname{Class}\left[L_{2 \cdot(\operatorname{Answ}=1)}\right]=" D "$

$\operatorname{DISPLAY}\left(\mathrm{L}_{4}\right)$

$$
\begin{aligned}
& \text { Answer }_{4 \cdot(\text { Answ }=1)}=" \mathrm{~A} " \quad \mathrm{~A} \\
& \operatorname{Class}\left[\mathrm{~L}_{4 \cdot(\text { Answ }=1)}\right]=" \mathrm{~A} "
\end{aligned}
$$

